UNIVERSIDADE FEDERAL FLUMINENSE

Leonardo Maricato Musmanno

Randomized metaheuristic-based algorithms for the generalized median graph problem

NITERÓI 2018

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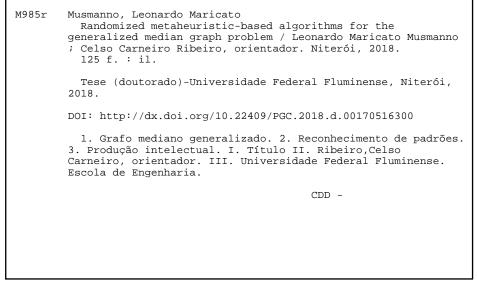
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Tese de Doutorado apresentada ao Programa de Pós-Graduação em Computação da Universidade Federal Fluminense como requisito parcial para a obtenção do Grau de Doutor em Computação. Área de concentração: Algoritmos e Otimização

Orientador: Celso Carneiro Ribeiro

> NITERÓI 2018

Ficha catalográfica automática - SDC/BEE



Bibliotecária responsável: Fabiana Menezes Santos da Silva - CRB7/5274

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Aprovada em junho de 2018.

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Agradecimentos

Na realização do presente trabalho, contei com o apoio de várias pessoas às quais sou profundamente grato. Gostaria de deixar expresso os meus agradecimentos: ao orientador desta tese, Professor Celso Carneiro Ribeiro, pela orientação prestada, pelo seu incentivo, disponibilidade e apoio que sempre demonstrou. A convivência durante todo esse período foi decisiva para meu desenvolvimento, e para que pudesse alcançar o almejado objetivo de concluir o doutorado. Que o fim desta etapa possa ser o início de novos trabalhos conjuntos.

Ao meu irmão, Rafael Maricato Musmanno, pela sua fundamental ajuda em momentos importantes dessa caminhada. Seu inestimável apoio na arte da programação foi fundamental.

A todos os amigos e colegas que contribuíram, em especial à Philippe Leal, Tiago Santos (in memoriam) e Julliany Brandão. O apoio e as conversas que tivemos ao longo do tempo trouxeram mais leveza à essa jornada.

Não poderia esquecer de agradecer à minha família por todo o apoio, pela força e pelo carinho que sempre me prestaram ao longo de toda a minha vida acadêmica. À minha esposa por ter caminhado ao meu lado, pela sua paciência, compreensão e ajuda prestada durante a elaboração do presente trabalho. Além disso, me deu um incentivo a mais para a conclusão do trabalho, o nascimento do nosso Davi.

Agradeço também aos funcionários da UFF, em especial à Teresa e Hélio, pela sua importante ajuda durante todos esses anos.

Resumo

O conceito de similaridade entre objetos é fundamental na área de reconhecimento de padrões. Na chamada "abordagem estrutural", grafos são frequentemente utilizados para representar objetos. Assim sendo, é preciso definir um meio de medir a similaridade entre dois grafos. Uma das ferramentas mais utilizadas para realizar essa medição é a distância de edição, que consiste em medir a distância entre dois grafos de acordo com o grau de distorção necessário para transformar um grafo no outro. O grafo mediano generalizado de um conjunto de grafos S é aquele que minimiza a soma das distâncias dos grafos de S a ele e que melhor captura as informações desse conjunto de grafos, podendo ser considerado um representante deste conjunto. O conceito de grafo mediano já foi aplicado com sucesso em áreas como reconhecimento de símbolos gráficos, identificação biométrica e clusterização de imagens, entre outros. No entanto, computar o grafo mediano generalizado de um conjunto de grafos é uma tarefa complexa. Por si só, a versão de decisão do problema de cálculo da distância de edição entre dois grafos é NP-Completo. Algoritmos exatos lidam apenas com grafos de tamanho relativamente pequeno, sendo de pouca utilidade prática. Nesta tese são propostos três algoritmos aproximados para o problema, um deles baseado em uma estratégia gulosa e os outros dois baseados nas meta-heurísticas GRASP e BRKGA, além de dois novos resultados teóricos, um deles servindo de base para uma variação do algoritmo BRKGA. Os resultados obtidos indicam que os algoritmos podem ser utilizados para encontrar soluções aproximadas de boa qualidade em tempos computacionais razoáveis.

Palavras-chave: Reconhecimento de padrões, correspondência entre grafos, distância de edição, algoritmos gulosos, GRASP, BRKGA, grafo mediano.

Abstract

Structural approaches for pattern recognition frequently make use of graphs to represent objects. The concept of object similarity is of great importance in pattern recognition. Therefore, it is necessary to define a way to measure the similarity between two graphs. One of the most used tools to perform this similarity comparison is the graph edit distance, which consists of measuring the distance between two graphs by the amount of distortion necessary to transform one graph into the other. The generalized median graph of a set S of graphs is the graph that minimizes the sum of the distances to the graphs in S and it is the graph that best captures the information contained in S, and may be regarded as a representative of this set. The median graph concept has been succesfully applied in areas such as graphic symbol recognition, biometric identification and image clustering, among others. However, computing the generalized median graph of a set of graphs is a complex task. By itself, the decision version of the problem of computing the graph edit distance between two graphs is NP-Complete. Exact algorithms deal only with graphs of relatively small size, which makes them of not much use in practical situations. In this thesis three approximate algorithms for the generalized median graph problem are proposed, one of them based on a greedy strategy and the other two based on the GRASP and BRKGA metaheuristics. Also, two new theoretical results are presented, one of them serving as the basis for a variant of the BRKGA heuristic. The results obtained indicate that the algorithms can be used to find approximate solutions of good quality in reasonable computational times.

Keywords: Pattern recognition, graph matching, edit distance, greedy algorithms, GRASP, BRKGA, median graph.

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Chapter 1

Introduction

1.1 Motivation

Two kinds of approaches are often used in pattern recognition problems. In the case of statistical approaches, objects are represented by vectors in \mathbb{R}^n representing a set of measures. The advantages of using this type of representation come from the well defined mathematical operations in vector spaces (such as sum, product or distance between two vectors) and the efficiency with which it is possible to compute these operations. However, there are disadvantages in using this vector representation. It is not possible, for example, to represent relationships between parts of an object. Besides, objects that have different sizes and complexities must be represented by vectors of the same size.

Structural approaches for pattern recognition frequently make use of graphs to represent objects. They make it possible to represent relationships between different parts of the same object or pattern. The use of graphs also allows the representation of objects with a different number of items or parts by graphs with different numbers of vertices and edges. One possible disadvantage of this approach is the fact that there is no standard mathematical structure in the graph domain. For example, there is no standard way to sum or multiply two graphs.

One step towards the combination of these two approaches consists in using graphs to represent objects and associating attributes (labels) to their vertices and edges. Hence, instead of using the tradidional definition of a graph as a set of vertices and edges, in pattern recognition we work with the concept of a *labeled graph*, which is a quadruple $G = (V, E, \mu, \upsilon)$, where V is a set of vertices, E is the set of edges, μ is a function that associates labels to the vertices and υ is a function that associates labels to the edges [15].

The concept of similarity between objects plays a fundamental role in the area of pattern re-

cognition. Therefore, it is necessary to define a means of measuring similarity between graphs, that is, we must find a way to compare graphs and calculate their degree of similarity. This process of comparing graphs is called graph matching. Conte et al.[16] have noticed that graph matching techniques have been successfully applied to many areas, such as biology and biomedicine, biometric identification, document processing, and video analysis. As an example, in [35] a graph matching identification approach was applied for the retrieval of diatoms, a unicellular algae found in water and other places. The retrieval is based on the matching of labeled grid graphs (a regular, rectangular arrangement of nodes overlayed on an image) carrying texture information of the underlying diatom. Each node of the graph is labeled with texture features describing a rectangular sub-region of the object. Based on the grid graph representation, the problem of diatom identification can be formulated as one of labeled graph matching, where the goal is to find an optimal one-to-one correspondence between the nodes of an input graph and the nodes of a graph stored in an image database. Other examples of graph matching applications include 2D and 3D image analysis [65], document processing [64], biometric identification [24, 43, 45], image databases [9, 61], and video analysis [62]; see also [36] and [67].

The graph edit distance is one of the most well-known and used tools in graph matching. The basic idea of the edit distance is to allocate costs to edit operations (insertion and deletion of vertices and insertion and deletion of edges) necessary to transform one labeled graph into another. Given a set of objects, the concept of median is often used to indicate the element that best represents the set, that is, the one that best captures the information contained in the elements. The median graph (also called set median graph) is a widely used concept when one wants to find a representative of a set of graphs. Given a set *S* of graphs, the median graph \hat{G} is the graph that has the smallest sum of distances (*SOD*) to the graphs in *S*, i.e:

$$\hat{G} = argmin_{G' \in S} \sum_{G \in S} d(G', G)$$

where d(G', G) denotes the edit distance between G' and G. The median graph of S is therefore one of its members. In addition, the generalized median graph of S is defined as the graph that has the smallest sum of distances to the graphs in S, independently of belonging to S or not. The concept of median graph has a great potential for applications, with possible uses in classification problems or in any other situation where one wants to find a representative to a set of graphs [48, 49].

1.2 Goals

The main goal of this work is to develop heuristics for computing an approximate generalized median graph for a set of graphs. The few existing exact algorithms for the problem deal with graphs with at most 20 vertices. Another goal of this work is to develop heuristics that can deal with larger graphs in reasonable computational times, without losing the quality of the solutions. In order to achieve these goals, three heuristics were proposed. One of them is based on a greedy strategy and the other two are based on the GRASP and BRKGA meta-heuristics. This work also contributes with two theoretical results, one of them serving as the basis of a variant of the BRKGA heuristic.

1.3 Organization

In Chapter 2, the basic concepts and notations that will be used throughout the work are presented. The concepts of graph and subgraph are defined and a brief discussion of exact and inexact graph matching is presented. The graph edit distance is also introduced. It will be used as the main tool for computing the similarity between two graphs. Finally, the concept of median graph is presented along with some of its properties. The chapter also contains a discussion on the complexity of the problem. In Chapter 3, we present the greedy adaptive algorithm and the GRASP based heuristic. These two algorithms are based on a theoretical result, also presented in the chapter. This proposition works in two ways: it is used to speed up the computation of the distace between two graphs and it serves as the basis for the development of a greedy rule. The instances in which both heuristics will be tested are also presented in this chapter. A statistical comparison between the two algorithms is performed and the chapter concludes with an application to a classification task based on the median graph concept. Chapter 4 presents a theoretical proposition that gives a bound to the SOD of a graph, with the bound being based only on the number of nodes of the graph and on the number of graphs in the set. Chapter 5 presents the BRKGA based heuristics. The framework used for the implementation of the BRKGA is described, and a variation of this heuristic, based on the theoretical proposition presented in Chapter 4, is also presented. The results of a comparison between the variants of BRKGA and GRASP are shown in the final part of the chapter. In Chapter 6, the conclusions and possible future works are presented. Appendix A presents a theoretical proposition that shows how it is possible to determine the exact generalized median graph of set of graphs in certain special cases. In Appendix B, the instances used in this work are presented.

Chapter 2

Definitions and basic concepts

In this chapter, the basic concepts for the definition of the generalized median graph problem are described. In Section 2.1, we define the concept of labeled graphs. In order to understand the origin and the importance of the problem, graph matching concepts are explained in Section 2.2. Section 2.3 gives the formal definition of the median graph and of the generalized median graph of a set of graphs. Sections 2.4 and 2.5 explore algorithms for solving the maximum common subgraph problem and the minimum common supergraph problem of two graphs, which are used as the main building blocks of the heuristics proposed in the two next sections for the generalized median graph problem.

2.1 Labeled graphs

A graph G = (V, E) is defined by a set V of nodes and a set E of edges (connecting pairs of vertices), with edges possibly having weights associated to them. This definition is not always adequate to represent objects from the real world. In order to make graphs to better represent real objects, more information should be associated to its nodes and edges. With this goal in mind, we associate labels to the nodes and edges of a graph.

Definition 1 (Labeled graph) Given a finite attribute set \mathcal{L} , a labeled graph $G = (V, E, \mu, \upsilon)$ is a quadruple defined by a set V of vertices, a set $E \subseteq V \times V$ of edges, a function $\mu : V \to \mathcal{L}$ that associates an attribute value in \mathcal{L} to each vertex in V, and a function $\upsilon : E \to \mathcal{L}$ that associates an attribute value in \mathcal{L} to each edge in E.

Unless otherwise stated, we refer to a labeled graph in the remainder of this text simply by a graph. There is no restriction on the nature of set \mathcal{L} . In most cases, $\mathcal{L} = \mathbb{R}^n$ or \mathcal{L} is formed

by a discrete set of labels. If necessary, \mathcal{L} may also include a special label ε to indicate that no specific label is assigned to a node or edge. If all elements of the graph are labeled with ε , the graph is called *unlabeled*. A weighted graph is a special case of a labeled graph, where all nodes are labeled with ε and each edge is labeled with a real number. In this work, only simple graphs will be considered.

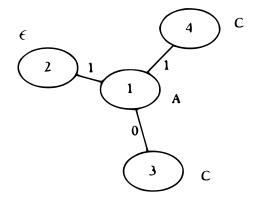


Figure 2.1: Labeled graph: nodes are identified by 1, 2, 3 and 4.

Figure 2.1 shows a labeled graph. In this case, $\mathcal{L} = \{0, 1, A, B, C, \varepsilon\}, V = \{1, 2, 3, 4\}, E = \{\{1, 2\}, \{1, 3\}, \{1, 4\}\}, \mu(1) = A, \mu(2) = \varepsilon, \mu(3) = \mu(4) = C, \upsilon(\{1, 2\}) = \upsilon(\{1, 4\}) = 1$ e $\upsilon(\{1, 3\}) = 0.$

2.2 Graph matching

In many fields, like chemistry or molecular biology, there are applications in which it is necessary to process images and locate regions in these images. When this processing is done automatically by a computer, without the help of a specialist, an efficient way to represent these images is through the use of graphs [8].

In these applications, frequently there are two images: a model and a test image. The idea is to compare these two images and verify the level of similarity between them, so that the test image can be classified correctly. Therefore, it is necessary to compare the graph representation of the model and test images. That is the main goal in graph matching: verify the similarity between the structures of two graphs. Depending on the reason for doing this matching, it is possible to make a distinction between exact graph matching and error-tolerant graph matching.

2.2.1 Exact graph matching

The goal in exact graph matching is to determine if two graphs, or parts of these two graphs, are identical in terms of their structure and labels [27]. Let $G_1 = (V_1, E_1, \mu_1, \nu_1)$ and $G_2 = (V_2, E_2, \mu_2, \nu_2)$ be two labeled graphs.

Definition 2 (Graph isomorphism) G_1 and G_2 are said to be isomorphic if there is a bijection $f: V_1 \rightarrow V_2$ such that: (i) $\mu_1(u) = \mu_2(f(u))$, $\forall u \in V_1$; (ii) for each edge $e_1 = (u, v) \in E_1$, there exists an edge $e_2 = (f(u), f(v)) \in E_2$ with $v_1(e_1) = v_2(e_2)$; and (iii) for each edge $e_2 = (u, v) \in E_2$, there exists an edge $e_1 = (f^{-1}(u), f^{-1}(v)) \in E_1$ with $v_1(e_1) = v_2(e_2)$.

Figure 2.2 shows two labeled graphs *G* and *H* that are isomorphic. Function $f: V_G \to V_H$ defines the isomorphism such that f(1) = 2, f(2) = 1 e f(3) = 3, where V_G and V_H represent, respectively, the node sets of *G* and *H*.

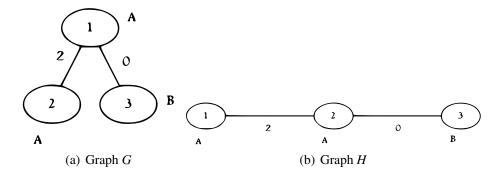


Figure 2.2: Graph isomorphism.

Related to the graph isomorphism problem is the subgraph isomorphism problem, in which the goal is to verify if a graph is isomorphic to a part of another graph, the maximum common subgraph and the minimum common supergraph problems. These three problems will be formally defined and explained in Sections 2.4 and 2.5.

2.2.2 Error-tolerant graph matching

The methods used in exact graph matching have very rigorous conditions, which make them inadequate for many real world problems. In real world applications, when an object or image is represented by a graph, it is possible that a distortion be introduced in the representation of the object or image (due to some error in the process of acquiring the image, for example). Therefore, because of the possibility of the distortions, the same object may have different graph representations. In cases like this, the isomorphism concept would indicate that the objects are

different, in spite of the great similarity between the two graphs. Therefore, the concept of exact matching is not adequate to this type of situation. Thus, it is necessary to introduce some error-tolerance in the graph matching process.

Error-tolerant graph matching focus on measuring the similarity between two graphs, instead of simply verifying wheter they have identical parts or not. It is necessary, then, to find a method to measure the dissimilarity between two graphs. One of the most used ways to compute the dissimilarity between two graphs is the graph edit distance.

The graph edit distance measures the dissimilarity between two graphs by the minimum amount of distortion required to transform one graph into the other [27]. The edit operations used to transform the graph are: insertion or deletion of nodes, insertion or deletion of edges and substitutions of nodes and edges. Given a pair of graphs G_1 and G_2 , there is a sequence of edit operations (an edit path) $p(G1;G2) = (o_1;o_2;...;o_m)$ that transforms G_1 into a graph that is isomorphic to G_2 , where each o_i is an edit operation, i = 1;...;m. Given two graphs G_1 and G_2 , there might exist several edit paths that transform G_1 in G_2 . The set composed of all these paths will be denoted by P(G1;G2). In order to make a quantitative assessment of these paths, it is necessary to associate costs to the edit operations, i.e, it is necessary to define a function that associates costs to the operations of insertion, deletion and substitution of nodes and edges. The cost of the least costly path will be the distance between the two graphs. Formally, we have:

Definition 3 Given two labeled graphs $G_1 = (V_1; E_1; \mu_1; \upsilon_1)$ and $G_2 = (V_2; E_2; \mu_2; \upsilon_2)$, the edit distance between G_1 and G_2 is given by the sum of the costs of the edit operations of the least costly path that transforms G_1 in G_2 .

The computation of the graph edit distance between two graphs is NP-hard [68, 69] and therefore exact algorithms to compute the edit distance can only be used for relatively small graphs. In this work, we use the graph edit distance to measure the similarity between two graphs, as proposed in [11] and used in [27]. Vertex insertions or deletions have a unit cost, while edge insertions or deletions have a null cost. The substitution of a vertex by another has a null cost if both have the same attribute, otherwise the substitution cost is assumed to be arbitrarily large. The same applies to the substitution cost of an edge by another. In [14] it was shown that, under this cost function, there is always an optimal path between two given graphs that involves only vertex and edge deletions, insertions, or substitutions with identical attributes. Figure 2.3 illustrates the computation of the graph edit distance between two labeled graphs G and H. In [52] an example of an approximate algorithm to compute this distance is presented.

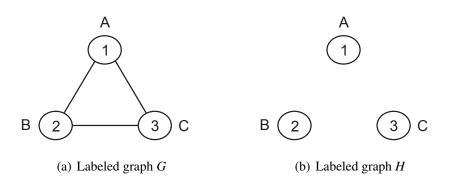


Figure 2.3: The following operations transform graph *G* into a graph that is isomorphic to *H*: delete vertex 1 from *G* (and remove the two edges adjacent to it), then reinsert vertex 1, next delete vertex 2 from *G* (and remove the edge still adjacent to it), and finally reinsert vertex 2. Deleting and reinserting vertex 1 has cost 2. The same applies to vertex 2. Therefore, the graph edit distance between *G* and *H* is d(G, H) = 4.

2.3 The generalized median graph problem

In this section, we formally introduce the concepts of median and generalized median graphs, followed by the formulation of the generalized median graph problem.

Definition 4 (Median graph) Given a set $S = \{G_1, \ldots, G_n\}$ of labeled graphs defined over an alphabet \mathcal{L} , its median graph is a graph $\hat{G} = \operatorname{argmin}\{\sum_{i=1}^n d(G, G_i) : G \in S\}$ that minimizes the sum of its distances to all graphs in S.

The median graph \hat{G} of *S* is necessarily one of its elements. If one seeks a representative graph that is not restricted to belonging to *S*, the following definition applies:

Definition 5 (Generalized median graph) Given a set $S = \{G_1, ..., G_n\}$ of labeled graphs defined over an alphabet \mathcal{L} , its generalized median graph is any graph $\overline{G} = \operatorname{argmin}\{\sum_{i=1}^n d(G, G_i) : G \in U\}$ that minimizes the sum of its distances to all graphs in S, where U is the set of all labeled graphs defined over the alphabet \mathcal{L} .

Therefore, the generalized median graph \overline{G} of *S* is any graph that minimizes the sum of its distances to the graphs in *S*, regardless of belonging to *S* or not.

The median graph can be computed in $O(n^2\delta)$, where *n* is the number of graphs in *S* and δ is the complexity of the computation of the distance function d(.). Since the computation of the graph edit distance between two graphs is *NP*-hard [68, 69], then the median graph problem considering the graph edit distance cannot be solved in polynomial time, unless *P*=*NP*. Finding the median graph is *NP*-hard even for strings [19].

The computation of the generalized median graph is even more time consuming, because it does not depend only on the complexity of the distance function d(.), but also on the size of the search space U. State-of-the-art exact algorithms at the time of writing cannot deal with large graphs, with their application being restricted to small problems involving sets of graphs with no more than 25 vertices altogether. Earlier approximate approaches to find the generalized median graph include greedy [41] and genetic [44] algorithms. In [27] and [28] an exact approach for dealing with graphs with a total of up to 25 nodes was developed. In [29] Ferrer et al. proposed a new genetic algorithm for the case of a special class of graphs for which it is possible to compute the distance between any two graphs in polynomial time. This genetic algorithm can handle problem instances with up to a total of 1000 nodes. They also proposed exact and approximate approaches based on graph embeddings [30, 31]. Other approaches use the relationship between common-labeling and the median graph to compute and find bounds on the cost of the median graph [54].

The next two sections explore algorithms for solving the maximum common subgraph problem and the minimum common supergraph problem of two graphs, which are used as the main building blocks of the two heuristics proposed later in this work for the generalized median graph problem.

2.4 Maximum common subgraph problem

The concept of maximum common subgraph is central to this work. This section presents the main concepts related to it.

Definition 6 (Subgraph) Let $G_1 = (V_1, E_1, \mu_1, \upsilon_1)$ and $G_2 = (V_2, E_2, \mu_2, \upsilon_2)$ be two labeled graphs. G_1 is said to be a subgraph of G_2 if and only if $V_1 \subseteq V_2$, $E_1 \subseteq E_2$, $\mu_1(u) = \mu_2(u)$, $\forall u \in V_1$, and $\upsilon_1(e) = \upsilon_2(e)$, $\forall e \in E_1$. In this case, we say that $G_1 \subseteq G_2$.

When $E_1 = E_2 \cap (V_1 \times V_1)$, we say that G_1 is the induced subgraph of G_2 by V_1 . Given a labeled graph $G = (V, E, \mu, \upsilon)$, a subset $V' \subseteq V$ uniquely determines an induced subgraph G' of G, usually represented by G' = G(V'). When G_1 is a subgraph of G_2 , we say that $G_1 \subseteq G_2$.

Let $G_1 = (V_1, E_1, \mu_1, \nu_1)$ and $G_2 = (V_2, E_2, \mu_2, \nu_2)$ be two labeled graphs.

Definition 7 (Subgraph isomorphism) There exists a subgraph isomorphism from G_1 to G_2 if there exists an induced subgraph $G \subseteq G_2$ and an injection $f: V_1 \to V_2$ defining a graph isomorphism from G_1 to G.

Definition 8 (Common subgraph) A labeled graph G is a common subgraph of G_1 and G_2 if and only if there is a subgraph isomorphism from G to G_1 and another from G to G_2 .

Given a graph $G = (V, E, \mu, \upsilon)$, the standard notation |V| is used to represent the number of nodes of *G*. For ease of notation, we also use the symbol #(G) for the number of nodes of *G*.

Definition 9 (Maximum common induced subgraph) A common induced subgraph G of G_1 and G_2 is maximum if all other common subgraphs of G_1 and G_2 have at most #(G) vertices.

The decision version of the problem of finding a maximum common subgraph is proven to be *NP*-complete [38] by a reduction from the maximum clique problem. Figure 2.4 illustrates two labeled graphs G_1 and G_2 and their maximum common subgraph. The maximum common subgraph of two graphs may be computed by a backtrack strategy [46]. Alternatively, one may seek a maximum clique in an auxiliary graph built from G_1 and G_2 , which is then transformed into the maximum common subgraph [6, 22]. These three exact algorithms have the same time complexity $O((|V_2| + 1)!/(|V_2| - |V_1| + 1)!)$. They have been compared in [13, 17], with the numerical results indicating no clear advantage of one algorithm over the others.

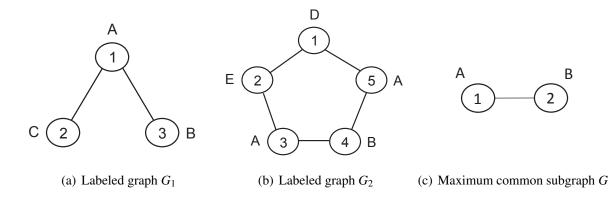


Figure 2.4: Two labeled graphs G_1 and G_2 are displayed in (a) and (b), respectively. Their maximum common subgraph G is shown in (c). We denote by V_{G_1} , V_{G_2} , and V_G the vertex sets of G_1 , G_2 , and G, respectively. A subgraph isomorphism from G to G_1 is defined by $f: V_G \to V_{G_1}$ with f(1) = 1 and f(2) = 3. A subgraph isomorphism from G to G_2 is defined by $g: V_G \to V_{G_2}$ with g(1) = 3 and g(2) = 4.

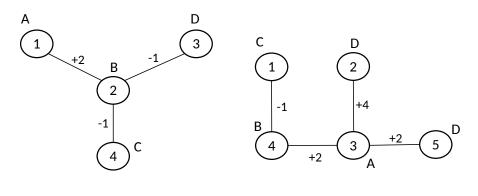
2.4.1 Algorithm for computing the maximum common induced subgraph

In the following, we use the algorithm of Durand-Pasari [22] to compute the maximum common induced subgraph of two graphs G_1 and G_2 and we denote its output by MaxSub (G_1, G_2) . Given

the two graphs G_1 and G_2 , the first step of the Durand-Pasari algorithm is the construction of an auxiliary (undirected) graph whose nodes correspond to pairs (n_1, n_2) of nodes of the two original graphs, where $n_1 \in V_1$, $n_2 \in V_2$, and $\mu_1(n_1) = \mu_2(n_2)$. Edges of the auxiliary graph represent the compatibility between pairs of nodes: the node corresponding to the pair (n_1, n_2) is connected to the node corresponding to the pair (m_1, m_2) if and only if edge (n_1, m_1) of G_1 has the same label of edge (n_2, m_2) of G_2 . Each clique in the auxiliary graph corresponds to a common subgraph of G_1 and G_2 and vice versa. Therefore, the maximum common subgraph of G_1 and G_2 can be obtained by finding the maximum clique in the auxiliary graph. A common (but not necessarily maximum) subgraph of a set $S = \{G_1, \ldots, G_n\}$ of graphs may be obtained by the repeated pairwise application of the algorithm of Durand-Pasari to the graphs in S. In this case, we denote by MaxSub(S) the common subgraph so obtained.

To illustrate the functioning of the Durand-Pasari algorithm, consider graphs G_1 and G_2 shown in Figure 2.5. Its auxiliary graph is shown in Figure 2.6. The nodes in this graph are pairs (n_1, n_2) , where $n_1 \in V_1$ and $n_2 \in V_2$ and $\mu_1(n_1) = \mu_2(n_2)$. For instance, pair (1,3) is a node of this auxiliary graph, since node 1 belongs to V_1 , node 3 belongs to V_2 and $\mu_1(1) = \mu_2(3) = A$. An edge exists between nodes (1,3) and (2,4) since edges $\{1,2\} \in E_1$ and $\{3,4\} \in E_2$ have the same label. Also, the Durand-Pasari algorithm considers that all non-existing edges are labeled with a null label ε . Therefore, in the auxiliary graph there is an edge between nodes (3,2) and (4,1), since $\{3,4\} \notin E_1$ and $\{2,1\} \notin E_2$. Every clique in this auxiliary graph corresponds to a common subgraph of G_1 and G_2 , and the maximum clique corresponds to the maximum common subgraph of G_1 and G_2 .

The maximum clique in this auxiliary graph is composed of nodes (1,3),(2,4) and (4,1), as shown in Figure 2.7(a). To convert this clique into the correspondent maximum common subgraph, each node of the maximum clique of the auxiliary graph should be split in its coordinates. The first coordinate of each node will represent a node from G_1 and the second coordinate indicates a node from G_2 . By selecting the first coordinates of each pair in the clique, we have that the maximum common subgraph of G_1 and G_2 is represented in G_1 by the subgraph of G_1 induced by the subset of nodes $\{1,2,4\} \in V_{G_1}$, as shown in Figure 2.7(b). In the same way, this maximum common subgraph is represented in G_2 by the subgraph of G_2 induced by the subset of nodes $\{3,4,1\} \in V_{G_2}$, as presented in Figure 2.7(c).



(a) Labeled graph G_1

(b) Labeled graph G_2

Figure 2.5: Graphs G_1 and G_2 .

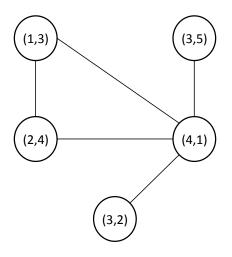
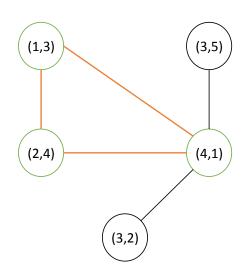


Figure 2.6: Auxiliary graph associated with graphs G_1 and G_2 .



(a) Maximum clique in the auxiliary graph

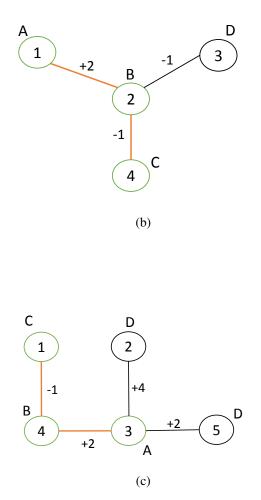


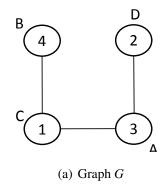
Figure 2.7: The maximum clique in the auxiliary graph corresponds to the maximum common subgraph of G_1 and G_2 . This maximum common subgraph is represented in G_1 by the nodes 1,2 and 4 of V_{G_1} , as shown in (b) and by nodes 1,3 and 4 of V_{G_2} as shown in (c).

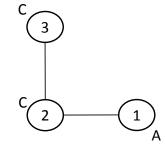
2.4.2 Graph edit distance and maximum common subgraph

As presented in Section 2.2, as for the cost of the edit operations used in the graph edit distance, vertex insertions or deletions have a unit cost, while edge insertions or deletions have a null cost. The substitution of a vertex by another has a null cost if both have the same attribute, otherwise the substitution cost is assumed to be arbitrarily large. The same applies to the substitution cost of an edge by another. In [27] it was shown that the graph edit distance between two graphs G_1 and G_2 under the above operation costs can be computed as

$$d(G_1, G_2) = \#(G_1) + \#(G_2) - 2 \cdot \#(\text{MaxSub}(G_1, G_2)),$$

where $#(MaxSub(G_1, G_2))$ denotes the number of vertices in the maximum common induced subgraph of G_1 and G_2 obtained by the algorithm of Durand-Pasari. This is the formula that will be used to compute all the distances between two graphs in this work. This formula illustrates the idea that, the more similar the graphs (the larger their maximum common induced subgraph is), the smaller their distance will be. As an example, consider graphs *G* and *H* in Figure 2.8. Graph *G* has four nodes and graph *H* has three nodes. The maximum common induced subgraph between *G* and *H* is the graph formed by a node with a the label A connected to another node with label C. Therefore, #(MaxSub(G,H)) = 2. Thus, the distance between *G* and *H* is given by $d(G;H) = 4+3-2\times 2 = 3$. The same results can be obtained using the edit operations. The least cost path to transform graph *G* into *H* consists of deleting nodes 2 and 4 of V_G (together with the edges that are incident to them), inserting a node with a label C and inserting an edge connecting this new node to node 1 of V_G . The total cost of these operations is 3, the same result obtained using the formula.





(b) Graph H



(c) Maximum common induced subgraph of G and H

Figure 2.8: The subgraph isomorphism from MaxSub(G,H) to *G* is given by function f: $V_{MaxSub} \rightarrow V_G$ such that f(1) = 3 and f(2) = 1. The subgraph isomorphism from MaxSub(G,H) to *H* is given by function *G* such that g(1) = 1 and g(2) = 2. Any other common subgraph of *G* and *H* has less nodes than MaxSub(G,H). Therefore, the distance between *G* and *H* is $d(G,H) = 4 + 3 - 2 \times 2 = 3$, and the same result can be obtained through the edit operations.

2.5 Minimum common supergraph problem

The concept of a minimum common supergraph of two or more graphs will play a fundamental role in this work. We start this section with the definition of a common supergraph of two

labeled graphs:

Definition 10 (Common supergraph) A graph G is a common supergraph of G_1 and G_2 if and only if there is a subgraph isomorphism from G_1 to G and another from G_2 to G.

Definition 11 (Minimum common supergraph) A common supergraph G of G_1 and G_2 is minimum if all other common supergraphs of G_1 and G_2 have at least #(G) vertices.

In [14] an exact algorithm to compute the minimum common supergraph of two graphs G_1 and G_2 was proposed. In the following, we denote the output of this algorithm by MinSup (G_1, G_2) . In fact, in [14] it was shown that the minimum common supergraph problem can be solved by maximum common subgraph computations. Figure 2.9 illustrates two labeled graphs G_1 and G_2 and their minimum common supergraph. A common (but not necessarily minimum) supergraph of a set $S = \{G_1, \ldots, G_n\}$ of graphs may be obtained by the pairwise repeated application of the algorithm of Bunke [14] to the graphs in S. In this case, we denote by MinSup(S) the common supergraph so obtained. For more details on the computation of the minimum common supergraph, see [50].

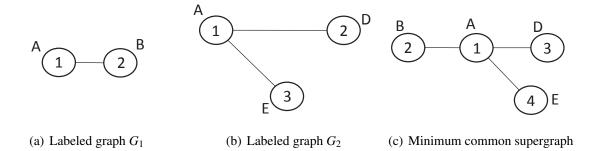


Figure 2.9: Two labeled graphs G_1 and G_2 are displayed in (a) and (b), respectively. Their minimum common supergraph G is shown in (c). We denote by V_{G_1} , V_{G_2} , and V_G the vertex sets of G_1 , G_2 , and G, respectively. A subgraph isomorphism from G_1 to G is defined by $f: V_{G_1} \to V_G$ with f(1) = 1 and f(2) = 2. A subgraph isomorphism from G_2 to G is defined by $g: V_{G_2} \to V_G$ with g(1) = 1, g(2) = 3, and g(3) = 4.

Let $S = \{G_1, \ldots, G_n\}$ be a set of labeled graphs defined over an alphabet \mathcal{L} . The sum of distances from a graph *G* to *S* is given by

$$SOD(G,S) = \sum_{i=1}^{n} d(G,G_i),$$

where $d(G,G_i)$ is the graph edit distance between *G* and G_i , for i = 1, ..., n. Therefore, a generalized median graph of *S* is given by

$$\overline{G} = \operatorname{argmin} \{ \operatorname{SOD}(G, S) : G \in U \},\$$

where U is the set of all labeled graphs defined over the alphabet \mathcal{L} . The generalized median graph \overline{G} of S satisfies

$$#(\operatorname{MaxSub}(S)) \le #(G) \le #(\operatorname{MinSup}(S)).$$

Furthermore, let G' be the graph induced in MinSup(S) by a subset V' of its vertices. Let G'' be any subgraph of G' with the same vertex set V'. In [27] it was demonstrated that $SOD(G', S) \leq SOD(G'', S)$. This result naturally suggests to consider the search space for the generalized median graph of S to be formed by all subgraphs that can be induced in MinSup(S) having no fewer vertices than MaxSub(S). Both the adaptive greedy algorithm and the GRASP heuristic proposed in the next sections will begin by computing MinSup(S) as their initial solution, followed by the evaluation of candidate solutions that will be subgraphs that can be induced in MinSup(S).

We illustrate the above definitions with one of the instances that will be used in the computational experiments that will be reported in Chapter 3. Instance i1.20 is composed of three graphs illustrated in Figure 2.10, i.e., $S = \{G_1, G_2, G_3\}$. Graph G_1 corresponds to the graph 2128 in the IAM Graph Database Repository [12, 55], G_2 corresponds to graph 6497, and G_3 to graph 13072. The total number of nodes in this instance is $\sum_{i=1}^{3} \#(G_i) = 20$.

Table 2.1 shows the distances between all pairs of graphs in *S*, as well as the sum of distances $SOD(G_i, S)$ from each graph G_i to *S*, for i = 1, 2, 3. Graph G_3 is the median graph of *S*, since it presents the smallest sum of distances to all other graphs in *S*.

| Graphs | G_1 | G_2 | <i>G</i> ₃ | $\sum_{j=1}^{3} d(G_i, G_j)$ |
|--------|-------|-------|-----------------------|------------------------------|
| G_1 | 0 | 9 | 8 | 17 |
| G_2 | 9 | 0 | 7 | 16 |
| G_3 | 8 | 7 | 0 | 15 |

Table 2.1: Distances $d(G_i, G_i)$.

Figure 2.11 shows the maximum common subgraph MaxSub(S) of set S. It is a subgraph of G_1 , G_2 , and G_3 , and there is no other common subgraph of them with a higher number of nodes.

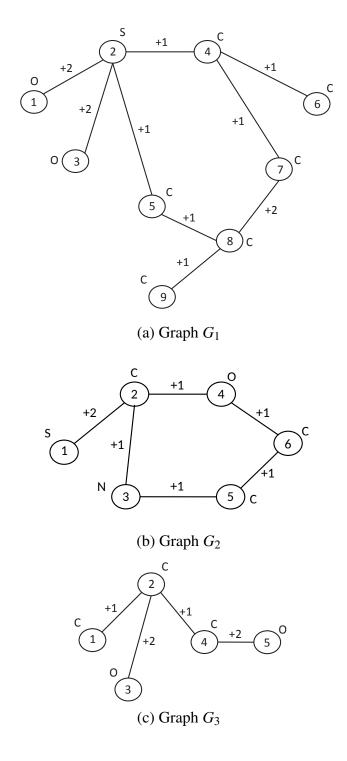


Figure 2.10: Graphs G_1 , G_2 , and G_3 of set S for instance i1.20.

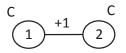


Figure 2.11: Maximum common subgraph of set S.

Figure 2.12 shows the minimum common supergraph MinSup(S). We observe that the size of the minimum common supergraph grows quickly with the number of nodes of the graphs in *S*.

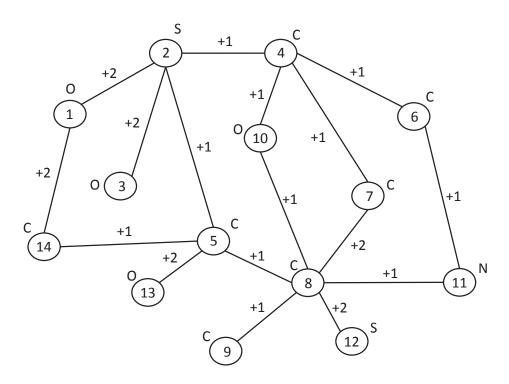


Figure 2.12: Minimum common supergraph of set S.

Since this graph is a supergraph of all three graphs in S, G_1 , G_2 and G_3 are subgraphs of this graph. Figure 2.13 shows in blue the edges of graph G_2 as they appear in the minimum common supergraph and, in red, the edges of graph G_3 .

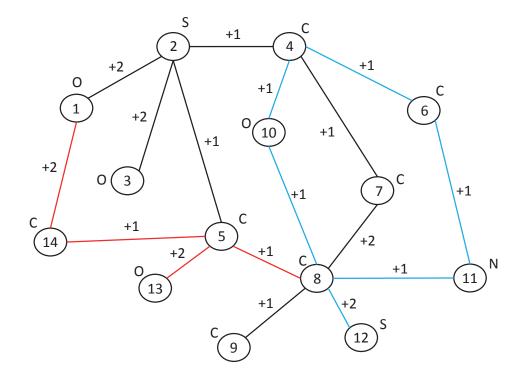


Figure 2.13: G_2 and G_3 as subgraphs of the minimum common supergraph.

The concepts presented in this chapter, especially the maximum common induced subgraph of two graphs and the minimum common supegraph of a set of graphs will have a fundamental role in the proposed heuristics.

2.6 Conclusions

In this chapter, the main concepts related to the median graph problem were presented. The formula that computes the distance between two graphs, obtained by assigning particular costs to edit operations, was also presented. The following chapter presents the first heuristics developed for the computation of approximate generalized median graphs.

Chapter 3

GRASP heuristic

In this chapter, we present two of the heuristics developed for finding an approximate generalized median graph of a set of graphs. An adaptive greedy algorithm is presented in Section 3.1, while a GRASP heuristic is described in Section 3.2. Computational experiments reporting experimental results indicating that the proposed heuristics can be used to obtain good approximate solutions for the generalized median graph problem in reasonable computation times are presented in Section 3.3. These results show that good approximations to the generalized median graph can be effectively computed by the heuristics proposed in this chapter, making it a better representation than the median graph to be used in a number of relevant pattern recognition applications. Section 3.4 evaluates the statistical significance of the results obtained by the heuristics. Section 3.5 illustrates an application of the generalized median graph is a good representative of graph sets for some pattern recognition or machine learning problems.

3.1 Greedy adaptive algorithm

The computation of the graph edit distance

$$d(G,H) = #(G) + #(H) - 2 \cdot #(MaxSub(G,H))$$

between two graphs G and H is expensive, since it depends on the computation of their maximum common subgraph. Therefore, in the computation of the generalized median graph one is interested in avoiding maximum common subgraph computations whenever possible.

For the sake of explaining the evaluation of neighbors in the heuristics to be presented, we

assume that the distance d(G,H) between G and H has been already computed. Let $G^{(-\nu)}$ be the graph obtained from G by removing any of its vertices ν . Musmanno [50] has shown that if ν is not a vertex of MaxSub(G,H), then $d(G^{(-\nu)},H) = d(G,H) - 1$. Otherwise, $d(G^{(-\nu)},H) =$ $d(G,H) \pm 1$. In the last case, $d(G^{(-\nu)},H) = d(G,H) - 1$ if and only if G and H have another maximum common subgraph with the same number of vertices of MaxSub(G,H) that does not contain ν .

Let MinSup(S) be the minimum common supergraph of the set of graphs $S = \{G_1, \dots, G_n\}$. Since MinSup(S) is a supergraph of each G_i , then

$$MaxSub(MinSup(S), G_i) = G_i$$

for each i = 1, ..., n. Furthermore,

$$SOD(MinSup(S), S) = \sum_{i=1}^{n} d(MinSup(S), G_i).$$

Let $\operatorname{MinSup}(S)^{(-\nu)}$ be the graph obtained by removing vertex ν from $\operatorname{MinSup}(S)$ and $L^{\nu} = \{i = 1, ..., n : \nu \in \operatorname{MaxSub}(G_i, \operatorname{MinSup}(S))\}$. Assuming that the removal of vertex ν increases the edit distance by 1 for each $G_i : i \in L^{\nu}$, we obtain the following estimate for $\operatorname{SOD}(\operatorname{MinSup}(S)^{(-\nu)}, S)$:

$$SOD(MinSup(S)^{(-\nu)}, S) =$$

$$= SOD(MinSup(S), S) - (n - |L^{\nu}|) + |L^{\nu}| =$$

$$= SOD(MinSup(S), S) - n + 2 \cdot |L^{\nu}|.$$

A greedy algorithm for the generalized median graph problem is obtained by removing the vertices v of MinSup(S) one by one in the decreasing order of the estimates $\overline{SOD}(MinSup(S)^{(-v)}, S)$. The best solution is updated whenever the removal of a vertex improves the incumbent.

A greedy adaptive algorithm can be derived from this greedy algorithm. In this case, every time a vertex is removed from the current solution, all estimates are recomputed from the new solution and the next vertex to be removed will be the one with the smallest updated estimate. The algorithm stops when all candidate vertices have been considered and examined for elimination. The pseudo-code for this algorithm is presented in Figure 3.1. In line 1, the minimum common supergraph of *S* is set as the CurrentSolution, and its *SOD* is set as the CurrentSOD in line 2. In line 3 the set Candidates is composed of all the nodes in CurrentSolution. Then, in line 4, for each vertex *v* in MinSup(S), the estimate of the reduction on the *SOD* of MinSup(S) when vertex *v* is removed, $\overline{SOD}(MinSup(S)^{(-v)}, S)$, is computed. In lines 5 through 14, the

| begin GREEDY-ADAPTIVE |
|--------------------------------------------------------------------------------------------------------------------------------------------------------|
| |
| 1 Set CurrentSolution \leftarrow MinSup(S); |
| 2 Set CurrentSOD \leftarrow SOD(MinSup(S),S); |
| 3 Let Candidates be the vertex set of CurrentSolution; |
| 4 Compute the estimate $\overline{\text{SOD}}(\text{MinSup}(S)^{(-v)}, S)$ for each vertex v of $\text{MinSup}(S)$; |
| 5 while Candidates $\neq 0$ do; |
| 6 $u \leftarrow \operatorname{argmin}\{\overline{\operatorname{SOD}}(\operatorname{CurrentSolution}^{(-\nu)}, S) : v \in \operatorname{Candidates}\};$ |
| 7 Let Solution be the graph obtained by removing vertex <i>u</i> from CurrentSolution; |
| 8 if $SOD(Solution, S) < SOD(CurrentSolution, S)$ then |
| 9 CurrentSOD \leftarrow SOD(Solution, <i>S</i>); |
| 10 CurrentSolution \leftarrow Solution; |
| 11 end-if ; |
| 12 Candidates \leftarrow Candidates $\setminus \{u\}$; |
| 13 Update $\overline{\text{SOD}}(\text{CurrentSolution}^{(-v)}, S)$ for each vertex <i>v</i> of CurrentSolution; |
| 14 end-while; |
| 15 return CurrentSolution; |
| end GREEDY-ADAPTIVE. |
| |

Figure 3.1: Pseudo-code of the greedy adaptive algorithm.

algorithm executes the loop that tentatively removes all nodes from Candidates. In line 6, the node that minimizes the estimate $\overline{SOD}(MinSup(S)^{(-v)}, S)$ is selected and stored in *u*. In line 7, Solution is the graph obtained by removing node *u* from CurrentSolution. In lines 8-11, CurrentSolution is updated to Solution if Solution has a better *SOD* than CurrentSolution. The set Candidates is updated with the removal of node *u* in line 12, and for each node in CurrentSolution the estimates $\overline{SOD}(CurrentSolution^{(-v)}, S)$ are computed in line 13. Finally, in line 15 the CurrentSolution is returned.

3.2 GRASP heuristic

GRASP (Greedy Randomized Adaptive Search Procedure) [25, 26] is a multi-start metaheuristic, in which each iteration consists of two phases: construction and local search. The construction phase builds a solution. We assume that if this solution is not feasible, then either a repair procedure is applied to achieve feasibility or a new attempt to build a feasible solution is made. Once a feasible solution is obtained, its neighborhood is investigated until a local minimum is found during the local search phase. The best overall solution is kept as the result. Literature surveys are presented in [33, 56, 57, 58, 59]. Extensive accounts of successful applications of GRASP are reported by Festa and Resende [32, 34], see also Nguyen et al.[53]. In some cases, GRASP can be combined with other metaheuristics or mixed integer programming approaches

| begin GRASP(MaxIterations, Seed) |
|--------------------------------------------------------------------|
| 1 BestSOD $\leftarrow \infty$; |
| 2 for $k = 1, \ldots, MaxIterations do;$ |
| 3 Solution \leftarrow GREEDY-RANDOMIZED-ADAPTIVE(<i>Seed</i>); |
| 4 Solution \leftarrow LOCAL-SEARCH(Solution); |
| 5 if SOD(Solution, <i>S</i>) < BestSOD then |
| 6 BestSOD \leftarrow SOD(Solution, <i>S</i>); |
| 7 BestSolution \leftarrow Solution; |
| 8 end-if ; |
| 9 end-for; |
| 10 return BestSolution; |
| end GRASP. |

Figure 3.2: Pseudo-code of the GRASP heuristic.

(see e.g. [4], where GRASP is used together with ILS and simulated annealing to solve a vehicle routing problem). The pseudo-code in Figure 3.2 illustrates the main blocks of a GRASP procedure for minimization, in which *MaxIterations* iterations are performed and *Seed* is used as the initial seed for the pseudo-random number generator.

GRASP is an appropriate approach for tackling the generalized median graph problem because the greedy adaptive algorithm proposed in Section 3.1 can be straightforwardly randomized.

Figure 3.3 illustrates the pseudo-code of the greedy randomized adaptive algorithm used in the construction phase of the GRASP heuristic. Basically, this algorithm is an extension of the greedy adaptive algorithm in Figure 3.1, in which the node selected for elimination at each iteration is randomly chosen among those with the best SOD estimates, but not necessarily the best one. Let *RCL* be a restricted candidate list formed by all vertices v in the current solution for which $\overline{\text{SOD}}(\text{CurrentSolution}^{(-v)}, S) \in [\text{S}_{\min}, \text{S}_{\min} + \alpha \cdot (\text{S}^{max} - \text{S}_{min})]$, where $\text{S}_{\min} = \min$ $\{\overline{\text{SOD}}(\text{CurrentSolution}^{(-v)}, S) : v \in \text{Candidates}\}$, $\text{S}_{\max} = \max\{\overline{\text{SOD}}(\text{CurrentSolution}^{(-v)}, S) : v \in \text{Candidates}\}$, $\text{S}_{\max} = \max\{\overline{\text{SOD}}(\text{CurrentSolution}^{(-v)}, S) : v \in \text{Candidates}\}$, $\text{S}_{\max} = \max\{\overline{\text{SOD}}(\text{CurrentSolution}^{(-v)}, S) : v \in \text{Candidates}\}$, and $\alpha \in [0, 1]$ is a threshold parameter that controls the amounts of greediness and randomness in the algorithm. The case $\alpha = 0$ corresponds to a pure greedy algorithm, while $\alpha = 1$ is equivalent to a random construction. Experiments for tuning parameter α consisted of executing GRASP over 40 small to medium sized instances, using different values $\alpha = 0.05$, 0.1, 0.2, 0.3, 0.4, and 0.5. Since no significant difference was observed in the numerical results, in the next section we report numerical experiments performed with $\alpha = 0.1$.

The solutions generated by a greedy randomized construction are not necessarily optimal, even with respect to simple neighborhoods. A local search algorithm works iteratively by suc-

```
begin GREEDY-RANDOMIZED-ADAPTIVE
    Set CurrentSolution \leftarrow MinSup(S);
1
    Set CurrentSOD \leftarrow SOD(MinSup(S), S);
2
   Let Candidates be the vertex set of CurrentSolution;
3
    Compute the estimate \overline{\text{SOD}}(\text{MinSup}(S)^{(-\nu)}, S) for each vertex \nu of \text{MinSup}(S);
4
5
    while Candidates \neq 0 do;
        RCL \leftarrow \{v \in \text{Candidates} : S_{\min} \leq \overline{\text{SOD}}(\text{CurrentSolution}^{(-v)}, S) \leq S_{\min} + 
6
             +\alpha \cdot (\mathbf{S}^{max} - \mathbf{S}_{min})\};
7
        Randomly select a vertex u \in RCL;
8
        Let Solution be the graph obtained by removing vertex u from CurrentSolution;
9
        if SOD(Solution, S) < SOD(CurrentSolution, S) then
10
             CurrentSOD \leftarrow SOD(Solution, S);
             CurrentSolution \leftarrow Solution;
11
12
        end-if:
        Candidates \leftarrow Candidates \setminus {u};
13
        Update \overline{\text{SOD}}(\text{CurrentSolution}^{(-v)}, S) for each vertex v of CurrentSolution;
14
15 end-while:
16 return CurrentSolution;
end GREEDY-RANDOMIZED-ADAPTIVE.
```

Figure 3.3: Pseudo-code of the greedy randomized adaptive algorithm used in the construction phase.

cessively replacing the current solution by a better solution in its neighborhood. It terminates when no better solution is found in the neighborhood. Figure 3.4 illustrates the pseudo-code of a local search procedure. Each node belonging to MinSup(S), but not to the solution constructed in the first phase, is considered for insertion in the current solution. If the insertion of a new node improves the current solution, then it is inserted and the current solution is modified. Otherwise, another node is tested for insertion.

3.3 Experimental results

All algorithms described in the previous sections have been implemented in C++ and compiled with the compiler gcc (TDM-2 mingw31) 4.1.1. All computational experiments have been carried out on an Intel i5 2.8 GHz quadcore processor with 4 GB of RAM memory running under Windows 7 Home.

Test problems have been extracted from the AIDS group of graphs from the IAM Graph Database Repository [12]. The algorithms were tested on 100 randomly chosen instances, divided into ten test sets. Each test set contains ten instances of the same size, where the size of

```
begin LOCAL-SEARCH(Solution)
    Set CurrentSolution \leftarrow Solution;
1
    Set CurrentSOD \leftarrow SOD(Solution,S);
2
   Let V' \leftarrow V_{\text{MinSup}(S)} - V_{\text{CurrentSolution}}, where V_G denotes the vertex set of a graph G;
3
    for each v \in V' do;
4
         if SOD(CurrentSolution<sup>(+\nu)</sup>, S) < CurrentSOD then
5
             CurrentSOD \leftarrow SOD(CurrentSolution<sup>(+\nu)</sup>, S);
6
              CurrentSolution \leftarrow CurrentSolution<sup>(+\nu)</sup>;
7
8
              V' \leftarrow V_{\text{MinSup}(S)} - V_{\text{CurrentSolution}};
9
         end-if;
10 end for-each:
11 return CurrentSolution;
end LOCAL-SEARCH.
```

Figure 3.4: Pseudo-code of the local search phase.

an instance is characterized by the total number of vertices in the graphs within its input graph set *S*. We considered test sizes of 20, 40, 60, 80, 100, 120, 140, 160, 180 and 200 vertices altogether.

The numerical results obtained are illustrated in Tables 3.1 to 3.4. For each instance, we display its total number of vertices, the number of vertices $\#(\operatorname{MinSup}(S))$ in $\operatorname{MinSup}(S)$, the sum of distances $\operatorname{SOD}(\operatorname{MinSup}(S), S)$ from $\operatorname{MinSup}(S)$ to *S*, the sum of distances $\operatorname{SOD}(\hat{G}, S)$ from the median graph \hat{G} to *S*, the sum of distances from the generalized median graph \overline{G} obtained by the adaptive greedy algorithm to *S* and the corresponding computation time in seconds, the sum of distances from the best generalized median graph obtained by the GRASP heuristic, the time taken by the GRASP heuristic to find the best solution and the iteration in which it was obtained, and, finally, the total computation time in seconds. The number of vertices in $\operatorname{MinSup}(S)$ gives an upper bound to the number of vertices in the best solution (it also provides an upper bound to the number of estimates of the sum of distances that will have to be computed at each iteration of the adaptive greedy algorithm). Furthermore, $\operatorname{SOD}(\operatorname{MinSup}(S), S)$ and $\operatorname{SOD}(\hat{G}, S)$ give upper bounds to the sum of distances from the minimum cost generalized median graph to *S*.

The GRASP heuristic was run for 100 iterations for all instances. The solutions obtained by GRASP were at least as good as those found by the adaptive greedy algorithm for all test problems. Furthermore, GRASP found strictly better solutions than the adaptive greedy algorithm for 57 instances over all 100 test problems. The advantage of GRASP in terms of solution quality increases with the problem size: GRASP found strictly better solutions than the adaptive greedy algorithm for 35 out of the largest 50 instances with 120 to 200 vertices. Table 3.5 displays, for each test set (formed by ten instances each, all of them with the same total number of vertices in the graphs within its input graph set *S*), the average reductions between the solution values $SOD(\overline{G}, S)$ obtained by the adaptive greedy algorithm and by the GRASP heuristic with respect to the sum of distances SOD(MinSup(S), S) from MinSup(S) to *S* and to the sum of distances $SOD(\hat{G}, S)$ from the median graph \hat{G} to *S*, showing by how much the proposed heuristics are able to improve, respectively, the initial solution and the upper bound given by the median graph.

| | | | | Audpuve greeny | greeny | | JCHND | JCV | |
|----------|--------------|-------------------|------------------|-----------------------|----------|----------|------------------|-------------------|-------------|
| Vertices | #(MinSup(S)) | SOD(MinSup(S), S) | $SOD(\hat{G},S)$ | $SOD(\overline{G},S)$ | Time (s) | Best SOD | Time to best (s) | Iteration to best | Time (s) |
| 20 | 14 | 22 | 15 | 12 | 0.24 | 12 | 0.30 | 1 | 40.49 |
| 20 | 15 | 40 | 22 | 20 | 0.15 | 20 | 0.20 | 1 | 31.8 |
| 20 | 18 | 52 | 22 | 20 | 0.26 | 20 | 0.36 | 1 | 34.30 |
| 20 | 13 | 32 | 14 | 14 | 0.17 | 14 | 0.20 | 1 | 31.4 |
| 20 | 11 | 24 | 10 | 10 | 0.18 | 10 | 0.21 | 1 | 33.7 |
| 20 | 14 | 36 | 18 | 18 | 0.15 | 18 | 0.20 | 1 | 34.0 |
| 20 | 11 | 24 | 16 | 16 | 0.16 | 16 | 0.20 | 1 | 22.4 |
| 20 | 16 | 09 | 23 | 20 | 0.12 | 20 | 0.16 | 1 | 11.9 |
| 20 | 16 | 09 | 24 | 20 | 0.12 | 20 | 0.15 | 1 | 12.5_{4} |
| 20 | 14 | 50 | 22 | 19 | 0.14 | 19 | 0.19 | 1 | 16.19 |
| 40 | 22 | 92 | 38 | 36 | 0.81 | 34 | 5.56 | 4 | 132.10 |
| 40 | 23 | 98 | 38 | 32 | 0.57 | 30 | 1.50 | 1 | 123.77 |
| 40 | 23 | 98 | 40 | 38 | 0.78 | 38 | 0.89 | 1 | 129.37 |
| 40 | 22 | 92 | 36 | 32 | 0.51 | 30 | 4.27 | 4 | 104.8 |
| 40 | 22 | 92 | 36 | 32 | 0.49 | 30 | 2.15 | 2 | 100.2^{4} |
| 40 | 21 | 107 | 39 | 33 | 0.40 | 33 | 0.52 | 1 | 89.2 |
| 40 | 19 | 93 | 38 | 34 | 0.35 | 34 | 0.48 | 1 | 74.75 |
| 40 | 18 | 86 | 34 | 31 | 0.57 | 31 | 0.79 | 1 | 107.2 |
| 40 | 24 | 152 | 50 | 40 | 0.40 | 40 | 0.53 | 1 | 85.6 |
| 40 | 22 | 136 | 38 | 36 | 0.50 | 36 | 0.65 | 1 | 91.29 |
| 60 | 30 | 150 | 64 | 49 | 2.85 | 48 | 8.98 | 2 | 389.00 |
| 60 | 26 | 122 | 50 | 39 | 2.15 | 39 | 2.66 | 1 | 303.98 |
| 09 | 28 | 164 | 48 | 48 | 2.34 | 44 | 10.91 | 33 | 342.50 |
| 60 | 29 | 172 | 54 | 48 | 1.38 | 46 | 5.07 | 2 | 229.10 |
| 60 | 20 | 200 | 46 | 45 | 0.63 | 45 | 0.83 | 1 | 171.8(|
| 09 | 27 | 183 | 58 | 51 | 0.79 | 51 | 1.03 | 1 | 167.9 |
| 60 | 24 | 180 | 52 | 48 | 1.13 | 48 | 1.44 | 1 | 203.9 |
| 60 | 23 | 193 | 52 | 47 | 0.74 | 45 | 9.29 | 5 | 182.8 |
| 60 | 22 | 182 | 50 | 48 | 0.73 | 47 | 1.87 | 1 | 172.52 |
| 09 | 32 | 374 | 76 | 60 | 0.63 | 60 | 0.85 | - | 07 01 |

Table 3.1: Results for the instances with 20, 40, and 60 vertices (100 GRASP iterations).

Table 3.2: Results for the instances with 80, 100, and 120 vertices (100 GRASP iterations).

| | | | | Auapuve greeuy | greeny | | UKASP | | |
|----------|--------------|-------------------|------------------|-----------------------|----------|----------|------------------|-------------------|--------------|
| Vertices | #(MinSup(S)) | SOD(MinSup(S), S) | $SOD(\hat{G},S)$ | $SOD(\overline{G},S)$ | Time (s) | Best SOD | Time to best (s) | Iteration to best | Time (s) |
| 40 | 50 | 710 | 130 | 117 | 12.15 | 110 | 22.93 | 1 | 1609.02 |
| 40 | 44 | 652 | 122 | 110 | 9.75 | 104 | 31.50 | 2 | 1402.51 |
| 40 | 43 | 677 | 132 | 124 | 4.92 | 114 | 20.01 | 2 | 859.21 |
| 40 | 44 | 696 | 119 | 101 | 5.13 | 101 | 6.55 | 1 | 889.6 |
| 140 | 38 | 582 | 112 | 108 | 4.25 | 104 | 499.43 | 62 | 806.95 |
| 140 | 45 | 760 | 124 | 110 | 6.24 | 110 | 7.96 | 1 | 981.7_{4} |
| 140 | 49 | 889 | 151 | 124 | 4.91 | 124 | 6.65 | 1 | 849.57 |
| 140 | 48 | 868 | 148 | 117 | 5.00 | 117 | 6.45 | 1 | 871.62 |
| 140 | 47 | 847 | 135 | 118 | 5.88 | 115 | 11.54 | 1 | 987.45 |
| 140 | 42 | 742 | 130 | 117 | 6.66 | 117 | 8.78 | 1 | 1051.93 |
| 160 | 53 | 741 | 139 | 118 | 19.96 | 116 | 32.33 | - | 2841.25 |
| 160 | 54 | 812 | 170 | 130 | 15.10 | 128 | 26.71 | 1 | 2037.39 |
| 160 | 50 | 740 | 140 | 130 | 14.69 | 124 | 81.70 | 4 | 1919.15 |
| | 49 | 771 | 145 | 123 | 16.80 | 123 | 22.67 | 1 | 2153.52 |
| 160 | 49 | 771 | 156 | 133 | 16.78 | 130 | 47.01 | 2 | 2164.3(|
| 160 | 55 | 885 | 151 | 129 | 22.73 | 129 | 29.58 | 1 | 2620.99 |
| 160 | 47 | 733 | 143 | 122 | 13.02 | 119 | 56.60 | 3 | 1740.3^{4} |
| 160 | 47 | 733 | 140 | 127 | 11.32 | 124 | 23.74 | 1 | 1852.8(|
| 160 | 39 | 581 | 128 | 115 | 6.34 | 114 | 11.87 | 1 | 1102.13 |
| 160 | 47 | 733 | 134 | 114 | 27.51 | 113 | 119.35 | 4 | 2742.63 |
| 80 | 56 | 966 | 169 | 150 | 17.64 | 149 | 51.97 | 2 | 2231.33 |
| 80 | 47 | 807 | 152 | 123 | 13.91 | 123 | 18.76 | 1 | 1899.15 |
| 80 | 50 | 870 | 153 | 124 | 24.86 | 124 | 31.82 | 1 | 2811.30 |
| _ | 47 | 807 | 164 | 147 | 19.78 | 138 | 108.66 | 4 | 2637.01 |
| 80 | 54 | 1008 | 162 | 138 | 19.20 | 134 | 2478.88 | <i>L</i> 6 | 2549.65 |
| 80 | 61 | 1162 | 174 | 152 | 13.79 | 150 | 25.82 | 1 | 1827.17 |
| 80 | 56 | 1052 | 166 | 144 | 20.67 | 138 | 34.78 | 1 | 2892.28 |
| 80 | 51 | 942 | 160 | 150 | 18.01 | 142 | 319.07 | 13 | 2398.33 |
| 80 | 50 | 920 | 148 | 124 | 51.09 | 124 | 64.11 | 1 | 4825.27 |
| _ | 51 | 942 | 158 | 144 | 15.52 | 136 | 28.04 | - | 2200.90 |

Table 3.3: Results for the instances with 140, 160, and 180 vertices (100 GRASP iterations).

| | | | | | Adaptive greed | greedy | | GRASP | ASP | |
|----------|----------|--------------|-------------------|------------------|-----------------------|----------|----------|------------------|-------------------|----------|
| Instance | Vertices | #(MinSup(S)) | SOD(MinSup(S), S) | $SOD(\hat{G},S)$ | $SOD(\overline{G},S)$ | Time (s) | Best SOD | Time to best (s) | Iteration to best | Time (s) |
| i01 | 200 | 57 | 266 | 182 | 148 | 37.11 | 145 | 55.69 | - | 4187.89 |
| i02 | 200 | | 944 | 164 | 148 | 30.35 | 142 | 830.33 | 23 | 3594.42 |
| i03 | 200 | | 1010 | 166 | 144 | 29.18 | 140 | 48.40 | 1 | 3732.03 |
| i04 | 200 | | 1157 | 196 | 164 | 20.59 | 152 | 115.85 | 4 | 2722.80 |
| i05 | 200 | | 1019 | 172 | 150 | 19.36 | 142 | 34.28 | 1 | 2638.67 |
| i06 | 200 | | 1065 | 180 | 157 | 20.84 | 148 | 93.09 | 33 | 2762.97 |
| i07 | 200 | | 966 | 176 | 144 | 18.61 | 141 | 31.53 | 1 | 2523.99 |
| i08 | 200 | | 927 | 171 | 141 | 18.87 | 141 | 24.84 | 1 | 2503.30 |
| i09 | i09 200 | 53 | 1019 | 183 | 148 | 18.17 | 147 | 31.58 | 1 | 2697.00 |
| i10 | 200 | | 1042 | 190 | 161 | 20.29 | 154 | 60.24 | 2 | 2631.77 |

Table 3.4: Results for the instances with 200 vertices (100 GRASP iterations).

| | Adaptive gre | eedy | GRASP | |
|----------|---------------------------------------|---------------------------|---------------------------------------|---------------------------|
| Vertices | $\operatorname{SOD}(\overline{G},S)/$ | $SOD(\overline{G},S)/$ | $\operatorname{SOD}(\overline{G},S)/$ | $SOD(\overline{G},S)/$ |
| (total) | SOD(MinSup(S), S) | $\mathrm{SOD}(\hat{G},S)$ | SOD(MinSup(S), S) | $\mathrm{SOD}(\hat{G},S)$ |
| | (%) | (%) | (%) | (%) |
| 20 | 55.02 | 8.15 | 55.02 | 8.15 |
| 40 | 66.39 | 10.82 | 67.22 | 12.99 |
| 60 | 73.18 | 11.31 | 73.77 | 13.25 |
| 80 | 77.04 | 11.29 | 78.48 | 13.65 |
| 100 | 79.63 | 13.60 | 80.13 | 15.66 |
| 120 | 80.71 | 12.43 | 81.03 | 13.88 |
| 140 | 84.36 | 11.73 | 84.80 | 14.09 |
| 160 | 83.24 | 13.93 | 83.62 | 15.39 |
| 180 | 85.20 | 13.16 | 85.61 | 15.50 |
| 200 | 85.19 | 15.36 | 85.70 | 18.31 |
| Average | 77.00 | 12.18 | 77.54 | 14.09 |

Table 3.5: Average improvement in the sum of distances of the best solution found by each algorithm, with respect to the sum of distances from MinSup(S) and from the median graph \hat{G} to S.

Figure 3.5 displays the increase in the average sum of distances from the best solution to *S* found by each algorithm, with respect to the total number of vertices in each instance. It shows that GRASP becomes progressively better than the adaptive greedy algorithm as the problem size increases.

Figure 3.6 depicts the evolution of the best solution found along 100 GRASP iterations for instance i05.140 with a total of 140 vertices. It shows that solution quality improves with the number of iterations, i.e., with the running time. The larger is the number of iterations given to the GRASP heuristic, the better is the solution found. It also illustrates by how much the local search is able to improve the solution built by the greedy adaptive algorithm in the GRASP construction phase at each iteration. Considering all 100 instances, on average the local search phase was able to improve by approximately 1.2% the solutions built at the construction phase. Table 3.6 shows that the average relative improvement obtained by local search increases with the problem size.

We have also assessed the behavior of GRASP using the methodology proposed by [2] and the software distributed by the authors [3]. Two hundred independent runs of the heuristic have been performed for each algorithm. Each run was terminated when a solution with value less than or equal to a given target was found. Numerical results are illustrated for instance i05.140 with a total of 140 vertices, with the target value set at 106. The empirical probability distribution of the time observed to find a solution value less than or equal to the target is plotted

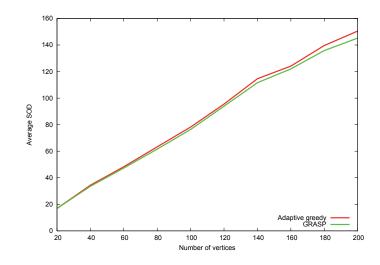


Figure 3.5: Average sum of distances from the best solution to S.

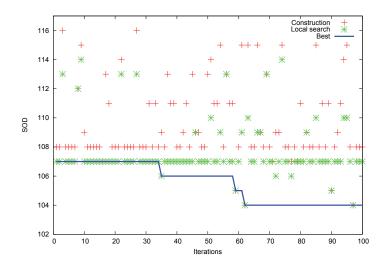


Figure 3.6: Evolution of the best solution found along 100 GRASP iterations for instance i05.140 with a total of 140 vertices.

in Figure 3.7. To plot the empirical distribution for each algorithm, we associate a probability $p_i = (i - \frac{1}{2})/200$ with the *i*-th smallest running time t_i and we plot the points $z_i = (t_i, p_i)$, for i = 1, ..., 200. It can be observed that the running times fit an exponential distribution.

3.4 Statistical evaluation: Nonparametric tests

Most statistical tests are based on the assumption that the random samples are taken from a population with a normal distribution. Traditionally, these procedures are called parametric methods, because they are based on a particular parametric family of distributions [47]. Non-parametric tests, also known as distribution-free methods, make no assumptions about the dis-

| $\mathbf{L}_{\mathbf{r}}$ |
|---------------------------|
| Improvement (%) |
| 0.00 |
| 0.39 |
| 1.07 |
| 1.43 |
| 1.04 |
| 1.04 |
| 1.70 |
| 1.74 |
| 1.76 |
| 1.82 |
| 1.20 |
| |

Table 3.6: Average improvement in percent by the local search phase.

tribution of the underlying population. In this section, we compare the results found by the two proposed heuristics using two non-parametric tests: the sign test and the Wilcoxon test.

3.4.1 Sign test

Let X_i and Y_i be, respectively, the solution values found by GRASP and by the greedy adaptive heuristic for each test problem numbered i = 1, ..., 100. Since there are two populations to be compared, the sign test for paired samples will be used. Let $D_i = X_i - Y_i$ be the paired differences, for i = 1, ..., 100. Testing if both populations have the same median can be done by testing if the median of their differences is null, i.e., if $\tilde{\mu}_D = 0$. A "+" sign is assigned to each positive difference, a "-" sign to each negative difference, and all ties are discarded. All paired differences are nonpositive, with 57 "-" signs, no "+" sign, and 43 ties. Since the ties are disconsidered, the sample consists of the 57 results and we test

$$H_0: \tilde{\mu}_D = 0$$
 and $H_1: \tilde{\mu}_D < 0$.

Since n = 57 > 10 and p = 0.5, the binomial distribution can be approximated by the normal distribution. The test statistic is given by min(57,0) = 0. The null hypothesis H_0 can be rejected with a level of significance of 5% because

$$Z_0 = \frac{0 - (\frac{57}{2})}{\frac{\sqrt{57}}{2}} \cong -7.4$$

is less than the critical value -1.64. Therefore, we may say that the GRASP heuristic performs better with a level of significance of 5%.

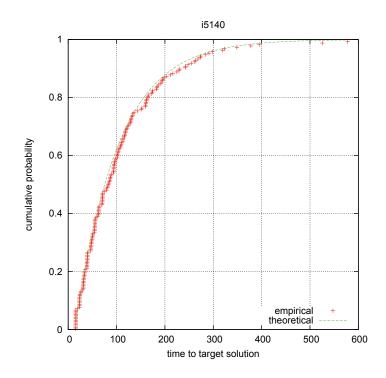


Figure 3.7: Runtime distribution from 200 runs of 100 GRASP iterations for instance i05.140 with a total of 140 vertices and target value set at 106 (the best known value is 104).

3.4.2 Wilcoxon signed-rank test

The previous test makes use only of the signs of the differences between pairs of observations. It does not take into account the magnitude of these differences. The Wilcoxon signed-rank test considers both the sign and the magnitude of these differences and also applies to the case of symmetric distributions.

As before, let X_i , Y_i , and $D_i = X_i - Y_i$ be, respectively, the solution value found by GRASP, the solution value found by the greedy adaptive heuristic, and the paired difference for each test problem numbered i = 1, ..., 100. The null hypothesis is $H_0 : \mu_X = \mu_Y$, which is equivalent to $H_0 : \mu_D = 0$. We initially consider the two-sided alternative $H_1 : \mu_X \neq \mu_Y$ (or, equivalently, $H_1 : \mu_D \neq 0$). To use the Wilcoxon signed-rank test, the differences are first ranked in ascending order of their absolute values, and then the ranks are given the signs of the differences. Ties are assigned average ranks. Let W^+ be the sum of the positive ranks and W^- be the absolute value of the sum of the negative ranks, and set $W = \min(W^+, W^-)$. If the observed value for this statistic is less than or equal to w_{α}^* , then the null hypothesis is rejected, where w_{α}^* is a critical value defined accordingly to the significance level α chosen for the experiment. For one-sided tests, if the alternative is $H_1 : \mu_D > 0$ (resp. $H_1 : \mu_D < 0$) then reject H_0 if $w^- \leq w_{\alpha}^*$ (resp. $w^+ \leq w_{\alpha}^*$). In this case, the sum of the ranks corresponding to positive differences is $W^+ = 0$ and the sum of the ranks corresponding to negative differences is $W^- = 5.5 \times 10 + 18 \times 15 + 30 \times 9 + 39 \times 9 + 46 \times 5 + 49.5 \times 2 + 52 \times 3 + 54.5 \times 2 + 56 + 57 = 1653$. Then, we test:

$$H_0: \tilde{\mu}_D = 0 \text{ and } H_1: \tilde{\mu}_D < 0.$$

The test statistic is W_+ , since we expect that GRASP performs better than the greedy adaptive heuristic. Since the size of the sample is large, a normal approximation can be used for this statistic [47]. Assuming H_0 is true, the normal approximation for W^+ has

$$\mu_{W^+} = \frac{n(n+1)}{4}, \sigma_{W^+} = \sqrt{\frac{n(n+1)(2n+1)}{24}} \text{ and } Z_0 = \frac{W_+ - \frac{n(n+1)}{4}}{\sqrt{\frac{n(n+1)(2n+1)}{24}}}.$$

For n = 57, $\mu_{W^+} = 826.5$ and $\sigma_{W^+} = 125.86$. Since

$$Z_0 = \frac{0 - 826.5}{125.86} \cong -6.58 < -1.64,$$

once again there is enough evidence to discard H_0 and we may say that the GRASP heuristic performs better with a level of significance of 5%.

3.5 Application to classification

The classification problem in machine learning consists in appointing the class that best fits to an input object, given a set of possible classes. Classification problems appear, e.g., in face detection (finding faces in images), spam filters (identifying email messages as spam or notspam), medical diagnosis (diagnosing whether a patient suffers or not of some disease), weather prediction and others [5].

We have noticed before that the generalized median graph is the graph that best represents and summarizes the information provided by a set of graphs. Therefore, given a classification task where the objects are represented by graphs and divided in classes, it is reasonable to assume that each class can be represented by its generalized median graph. The goal of this section is to provide an experimental evaluation of this assumption and to illustrate that the algorithms proposed in this work may be appropriately used to solve some classification problems.

There are several approaches for solving classification problems, such as neural networks, Bayes classifiers, and decision trees, among others [21]. In the context of graph problems, nearest-neighbor classifiers are often used, mostly because of their simplicity, since they only require a way to measure dissimilarity between objects. Nearest-neighbor classifiers are supervised learning tasks based on a training set of patterns. In this training set, every pattern has a category label assigned to it. Given a test set composed of all patterns to be classified, each of its patterns is compared to all elements of the training set. The 1-nearest-neighbor classifier (1-NN) is defined by assigning a test pattern to the class of its most similar training pattern. The 1-NN classifier can be extended to consider not only the most similar pattern in the training set but, instead, the *k* closest patterns: in a *k*-NN classifier, the test pattern is assigned to the class that occurs most frequently among its *k* nearest or closest training patterns [27, 37]. Figure 3.8 shows an example of the application of a *k*-NN classifier.

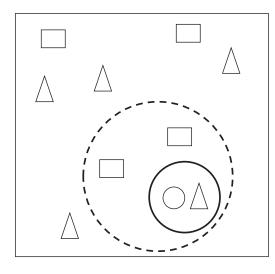


Figure 3.8: Example of *k*-NN classification: the test object (circle) must be classified either to the class of triangles or to the class of squares. If k = 1 (solid line circle), then it is assigned to the class formed by triangles. If k = 3 (dashed line circle), then it is assigned to the class formed by squares, since there are two squares against one triangle inside that circle.

The classification experiments reported next consisted of classifying some queries using two approaches: the *k*-NN classifier and generalized median graphs. The median graph approach amounts to computing an approximate generalized median graph of each class and comparing each query to these approximate generalized median graphs. The median graph approach presents the advantage that the number of comparisons is greatly reduced, since each query is compared only to a small number of graphs, while with *k*-NN the query is compared with every element of all classes. Figures 3.9 and 3.10 illustrate the two approaches in the case where the objects have to be classified in two classes (binary classification).

The instances used in this experiment were taken from the IAM Graph Database Repository [55]. This database consists of ten groups of graphs. Graphs in group AIDS used in this work represent molecules and are divided in two classes: active molecules and inactive molecules, depending on its relation with the AIDS virus. There are 2000 graphs in this database, divided in three sets: training set (250 graphs), test set (1500 graphs), and validation set (250

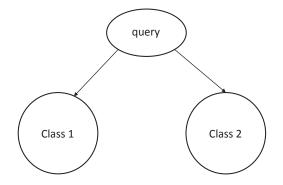


Figure 3.9: Classification using *k*-*NN* algorithm: query is compared with every element of classes 1 and 2.

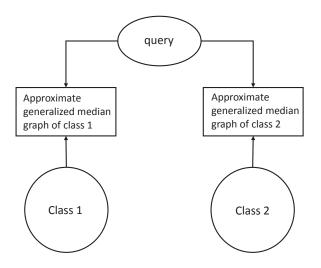


Figure 3.10: Classification using generalized median graph: query is compared only with classes' 1 and 2 median graphs.

graphs).

In order to evaluate the performance of the approximate generalized median graph for classifying objects, the following experiments were performed:

- Experiment A: 60 graphs (30 active molecules and 30 inactive molecules) were selected from the training set. Both classes had their approximate generalized median graphs computed by the GRASP heuristic. 190 graphs were selected from the test set and classified by algorithms 1-NN and 3-NN. Next, the same 190 queries have been classified using the approximate generalized median graph of each of the two classes (the distance between each query and the approximate generalized median graphs have been computed and the query was assigned to the class which showed the smallest distance).
- Experiment B: same as above, with the training set formed by 80 graphs (40 active molecules and 40 inactive molecules).

• Experiment C: same as above, with the training set formed by 100 graphs (50 active molecules and 50 inactive molecules).

The same 190 queries have been used in all experiments. The performance of the two approaches is addressed in terms of accuracy and computational time. The approximate generalized median graphs for each class have been computed from five randomly chosen graphs of each class. The process of randomly selecting five graphs from each class and computing their distances to the queries was repeated five times with different choices. The results in the forthcoming tables and figures refer to the average time and accuracy obtained over the five different choices.

It was observed in the experiments that the computational times of the *k*-*NN* algorithm grow quickly as the number of nodes increase. Table 3.7 displays the time consumed in computing the approximate generalized median graph of both classes in each experiment and the time performance of the *k*-*NN* algorithm for each experiment. It shows that classifying a query using the approximate generalized median graph requires smaller computation times, since only two distance computations have to be performed, between the query and the generalized median graph of each class. Table 3.8 shows the accuracy obtained by the methods in the experiments. The results in accuracy show that the generalized median graph approach is able to obtain an average level of accuracy of 97.33% in classificating the graphs. This result may indicate that the median graph can be effectively used when one wants to find a representative of a set of graphs.

| Table 3. | : Classification | times in | seconds | using t | he | approximate | generalized | median | graph, |
|----------|------------------|----------|---------|---------|----|-------------|-------------|--------|--------|
| 1-NN, ar | d 3-NN. | | | | | | | | |

| | Approximate | generalized median | graph | 1-NN | 3-NN |
|------------|-----------------|--------------------|-----------|---------|---------|
| Experiment | Computation (s) | Classification (s) | Total (s) | (s) | (s) |
| А | 687.49 | 4.43 | 691.92 | 415.18 | 420.09 |
| В | 820.52 | 6.08 | 826.60 | 1743.72 | 1744.51 |
| C | 1181.44 | 6.56 | 1188.00 | 8892.50 | 8894.72 |

These classification experiments comparing the use of the generalized median graph and the *k*-NN classifier have shown that the first is competitive with the latter and was even able to outperform it in terms of solution accuracy. In addition, the approach based on the use of the generalized median graph spends significantly smaller computation times, in particular when the size of the training set increases. Therefore, we may conclude that the generalized median graphs provided by the algorithms proposed in this Chapter provide useful information for classification problems.

| | Approximate generalized | 1-NN | 3-NN |
|------------|-------------------------|------------------|----------------|
| | median graph | | |
| Experiment | Accuracy | Accuracy | Accuracy |
| А | 185.60/190 (97.68%) | 183/190 (96,31%) | 190/190 (100%) |
| В | 189.60/190 (99.78%) | 189/190 (99,47%) | 190/190 (100%) |
| С | 179.60/190 (94.52%) | 182/190 (95,78%) | 190/190 (100%) |

Table 3.8: Accuracy in classification using the generalized median graph, 1-NN, and 3-NN.

3.6 Conclusions

We proposed two heuristics for computing generalized median graphs: an adaptive greedy algorithm and its extension to a GRASP heuristic. The GRASP heuristic obtained generalized median graphs that significantly improved the initial solutions and those provided by the median graphs. On average, the GRASP heuristic improved the sum of distances from the minimum common supergraph by 77.54 percent and the sum of distances from the median graph by 14.09 percent. The proposed adaptive greedy algorithm and GRASP heuristic made it possible to solve significantly larger problems than those solved in the literature to date. These results lead to the main conclusion of this Chapter. Good approximations to the generalized median graph can be effectively computed by the heuristics proposed in this chapter, making it a better representation than the median graph to be used in a number of relevant pattern recognition or machine learning applications, as illustrated by the experiments involving the graph based classification and the 1-NN classifier. The contributions and results in this chapter have already been published as reference [51].

Chapter 4

Bounds on the SOD of a graph

Section 4.1 presents the main theoretical result in this work, which gives a bound to the value of the *SOD* of a graph, based only on the number of nodes of the graph and on the number of graphs in the set. A consequence of this proposition, related to the reduction of the search space for the generalized median graph problem, is explored in Section 4.2. Section 4.3 shows how algorithms for the generalized median graph problem can benefit from this theoretical result.

4.1 Bounds on the SOD of a graph

In the search to find approximate generalized median graphs for a set *S*, the candidate solutions are always induced subgraphs of the minimum common supergraph of *S*. If #(MinSup(S)) = k, then the number of possible induced subgraphs is 2^k . Thus, it would be interesting to find a criterion to discard some of these candidate subgraphs, and focus only on the most promising ones.

Proposition 1 determines a bound to the SOD of a candidate graph, depending only on its number of nodes and on the number of graphs in the set. All labeled graphs are taken from a universe set U, where U consists of all graphs that can be constructed from an alphabet \mathcal{L} of labels.

Proposition 1 Let $S = \{G_1, ..., G_n\} \subset U$ be a set of labeled graphs. Let $Cand \in U$. Then:

$$SOD(Cand, S) \ge |\sum_{i=1}^{n} \#(G_i) - n \times \#(Cand)|.$$

Proof: Let $S = \{G_1, \ldots, G_n\}$ be a set of labeled graphs and let $Cand \in U$. By definition,

 $MaxSub(G_i, Cand) \subseteq Cand$ and $MaxSub(G_i, Cand) \subseteq G_i$, for $1 \le i \le n$. Therefore, we have that:

$$#(MaxSub(G_i, Cand)) \le #(Cand), 1 \le i \le n,$$
(4.1)

$$\#(MaxSub(G_i, Cand)) \le \#(G_i), 1 \le i \le n.$$

$$(4.2)$$

Computing the distance between *Cand* and the graphs in *S* we have:

$$\begin{cases} d(G_1, Cand) = \#(G_1) + \#(Cand) - 2 \times \#(MaxSub(G_1, Cand)) \\ d(G_2, Cand) = \#(G_2) + \#(Cand) - 2 \times \#(MaxSub(G_2, Cand)) \\ \vdots \\ d(G_n, Cand) = \#(G_n) + \#(Cand) - 2 \times \#(MaxSub(G_n, Cand)) \end{cases}$$

Therefore:

$$SOD(Cand, S) = \sum_{i=1}^{n} d(G_i, Cand) = \sum_{i=1}^{n} \#(G_i) + n \times \#(Cand) - 2 \times \sum_{i=1}^{n} \#(MaxSub(G_i, Cand))$$

Using inequality (4.1) in SOD(Cand, S),

$$SOD(Cand, S) \ge \sum_{i=1}^{n} \#(G_i) + n \times \#(Cand) - 2 \times n \times \#(Cand)$$

and, therefore,

$$SOD(Cand, S) \ge \sum_{i=1}^{n} \#(G_i) - n \times \#(Cand).$$

$$(4.3)$$

Using inequality (4.2) in SOD(Cand, S),

$$SOD(Cand, S) \geq \sum_{i=1}^{n} \#(G_i) + n \times \#(Cand) - 2 \times \sum_{i=1}^{n} \#(G_i)$$

and,

$$SOD(Cand, S) \ge n \times \#(Cand) - \sum_{i=1}^{n} \#(G_i).$$
(4.4)

Finally, from (4.3) and (4.4),

$$SOD(Cand, S) \ge |\sum_{i=1}^{n} \#(G_i) - n \times \#(Cand)|.$$

4.2 Reduction of the search space

The heuristics already implemented (adaptive greedy and GRASP) have the goal of computing an approximate generalized median graph of a set of labeled graphs *S*, i.e, find a graph with a small *SOD* to the graphs of *S*. Given a candidate graph *Cand* \in *U*, the previous proposition gives a bound to *SOD*(*Cand*, *S*) based only on its number #(*Cand*) of nodes.

It is possible to use Proposition 1 to reduce the search space for the generalized median graph of a set of graphs. In order to understand how this reduction works, consider instance i5.20, which consists of graphs 153, 718, 4901 and 14734 from the *IAM GraphDatabase Repository* (in this case, n = 4 and $\sum_{i=1}^{4} \#(G_i) = 20$). The best candidate found by the adaptive greedy heuristic was a graph *G* such that SOD(G, S) = 10. When executing any of the heuristics, if we are interested only in graphs whose *SOD* is less than or equal to SOD(G, S), it is not necessary to evaluate any graphs *Cand* such that #(Cand) = 0, 1 or 2, because:

- If #(Cand) = 0, then $SOD(Cand) \ge |20 4 \times 0| = 20$.
- If #(Cand) = 1, then $SOD(Cand) \ge |20 4 \times 1| = 16$.
- If #(Cand) = 2, then $SOD(Cand) \ge |20 4 \times 2| = 12$.

Therefore, it is not necessary to examine any graphs such that #(Cand) = 0, 1 or 2, since its *SOD* will be necessarily greater than that result found by the adaptive greedy heuristic. In the same way, graphs that have 8 or more nodes need not be evaluated, since:

- If #(Cand) = 8, then $SOD(Cand, S) \ge |20 4 \times 8| = 12$.
- If #(Cand) = 9, then $SOD(Cand, S) \ge |20 4 \times 9| = 16$, and so on.

As mentioned earlier, all candidates to be a generalized median graph are induced subgraphs of the minimum common supergraph(MinSup(S)). If #(MinSup(S)) = k, then the number of induced subgraphs of MinSup(S) is 2^k . In the case of instance i5.20, the minimum common supergraph is a graph with 11 nodes. Therefore, the original search space consisted of all induced subgraphs of MinSup(S) with a number of nodes less than or equal to 11. Hence, there were $2^{11} = 2048$ induced subgraphs of the minimum common supergraph that could be tested as approximate generalized median graphs for the instance. Using this proposition and the bound given by the adaptive greedy heuristic, we were able to reduce the search space to induced subgraphs with the number of nodes ranging in the interval [3,7]. The induced subgraphs of MinSup(S) with 0, 1, 2, 8, 9, 10 and 11 nodes can be excluded from the search space. The number of these subgraphs is given by:

$$\binom{11}{0} + \binom{11}{1} + \binom{11}{2} + \binom{11}{8} + \binom{11}{9} + \binom{11}{10} + \binom{11}{11} = 299$$

where $\binom{n}{k} = \frac{n!}{k!(n-k)!}$ is the binomial coefficient. Therefore, for instance i5.20 the reduction in the number of candidates, i.e, the reduction in the search space was of $\frac{299}{2048} = 14.59\%$.

The same reasoning applied to instance i5.20 can be used in the general case: let $S = \{G_1, \ldots, G_n\}$ be a set of labeled graphs for which we want to find a generalized median graph. Suppose that we have already obtained a graph G such that SOD(G) = L > 0. By Proposition 1, we know that for any graph *Cand*, SOD(Cand, S) is $|\sum_{i=1}^{n} \#(G_i) - n \times \#(Cand)|$ at best. If we are only interested in graphs *Cand* such that $SOD(Cand, S) \leq L$ then we can exclude any graph *Cand* such that:

$$|\sum_{i=1}^n \#(G_i) - n \times \#(Cand)| > L.$$

We have:

$$|\sum_{i=1}^{n} \#(G_i) - n \times \#(Cand)| > L \Leftrightarrow \begin{cases} \sum_{i=1}^{n} \#(G_i) - n \times \#(Cand) > L(*) \\ & \text{or} \\ \\ \sum_{i=1}^{n} \#(G_i) - n \times \#(Cand) < -L(**) \end{cases}$$

Solving inequalities (*) and (**) we have:

$$\begin{split} |\sum_{i=1}^n \#(G_i) - n \times \#(Cand)| > L \Leftrightarrow \begin{cases} \#(Cand) < \frac{\sum_{i=1}^n \#(G_i) - L}{n} \\ & \text{or} \\ \\ \#(Cand) > \frac{\sum_{i=1}^n \#(G_i) + L}{n} \end{cases} \end{split}$$

Therefore, if $\#(Cand) < \frac{\sum_{i=1}^{n} \#(G_i) - L}{n}$ or $\#(Cand) > \frac{\sum_{i=1}^{n} \#(G_i) + L}{n}$ then we know for sure that SOD(Cand, S) > L and there is no need to evaluate this graph. Thus, we are only interested in graphs that have a number of nodes in the interval $\left[\frac{\sum_{i=1}^{n} \#(G_i) - L}{n}, \frac{\sum_{i=1}^{n} \#(G_i) + L}{n}\right]$. Since the number of nodes is a natural number, we should actually consider only the interval:

$$\left[\left\lceil\frac{\sum_{i=1}^{n}\#(G_{i})-L}{n}\right\rceil, \left\lfloor\frac{\sum_{i=1}^{n}\#(G_{i})+L}{n}\right\rfloor\right]$$

Also, we cannot have a negative number of nodes and we are not interested in graphs larger than MinSup(S). Thus, more precisely, the only subgraphs that should be considered are the ones that have their number of nodes ranging in the interval:

$$\left[\max\left\{0, \left\lceil\frac{\sum_{i=1}^{n}\#(G_{i})-L}{n}\right\rceil\right\}, \min\left\{\#(MinSup(S)), \left\lfloor\frac{\sum_{i=1}^{n}\#(G_{i})+L}{n}\right\rfloor\right\}\right]$$

Tables 4.1 to 4.5 show the reduction in the search space that can be obtained using Proposition 1 for all 100 instances executed by the heuristics. For each instance, the limits $\frac{\sum_{i=1}^{n} \#(G_i) - L}{n}$ and $\frac{\sum_{i=1}^{n} \#(G_i) + L}{n}$ are shown, where *L* is the *SOD* of the approximate generalized median graph found by the adaptive greedy heuristic. The original search interval $\left[0, \#(MinSup(S))\right]$ and the reduced search interval $\left[\left[\frac{\sum_{i=1}^{n} \#(G_i) - L}{n}\right], \left\lfloor\frac{\sum_{i=1}^{n} \#(G_i) + L}{n}\right\rfloor\right]$ are also shown. The last column indicates by how much the search space decreased in percent.

| Instance | $\overline{\Sigma} \#(G_i) - L$ | $\overline{\Sigma^{\#}(G_i) + L}$ | Original search interval | Reduced search interval | Reduction($\mathcal{G}_{\mathcal{D}}$) |
|----------|---------------------------------|-----------------------------------|--------------------------|-------------------------|------------------------------------------|
| | <u>n</u> | n | | | |
| i1.20 | 2.67 | 10.67 | [0, 14] | [3, 10] | 3.51 |
| i2.20 | 0.00 | 10.00 | [0, 15] | [0, 10] | 5.92 |
| i3.20 | 0.00 | 10.00 | [0, 18] | [0, 10] | 24.03 |
| i4.20 | 1.50 | 8.50 | [0, 13] | [2,8] | 13.51 |
| i5.20 | 2.50 | 7.50 | [0,11] | [3,7] | 14.59 |
| i6.20 | 0.50 | 9.50 | [0, 14] | [1,9] | 8.98 |
| i7.20 | 1.00 | 9.00 | [0,11] | [1,9] | 0.63 |
| i8.20 | 0.00 | 8.00 | [0, 16] | [0,8] | 40.18 |
| i9.20 | | 8.00 | [0, 16] | [0,8] | 40.18 |
| i10.20 | 0.20 | 7.80 | [0, 14] | [1,7] | 39.53 |
| i1.40 | 0.67 | 12.67 | [0,22] | [1,12] | 26.17 |
| i2.40 | 1.33 | 12.00 | [0, 23] | [2,12] | 33.88 |
| i3.40 | 0.33 | 13.00 | [0, 23] | [0, 13] | 20.24 |
| i4.40 | 1.33 | 12.00 | [0,22] | [2,12] | 26.17 |
| i5.40 | 1.33 | 12.00 | [0,22] | [2,12] | 26.17 |
| i6.40 | 1.00 | 10.43 | [0,21] | [1,10] | 50.00 |
| i7.40 | 0.86 | 10.57 | [0, 19] | [1,10] | 32.38 |
| i8.40 | 1.29 | 10.14 | [0, 18] | [2,10] | 24.04 |
| i9.40 | 0.00 | 10.00 | [0,24] | [0, 10] | 72.93 |
| i10.40 | 0.50 | 9.50 | [0,22] | [1,9] | 73.82 |

Table 4.1: Reduction in the search interval for instances with sizes 20 and 40.

| | Taury T.A. | | 110 SCALCII III01 VAI 101 III34 | | |
|----------|------------------------------|------------------------------|---------------------------------|-------------------------|--------------|
| Instance | $\frac{\sum \#(G_i) - L}{n}$ | $\frac{\sum \#(G_i) + L}{n}$ | Original search interval | Reduced search interval | Reduction(%) |
| i1.60 | 1.57 | 15.57 | [0,30] | [2,15] | 42.77 |
| i2.60 | 3.00 | 14.14 | [0,26] | [3, 14] | 27.86 |
| i3.60 | 1.50 | 13.50 | [0,28] | [2, 13] | 57.47 |
| i4.60 | 1.50 | 13.50 | [0, 29] | [2, 13] | 64.44 |
| i5.60 | 1.15 | 8.08 | [0,20] | [2,8] | 74.82 |
| i6.60 | 1.00 | 12.33 | [0,27] | [1,12] | 64.94 |
| i7.60 | 1.20 | 10.80 | [0,24] | [2, 10] | 72.93 |
| i8.60 | 1.18 | 9.73 | [0,23] | [2,9] | 79.75 |
| i9.60 | 1.09 | 9.82 | [0,22] | [2,9] | 73.82 |
| i10.60 | 0.00 | 10.00 | [0, 32] | [0, 10] | 97.49 |
| i1.80 | 2.33 | 15.44 | [0,33] | [3,15] | 63.58 |
| i2.80 | 2.20 | 13.80 | [0, 32] | [3, 13] | 81.14 |
| i3.80 | 1.36 | 13.18 | [0, 33] | [2, 13] | 85.18 |
| i4.80 | 2.27 | 12.27 | [0, 32] | [3, 12] | 89.23 |
| i5.80 | 1.55 | 13.00 | [0, 32] | [2, 13] | 81.14 |
| i6.80 | 1.36 | 13.18 | [0,35] | [2, 13] | 91.22 |
| i7.80 | 1.00 | 13.55 | [0, 33] | [1,13] | 85.18 |
| i8.80 | 1.45 | 13.09 | [0, 33] | [2, 13] | 85.18 |
| i9.80 | 1.18 | 13.36 | [0, 36] | [2, 13] | 93.37 |
| i10.80 | 1.09 | 13.45 | [0, 35] | [2, 13] | 91.22 |

Table 4.2: Reduction in the search interval for instances with sizes 60 and 80.

| Instance | $\overline{\Sigma} \#(G_i) - L$ | $\underline{\Sigma} \#(G_i) + L$ | Orioinal search interval | Reduced search interval | Reduction(%) |
|-----------|---------------------------------|----------------------------------|-----------------------------|----------------------------|--------------|
| חוזאמוורט | n | u | Uligiliai scarcii ilimi vai | INCURCE SCALERI TITICI VAL | |
| i1.100 | 2.18 | 16.00 | [0,40] | [3,16] | 86.59 |
| i2.100 | 1.83 | 14.83 | [0, 38] | [2, 14] | 92.83 |
| i3.100 | 1.38 | 14.00 | [0, 38] | [2, 14] | 92.83 |
| i4.100 | 1.15 | 14.23 | [0, 38] | [2, 14] | 92.83 |
| i5.100 | 2.15 | 13.23 | [0,36] | [3, 13] | 93.37 |
| i6.100 | 2.31 | 13.08 | [0, 38] | [3, 13] | 96.35 |
| i7.100 | 1.92 | 13.46 | [0, 34] | [2, 13] | 88.52 |
| i8.100 | 1.71 | 12.57 | [0, 37] | [2, 12] | 97.64 |
| i9.100 | 1.29 | 13.00 | [0, 37] | [2, 13] | 95.05 |
| i10.100 | 1.00 | 13.29 | [0, 38] | [1,13] | 96.35 |
| i1.120 | 2.15 | 16.31 | [0,42] | [3,16] | 91.79 |
| i2.120 | 1.80 | 14.20 | [0,41] | [2, 14] | 97.02 |
| i3.120 | 1.27 | 14.73 | [0, 45] | [2, 14] | 99.19 |
| i4.120 | 1.67 | 14.33 | [0,41] | [2, 14] | 97.02 |
| i5.120 | 1.73 | 14.27 | [0,40] | [2, 14] | 95.96 |
| i6.120 | 1.25 | 13.75 | [0,41] | [2, 13] | 98.62 |
| i7.120 | 0.88 | 14.12 | [0,40] | [1, 14] | 95.96 |
| i8.120 | 1.88 | 13.12 | [0, 37] | [2, 13] | 95.05 |
| i9.120 | 1.38 | 13.62 | [0, 42] | [2, 13] | 99.02 |
| i10.120 | 2.12 | 12.88 | [0,35] | [3,12] | 95.52 |

Table 4.3: Reduction in the search interval for instances with sizes 100 and 120.

| ÷ | $\sum \#(G_i) - L$ | $\sum \#(G_i) + L$ | - - - | $G_i) - L \sum \#(G_i) + L \ldots , , \ldots , \ldots , \ldots , \ldots , \ldots , , \ldots , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , , $ | |
|----------|--------------------|--------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|
| Instance | u u | u u | Uriginal search interval | Keduced search interval | Keduction(%) |
| i1.140 | 1.35 | 15.12 | [0,50] | [2,15] | 99.66 |
| i2.140 | 1.67 | 13.89 | [0, 44] | [2, 13] | 99.52 |
| i3.140 | 0.84 | 13.89 | [0, 43] | [1, 13] | 99.31 |
| i4.140 | 2.05 | 12.68 | [0, 44] | [3, 12] | 99.81 |
| i5.140 | 1.68 | 13.05 | [0, 38] | [2, 13] | 96.35 |
| i6.140 | 1.50 | 12.50 | [0, 45] | [2, 12] | 99.87 |
| i7.140 | 0.76 | 12.57 | [0, 49] | [1,12] | 99.97 |
| i8.140 | 1.10 | 12.24 | [0, 48] | [2, 12] | 96.66 |
| i9.140 | 1.05 | 12.29 | [0,47] | [2,12] | 99.94 |
| i10.140 | 1.10 | 12.24 | [0, 42] | [2,12] | 09.60 |
| i1.160 | 2.47 | 16.35 | [0,53] | [3,16] | 99.72 |
| i2.160 | 1.67 | 16.11 | [0,54] | [2,16] | 99.80 |
| i3.160 | 1.67 | 16.11 | [0,50] | [2,16] | 99.23 |
| i4.160 | 1.95 | 14.89 | [0, 49] | [2, 14] | 99.80 |
| i5.160 | 1.42 | 15.42 | [0, 49] | [2,15] | 99.53 |
| i6.160 | 1.63 | 15.21 | [0,55] | [2,15] | 99.94 |
| i7.160 | 2.00 | 14.84 | [0, 47] | [2, 14] | 09.60 |
| i8.160 | 1.74 | 15.11 | [0, 47] | [2,15] | 90.06 |
| i9.160 | 2.37 | 14.47 | [0, 39] | [3, 14] | 94.59 |
| i10.160 | 2.42 | 14.42 | [0, 47] | [3, 14] | 09.60 |

Table 4.4: Reduction in the search interval for instances with sizes 140 and 160.

| | $\Sigma \# (G_i) - L$ | $\Sigma \#(G_i) + L$ | | T_{i}) $-L = \Sigma \#(G_i) + L$ | |
|----------|-----------------------|-------------------------------------------------------------------|--------------------------|-------------------------------------|--------------|
| Instance | z (1) - z | z () - () - () - () - () - () - () - () - | Original search interval | Reduced search interval | Reduction(%) |
| i1.180 | 1.43 | 15.71 | [0,56] | [2,15] | 96.66 |
| i2.180 | 2.71 | 14.43 | [0,47] | [3, 14] | 09.60 |
| i3.180 | 2.67 | 14.48 | [0,50] | [3, 14] | 99.86 |
| i4.180 | 1.57 | 15.57 | [0,47] | [2, 15] | 90.06 |
| i5.180 | 1.91 | 14.45 | [0,54] | [2, 14] | 99.97 |
| i6.180 | 1.27 | 15.09 | [0,61] | [2, 15] | 99.99 |
| i7.180 | 1.64 | 14.73 | [0,56] | [2, 14] | 99.98 |
| i8.180 | 1.36 | 15.00 | [0,51] | [2,15] | 99.76 |
| i9.180 | 2.55 | 13.82 | [0,50] | [3, 13] | 99.95 |
| i10.180 | 1.64 | 14.73 | [0,51] | [2,14] | 99.91 |
| i1.200 | | 16.57 | [0,57] | [3,16] | 99.93 |
| i2.200 | | 15.82 | [0,52] | [3,15] | 99.84 |
| i3.200 | | 15.64 | [0,55] | [3,15] | 99.94 |
| i4.200 | | 15.83 | [0,59] | [2,15] | 99.98 |
| i5.200 | 2.17 | 15.22 | [0,53] | [3,15] | 99.89 |
| i6.200 | | 15.52 | [0,55] | [2,15] | 99.94 |
| i7.200 | | 14.96 | [0,52] | [3, 14] | 99.94 |
| i8.200 | | 14.83 | [0, 49] | [3, 14] | 99.80 |
| i9.200 | 2.26 | 15.13 | [0,53] | [3,15] | 99.89 |
| i10.200 | 1.70 | 15.70 | [0,54] | [2,15] | 99.92 |

Table 4.5: Reduction in the search interval for instances with sizes 180 and 200.

The results shown in the previous tables indicate a significant reduction in the search intervals, and consequently, in the search space. This reduction mostly eliminates the subgraphs with larger sizes, and it becomes more relevant if we consider how difficult it is to compute the distance between two graphs as they become larger.

4.3 Application to the heuristics

The results presented in this chapter can be used to improve heuristics designed to compute an approximate generalized median graph of a set of graphs. More specifically, the result presented in Section 4.2 can be used to reduce the number of graphs to be evaluated, since it allows the heuristic to discard graphs that have a *SOD* larger than a bound *L*.

An implementation of the GRASP heuristic using these results was tested, but no changes in the results were observed. One possible explanation for this is in the characteristics of the implemented GRASP heuristic. In each iteration, the constructive phase of GRASP uses a greedy strategy to tentatively remove nodes from the solution. This phase mainly determines the number of nodes of the solution, with the local search phase contributing with small changes on the number of nodes of the solution. Demanding that the number of nodes of the solutions fall in a pre-determined range, as Proposition 1 does, does not seem to work well, since the phases of the algorithm already efficiently compute the appropriate number of nodes of the solutions.

In Chapter 5, a BRKGA algorithm will be presented. In a BRKGA algorithm the initial population is chosen completely at random. In this case, this heuristic is likely to benefit from a reduction in the search space, since this reduction will probably allow for a better initial population (and for better mutant individuals). A variant of the BRKGA algorithm, based on the results presented in this chapter, will also be presented in Chapter 5.

Other metaheuristic-based algorithms may also utilize the results of this chapter. For instance, in a Tabu Search or in a Simulated Annealing heuristic, the reduced search interval can be used to restrict the number of nodes of the initial solution of the heuristic. In the case of a matheuristic algorithm, the reduced search interval can be incorporated in the mathematical model through a constraint on the number of nodes in the solution.

Chapter 5

Biased random-key genetic algorithms

In this chapter, BRKGA-based heuristics for the generalized median graph problem are presented. Section 5.1 shows the basic definitions of genetic algorithms. Section 5.2 presents the BRKGA heuristic and in Sections 5.3 and 5.4 two variants of the BRKGA heuristic are presented. Section 5.5 shows the numerical results and a comparison between the BRKGA heuristics and GRASP.

5.1 Genetic algorithms

Genetic algorithms were first proposed in 1975 by Holland [42], based on Darwin's theory of evolution. In the basic terminology of genetic algorithms, an individual c is an array of n components. Each component c_i , for i = 1, ..., n is called a gene and its value is called an allele. The individuals are associated with possible solutions of a problem. An evaluation function is applied for each individual and returns the fitness value, i.e, the capacity that this individual has to solve the problem.

A genetic algorithm (GA) evolves a set of individuals that compose a population P through a certain number of generations. At every generation a new population is created, using the genetic operators of crossover and mutation. In a crossover, individuals of the current population are combined to produce new individuals to the next generation. The mutation operator randomly modifies one or more genes of a certain number of individuals. The algorithm is repeated until some stopping criterion is reached. The pseudo-code of a typical GA is presented in Figure 5.1. In line 1 population P is initialized, and its evolution happens in lines 2 through 8. The fitness of all individuals of the population are computed in line 3. In line 4, the parents of that generation are selected and the crossover operator is applied on them. The mutant indi-

| begin Genetic algorithm |
|---------------------------------------------------------------|
| 1 Initialize initial population <i>P</i> ; |
| 2 while stopping criterion not reached ; |
| 3 Compute the fitness value of every individual of <i>P</i> ; |
| 4 Select parents and apply crossover operator; |
| 5 Select mutants and apply mutation operator; |
| 6 Update population; |
| 7 end-while; |
| 8 return best individual in the population; |
| end Genetic algorithm. |

Figure 5.1: Pseudo-code of the genetic algorithm.

viduals are selected in line 5 and the operation of mutation is applied on them. This procedure is executed until some stopping criterion is reached. Finally, line 8 returns the best individual in the population.

Genetic algorithms with random keys, or random-key genetic algorithms (denoted by RKGA), were first introduced by Bean [7] for combinatorial optimization problems whose solutions may be represented by permutation vectors. In a RKGA, the individuals are represented by an array of real numbers in the interval [0,1). Each element of the array is called a key and is randomly generated in the initial population. The population is particle in two subsets, one composed by the most fit individuals of the population, called the elite set, and the other one composed by the remaining individuals, called the non-elite set. A deterministic algorithm called the decoder maps each array of random-keys in a solution to the optimization problem. The cost of this solution is used as the fitness value.

RKGA uses the parameterized uniform crossover of Spears and Dejong [63] to combine two randomly selected individuals of the population. Let *n* be the number of genes in the individuals. Given two individuals c_1 and c_2 , randomly selected in the population, p_a is the probability that a descendent individual c_{new} inherits an allele from c_1 . This descendent c_{new} is generated in the following manner: for i = 1, ..., n, the *i*th allele $c_{new}(i)$ inherits the *i*th allele of c_1 with a probability p_a or from individual c_2 with a probability $1 - p_a$.

Figure 5.2 illustrates this crossover process of two individuals with four genes each. In this example, we have $p_a = 0.7$. A real number is randomly generated in the interval [0,1). If the number generated is less than 0.7, then the descendant inherits the allele from the first individual, otherwise it inherits the allele from the second individual. In this example, the descendant inherited the first, third and fourth genes from the first individual, making it more similar to it than to the second individual.

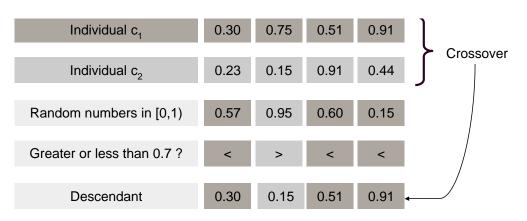


Figure 5.2: Parameterized uniform crossover.

Biased random-key genetic algorithms - BRKGA - first appeared in 2002 [23], and the main difference from RKGA is in the way that the individuals are selected for the crossover operation. In a BRKGA, in the crossover operation one individual is randomly selected from the elite-set and the other is selected from the non-elite set. In the RKGA, as mentioned earlier, the two individuals are randomly selected from the population. This improvement is sufficient to make BRKGA outperform RKGA. In both algorithms, the individuals can be selected more than once for mating in the same generation.

A BRKGA heuristic basically evolves a population of random-key vectors through a number of generations. Figure 5.3 illustrates the transition between two BRKGA generations. The left side of the figure represents the current population, partitioned in two subsets: TOP and REST, where TOP refers to elite individuals and REST refers to the remaining individuals. The size of the population is |TOP| + |REST|. The individuals are sorted by their fitness values. The set TOP contains the fittest individuals of the population. The set REST is formed by two subsets: MID and BOT, the subset BOT being formed by the worst individuals of the population. The set TOP are copied without modifications to the next generation. A number of |BOT| mutant individuals are randomly generated and |MID| = |REST| - |BOT| individuals are created by crossover between a randomly selected individual from TOP and another from REST. Observe that an elite individual of the previous generation may not belong to the elite set in the current generation.

BRKGAs also use the uniform parameterized crossover of Spears and DeJong [63]. Table 5.1 shows the intervals for the values of the BRKGA parameters, as recommended in [39]. Other parameters are problem-specific: representing a solution of the problem, decodifying a chromossome, and stopping criterion.

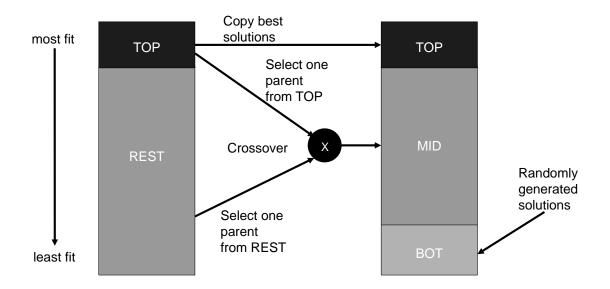


Figure 5.3: Population evolution between consecutive generations of a BRKGA

| $ P = a \cdot n, \text{ where } a \ge 1$ | is |
|-----------------------------------------------------------------------|---------------------------|
| P Population size a constant and n is | |
| the size of the individu | al |
| $ TOP $ Size of elite population $0.10 \cdot P \le TOP \le 0.10$ | $25 \cdot P $ |
| $ BOT $ Size of mutant population $0.05 \cdot P \le BOT \le 0.$ | $\overline{30 \cdot P }$ |
| p_a Probability of inheriting an elite allele $0.5 \le p_a \le 0.8$ | |

Table 5.1: Recommended value for the parameters

The pseudo-code of a BRKGA is presented in Figure 5.4. In line 1 the population is initialized. The evolution of this population occurs in lines 2-9. In line 3 all the individuals are decoded and their fitness values are computed. In line 4 the population is sorted in non-decreasing order with respect to the fitness values. Population *P* is then partioned in two subsets: *TOP* and *REST*. Subset *TOP* contains the fittest individuals of the population, while subset *REST* is composed by the remaining individuals. In line 6 the next population is initialized with the individuals from the *TOP* set of the current population. In line 7, |BOT| mutant individuals are created by a parameterized uniform crossover for the next population, where one of the individuals is selected from the *TOP* set and the other one from the *REST* set. This procedure is executed until a stopping criterion is reached. This criterion may be, for example, the number of evolved populations, and the quality of the best found solution, among others. Finally, in line 10 the best solution is returned.

begin Biased random key genetic algorithm

- 1 Initialize initial population *P*;
- 2 while stopping criterion not reached ;
- 3 Compute the fitness value of every individual of *P*;
- 4 Sort population *P* in non-decreasing order of the fitness values;
- 5 Partition *P* in two subsets: *TOP* and *REST*;
- 6 Copy individuals from set *TOP* of the current population to the next population;
- 7 Randomly generate |*BOT*| mutant individuals for the next population;

8 Generate |P| - |TOP| - |BOT| individuals by uniform parameterized crossover for the next population, selecting one individual from *TOP* and the other one from *REST*; 9 end-while;

- 10 **return** best individual in the population;
- end Biased random key genetic algorithm.

Figure 5.4: Pseudo-code of the biased random-key genetic algorithm.

BRKGA heuristics have been succesfully applied to many optimization problems. For example, BRKGA was compared in [40] with six standard genetic algorithms for job-shop scheduling (GA [18], GLS1 and GLS2 [1], P-GA, SBGA(40), SBGA(60) [20]). On the 12 test instances where BRKGA was compared with GA, an average reduction in cost of 2.02% was observed. On the 37 and 35 test instances where it was compared, respectively, with GLS1 and GLS2, average reductions of 3.79% and 0.58% were observed. In the comparison with P-GA, on 20 test instances, the reduction in the cost of the solutions was of 0.48%. In the comparison with SBGA(40) and SBGA(60) on 42 test instances, the respective average solution cost reductions were of 1.27% and 1.01% [39]. In [10], BRKGA was applied to an unconstrained multi-round divisible load scheduling problem, with the computational experiments showing that the makespans obtained by the proposed heuristic improved upon those obtained by the best algorithm in the literature by 11.68%, on average.

5.2 BRKGA for the generalized median graph problem

The implementation of biased random-key genetic algorithms for the generalized median graph problem made use of the C++ library brkgaAPI developed by Toso and Resende [66], which is a framework for the development of biased random-key genetic algorithms. It can also be used in parallel architectures running OpenMP. The instantiation of the framework shown in Figure 5.5 to some specific optimization problem requires exclusively the development of a class implementing the decoder for this problem. This is the only problem-dependent part of the tool. The decoding process used for the generalized median graph problem is explained in

details in subsection 5.2.1. According to Gonçalves et al. [39], the BRKGA framework requires the following parameters: (a) the population size (p = |TOP| + |REST|); (b) the fraction p_e of the population corresponding to the elite set TOP; (c) the fraction p_m of the population corresponding to the mutant set BOTTOM; (d) the probability *rhoe* that the offspring inherits each of its keys from the best fit of the two parents; and (e) the number k of generations without improvement in the best solution until a restart is performed. Whenever a restart occurs, the full population is randomly generated from scratch as for the first generation. The tuning of these parameters is explained in Section 5.5.

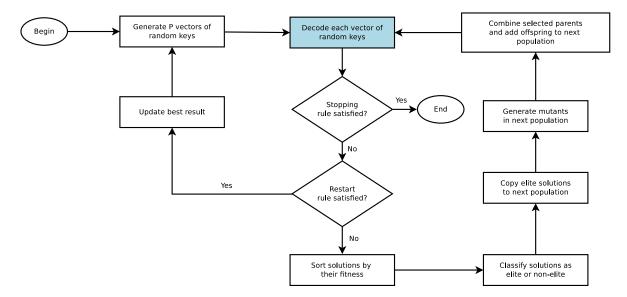


Figure 5.5: BRKGA framework.

5.2.1 Decoder

In a BRKGA, chromossomes represent solutions to the problem in hand. Each chromossome is composed of random-keys (real numbers in the range [0,1)) and is decoded by an algorithm (the decoder) that receives the keys and builds a solution to the problem. In our implementation of the BRKGA for the generalized median graph problem, the chromossomes will have size #(MinSup(S)) + 1, where S is the set for which we want to compute an approximate generalized median graph. Our decoder transforms each chromossome into an induced subgraph of the minimum common supergraph MinSup(S) of set S.

The decoding process that transforms each chromossome into an induced subgraph of MinSup(S) is applied in two steps: in the first step, each random-key of a chromossome c is transformed into an integer number. In the second step, the chromossome obtained in the first step is transformed into an induced subgraph of MinSup(S) (this is accomplished by transforming each gene of the chromossome in a node of MinSup(S)).

In the first step of the decodification, for each gene c[i], i = 0, ..., #(MinSup(S)), we set:

$$c[i] \leftarrow \lfloor c[i] \times 10^t \rfloor$$

Since, originally, each $c[i] \in [0, 1)$, the new value of c[i] is an integer in the interval $[0, 10^t - 1]$. As mentioned, in the second step of the decoder each gene c[i] will represent a node from MinSup(S). Therefore, determining the appropriate value for t depends on #(MinSup(S)). In all test instances considered in this work, #(MinSup(S)) < 70. Therefore, the computed integers need to be at least in the range [0,99], since this allows for the representation of up to 100 nodes of the minimum common supergraph of any instance. Thus, in our implementation, it is sufficient to use t = 2. We observe that larger values of t might be needed for larger instances where the minimum common supergraph has a larger number of nodes.

In the second step of the decoding phase, the chromossome (now composed of integer values) will be transformed into an induced subgraph of MinSup(S). The decodification of the integer in the first position of c, c[0], will indicate the number of nodes in that solution. It is necessary that this number of nodes be between 0 and #(MinSup(S)), since this chromossome represents an induced subgraph of MinSup(S). In order to find a number that falls in that range, the remainder of the division of c[0] by #(MinSup(S)) + 1 is computed. This remainder indicates how many positions of the chromossome will be considered for decodification.

From the second position on, the decoding process consists of computing the remainder of the division of the integer stored in this position, c[i], by #(MinSup(S)). Observe that this value is an integer from 0 to #(MinSup(S)) - 1. The nodes from MinSup(S) are also labelled from 0 to #(MinSup(S)) - 1. The node from MinSup(S) with the same label as the remainder is present in the subgraph. In case there is a collision, i.e, when a node previously selected is selected again, the decoder searchs for the next node of MinSup(S) still unselected.

As an example, consider *S* such that #(MinSup(S)) = 7, as in Figure 5.6. Figure 5.7 shows a chromossome with its random-keys, and Figure 5.8 shows the chromossome after the first step of the decodification process, which transforms each random-key in an integer by multiplying it by 10^2 and taking the floor of this value. Figure 5.9 shows the chromossome after the second step of the decodification. The size of the chromossome consists of 7+1 =8 genes (as mentioned, the extra gene is needed since it will represent the number of nodes in the chromossome).

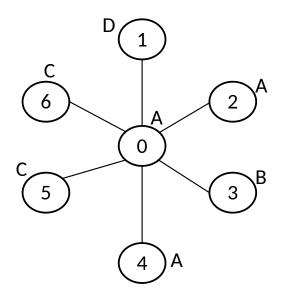


Figure 5.6: Minimum common supergraph of set *S*. The decoder will convert each chromossome into an induced subgraph of this graph.

| 0.134 | 5 0.0823 | 0.1034 | 0.0612 | 0.5327 | 0.4211 | 0.0268 | 0.2589 |
|-------|----------|--------|--------|--------|--------|--------|--------|
|-------|----------|--------|--------|--------|--------|--------|--------|

Figure 5.7: Chromossome with 7+1 = 8 genes. The random-keys are real numbers in the interval [0, 1).

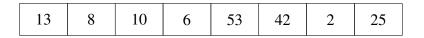


Figure 5.8: First step in the decoding phase: all random-keys are transformed into integer numbers by $c[i] \leftarrow \lfloor c[i] \times 10^2 \rfloor$.

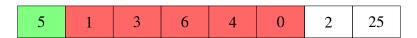


Figure 5.9: Decoded chromossome. The first gene indicates the number of nodes of the induced subgraph, and the next five positions indicate the nodes of MinSup(S) that will be in the subgraph. The last two positions are ignored.

The decodification of the first gene is made by dividing its value, 13, by #(MinSup(S)) + 1 = 7 + 1 = 8 and computing the remainder of the division. Since $13 = 8 \times 1 + 5$, the remainder of this division is 5. The decodification of this first gene is 5, and this chromossome will represent an induced subgraph of MinSup(S) with five nodes. These five nodes will be obtained

by the decodification of the next five positions of the chromossome (positions 2 to 6 of the chromossome).

The decodification of the genes in positions 2 to 6 is done by computing the remainder of the division of the integer stored in the gene by #(MinSup(S)) = 7. Then:

- Position 2: $8 = 7 \times 1 + 1 \rightarrow \text{node } 1 \text{ from } MinSup(S) \text{ belongs to subgraph.}$
- Position 3: $10 = 7 \times 1 + 3 \rightarrow$ node 3 from MinSup(S) belongs to subgraph.
- Position 4: $6 = 7 \times 0 + 6 \rightarrow \text{node } 6 \text{ from } MinSup(S) \text{ belongs to subgraph.}$
- Position 5: $53 = 7 \times 7 + 4 \rightarrow$ node 4 from *MinSup*(*S*) belongs to subgraph.
- Position 6: $42 = 7 \times 6 + 0 \rightarrow \text{node } 0$ from MinSup(S) belongs to subgraph.

Positions 7 and 8 of the chromossome are ignored (because the first gene indicates that the subgraph is composed of only five nodes). Therefore, the decodification of this chromossome corresponds to the subgraph of MinSup(S) with nodes 0, 1, 3, 4 and 6, as represented in Figure 5.10. To obtain the fitness of this solution, the distance between this graph and all the graphs from set *S* are computed.

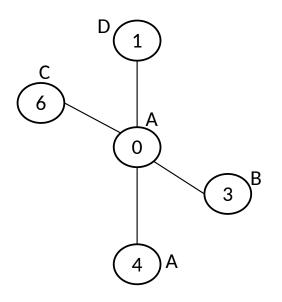


Figure 5.10: The decodification of the chromossome results in an induced subgraph of MinSup(S) with nodes 0, 1, 3, 4 and 6.

Figure 5.11 shows the pseudo-code of the decoder of the BRKGA algorithm. It takes as input the minimum common supergraph of set *S*, a random-key chromossome c[0, ..., n], and a

natural number t. The decoded chromossome is represented by d[0, ..., n]. In line 1, the size of the minimum common supergraph is stored in n. In lines 2-4, the random-key chromossome is transformed in a chromossome with integer values. The remainder of the division of the first gene, c[0], by n + 1 is stored in m, in line 5, and this value m is stored in the first position of the decoded chromosomme, d[0], in line 6. In lines 7-9 occurs the decodification of the other positions of the chromossome. In line 8, the remainder of the division of c[i] by n is stored in d[i], for i = 1, ..., m. In line 10, graph G is set as empty. In lines 11-15, the induced subgraph defined by the decoded chromossome is assembled and stored in G (the insertion of node v and its adjacent edges in G is indicated by $G \cup \{v\}$, as shown line in 14). In line 16, G is returned.

> **begin** Decoder-BRKGA (MinSup(S), c[0...n], t) 1 $n \leftarrow #(MinSup(S));$ 2 **for** i = 0, ..., n $c[i] \leftarrow |c[i] \times 10^t|;$ 3 4 end-for: 5 $m \leftarrow$ remainder of the division of c[0] by n+1; 6 $d[0] \leftarrow m;$ 7 **for** i = 1, ..., m $d[i] \leftarrow$ remainder of the division of c[i] by n; 8 9 end-for 10 $G \leftarrow \emptyset$; 11 for i = 1, ..., m $v \leftarrow d[i];$ 12 select node v from *MinSup*(S); 13 14 $G \leftarrow G \cup \{v\};$ 15 end-for; 16 **return** *G*; end Decoder-BRKGA.

Figure 5.11: Pseudo-code of Decoder-BRKGA.

5.3 Bounded BRKGA

In this section we describe the Bounded BRKGA variant of the BRKGA heuristic.

The Bounded BRKGA heuristic uses Proposition 1 from Chapter 4 to reduce the search

space and to speed up the execution of the heuristic. In comparison to the BRKGA heuristic, Bounded BRKGA has one additional parameter: the bound *L*, which can be obtained by any heuristic previously executed. Bounded BRKGA will only consider candidate graphs *G* such that $SOD(G, S) \leq L$.

5.3.1 Decoder

The decoder of the Bounded BRKGA is a slight modification of the BRKGA decoder. Chromossomes still have size #(MinSup(S)) + 1 and the decodification of all genes is not modified, except for the first one. The first gene of the chromossome represents the number of nodes of the subgraph, while in BRKGA's decoder it could assume any value between 0 and #(MinSup). In Bounded BRKGA, the bound *L* reduces the search space and the chromossomes can only be decoded into subgraphs that have a number of nodes in the interval [*min_node,max_node*]. In order to find a number of nodes that falls in this range, the decodification of the first gene consists in computing the remainder of the division of the first random-key (after it has been transformed into an integer) by (*max_node - min_node + 1*) and to add *min_node* to this remainder. This guarantees that the subgraph obtained after decodification has a number of nodes in the interval [*min_node,max_node*].

Figure 5.12 shows the pseudo-code of the decoder of Bounded BRKGA. It takes as input the minimum common supergraph of set *S*, a random-key chromossome c[0, ..., n] and two positive numbers *L* and *t*. The decoded chromossome is represented by d[0, ..., n]. In line 1 the size of the minimum common supergraph is stored in *n*. In lines 2-4, the random-key chromossome is transformed in a chromossome with integer values. In lines 5 and 6, the bound *L* is used to find the minimum and maximum number of nodes of the graphs in the populations, which are stored in *min_node* and *max_node*, respectively. In line 7, the remainder of the division of c[0] by (*max_node* – *min_node* + 1) is stored in *m*. In line 8, the first position of the decoded chromossome, d[0], receives the value $m + min_node$, which represents the number of nodes in the subgraph (observe that the value stored in d[0] is an integer in the interval [*min_node,max_node*]). In line 10, the remainder of the division of c[i] by *n* is stored in d[i], for $i = 1, ..., m + min_node$. In lines 12-16, the induced subgraph defined by the decoded chromossome is assembled and stored in *G*. Finally, in line 17, the graph *G* is returned. **begin** Decoder-Bounded BRKGA (MinSup(S), c[0...n], L, t) $n \leftarrow #(MinSup(S));$ 1 2 for i = 0, ..., n $c[i] \leftarrow |c[i] \times 10^t|;$ 3 end-for: 4 $\begin{array}{l} \textit{min_node} \leftarrow \left[\frac{\sum_{i=1}^{n} \#(G_i) - L}{n}\right];\\ \textit{max_node} \leftarrow \left\lfloor\frac{\sum_{i=1}^{n} \#(G_i) + L}{n}\right\rfloor; \end{array}$ 5 6 $m \leftarrow$ remainder of the division of c[0] by $(max_node - min_node + 1)$; 7 $d[0] \leftarrow m + min_node;$ 8 for $i = 1, \ldots, m + min_node$ 9 $d[i] \leftarrow$ remainder of the division of c[i] by n; 10 11 end-for 12 **for** $i = 1, ..., m + min_node$ $v \leftarrow d[i];$ 13 14 select node v from MinSup(S); 15 $G \leftarrow G \cup \{v\};$ 16 end-for; 17 **return** *G*; end Decoder-Bounded BRKGA.

Figure 5.12: Pseudo-code of Decoder-Bounded BRKGA.

5.4 Bounded BRKGA with local search

A bounded BRKGA with a local search phase (denoted Bounded BRKGA + LS) was also implemented. At each generation, the *k* best solutions of the population are selected and local search phase is applied to each of them. This local search phase consists of two heuristics, denoted by LS_1 and LS_2 , executed sequentially, each being a local search itself. In LS_1 , the neighbours of the incumbent solution are all the graphs that can be obtained by the insertion of one node to the incumbent. The local optimum found in this first phase will serve as the incumbent for the second phase of the local search. In LS_2 , the neighbours of the incumbent solution are all the graphs that can be obtained by removing one node from the incumbent. The graph returned in this second phase is the solution of the local search phase. The original *k* best solutions in generation *i* are substituted by the *k* graphs found in the local search. Figure 5.13 shows the pseudo-code of the local search. It takes as input an array composed of the k best chromossomes $(c_1, c_2, ..., c_k)$ of a population. Lines 1-4 execute the loop that will replace the decodification of chromossomes $(c_1, c_2, ..., c_k)$ for the graphs $(G_1, G_2, ..., G_k)$ in the next population. In line 2, *Incumb* receives the graph obtained by the decodification of c_i . This graph is used as input for LS_1 , and the result of the local search is stored in graph G, as indicated in line 3. In line 4, graph G is used as input for LS_2 , and the result of this local search is stored in G_i . In line 6, the array of graphs G_i is returned.

Figure 5.14 shows the pseudo-code for LS_1 . This algorithm executes while it finds a neighbour of *G* that improves upon the fitness of *G*. The boolean variable *improve_fitness* is used to control this condition, as it is set to *true* in line 1. The **while** loop in lines 2-13 is executed while *improve_fitness* is true. In line 3, the set *Neighbor*(*G*) is formed by the induced subgraphs that can be obtained by inserting in *G* a node that is not currently in *G*. In line 4, *improve_fitness* is set to false. The loop in lines 5-12 that searches for a neighbor that improves upon the incumbent is executed while the neighborhood of the incumbent is not empty and *improve_fitness* is false, as indicated in line 5. In line 6, a neighbour *G'* is selected and if its fitness is better than that of *G*, then *G* is updated to *G'*, *improve_fitness* is set to true and the loop breaks, as shown in lines 7-10. In line 11, graph *G'* is removed from the neighborhood of *G*. Finally, in line 14 graph *G* is returned. Observe that the variable *improve_fitness* is set to false at the end of the loop in lines 5-12 only if no neighbours of an incumbent solution improve upon the incumbent. This guarantees that the solution returned by the algorithm is a local optimum with respect to this neighbourhood.

Figure 5.15 shows the pseudo-code for LS_2 , where the neighbours of a graph *G* are the graphs obtained by removing one node from *G*, as indicated in line 3. Except for this, LS_2 functions exactly like LS_1 .

```
begin Local search (c_1, c_2, ..., c_k)

1 for i = 1...k

2 Incumb \leftarrow Decoder-Bounded BRKGA(MinSup(S), c_i, L, t);

3 G \leftarrow LS_1(Incumb);

4 G_i \leftarrow LS_2(G);

5 end-for;

6 return (G_1, G_2, ..., G_k);

end Local search.
```

Figure 5.13: Pseudo-code of local search of Bounded BRKGA + LS.

| begin $LS_1(G)$ |
|------------------------------------------------------------------------------------|
| 1 <i>improve_fitness</i> \leftarrow <i>true</i> ; |
| 2 while (<i>improve_fitness</i>) |
| 3 $Neighbor(G) \leftarrow \{G \cup \{v\} v \in V_{MinSup(S)} - V_G\};$ |
| 4 <i>improve_fitness</i> \leftarrow <i>false</i> ; |
| 5 while (<i>Neighbor</i> (G) \neq 0 and !(<i>improve_fitness</i>)) |
| 6 select $G' \in Neighbor(G)$; |
| 7 $if(fitness(G') < fitness(G))$ |
| 8 $G \leftarrow G';$ |
| 9 $improve_fitness \leftarrow true;$ |
| 10 end-if ; |
| 11 $Neighbor(G) \leftarrow Neighbor(G) - \{G'\};$ |
| 12 end-while; |
| 13 end-while; |
| 14 return <i>G</i> ; |
| end LS_1 . |

Figure 5.14: Pseudo-code of LS_1 .

| begin <i>LS</i> ₂ (G) |
|-----------------------------------------------------------------|
| 1 <i>improve_fitness</i> \leftarrow <i>true</i> ; |
| 2 while (<i>improve_fitness</i>) |
| 3 $Neighbor(G) \leftarrow \{G - \{v\} v \in V_G\};$ |
| 4 <i>improve_fitness</i> \leftarrow <i>false</i> ; |
| 5 while $(Neighbor(G) \neq \emptyset$ and $!(improve_fitness))$ |
| 6 select $G' \in Neighbor(G)$; |
| 7 $if(fitness(G') < fitness(G))$ |
| 8 $G \leftarrow G';$ |
| 9 $improve_fitness \leftarrow true;$ |
| 10 end-if ; |
| 11 $Neighbor(G) \leftarrow Neighbor(G) - \{G'\};$ |
| 12 end-while ; |
| 13 end-while; |
| 14 return <i>G</i> ; |
| end LS_2 . |

Figure 5.15: Pseudo-code of *LS*₂.

5.5 Computational experiments

In this section, we address the effectiveness of the heuristics based on biased random-key genetic algorithms. We compare the results obtained with the proposed BRKGA heuristics with those obtained by the GRASP heuristic. All BRKGA heuristics used the restart strategy if 50 generations had gone by without improvement of the best value found. The BRKGA heuristics were implemented in C++ with the GNU GCC compiler. The experiments have been performed on a Dell Studio i3-3240M with a 3.40GHz CPU with 4 GB of RAM under the operating system Windows Home 7 Basic.

5.5.1 Tuning

The BRKGA heuristics have three main parameteres: p_e , the size of the elite population to be copied to the next generation, p_m , the size of the population to be replaced by mutants, and *rhoe*, the probability that the offspring inherits an allele from the elite parent.

In order to extract the most of these heuristics, it is necessary to find the best combination of these three parameters. In the following sections, these three parameters will be analysed so that a good combination of them can be found. The method for tuning these parameters was to choose one of the parameters at a time and assign different values to this parameter, while the other two are fixed. Once the best value was found for this parameter, it will be subsequently used in the tuning of the other two.

For this tuning, 20 instances were chosen, with sizes ranging from 80 to 100 vertices in total. Ten runs of each instance were performed for each value of the parameter in test, each with a different seed, resulting in a total of $10 \times 20 = 200$ executions of the BRKGA heuristic. In all tuning experiments, the BRKGA heuristic was run for 50 generations.

In order to compare the perfomance of the different parameterizations of BRKGA, the following criteria were used:

- **#Best**: This criterion indicates how many times each parameterization found the best solution.
- **Total average time to best**: This criterion gives the average time each parameterization took to find the best value.
- Total average deviation: For each instance and parameterization, Average deviation indicates the average relative deviation from the best solution found (considering the ten runs of all parameterizations), in percent. The Total average deviation is the average value of the Average deviation over all instances.
- Score: Given an instance and a parametrization, NScore gives the number of parameterizations that found better solutions than that parameterization. In case of ties, all parameterizations which have tied receive the same score, equal to the number of parameterizations strictly better than all of them. The computation of NScore was performed using the

average SOD of the ten runs of each parameterization. **Score** is the sum of the NScore values over all instances in the experiment, for each parametrization. Thus, lower values of Score correspond to better parameterizations.

5.5.1.1 Size of the elite population - p_e

Three values were tested for the p_e parameter: 0.2, 0.5 and 0.7. The other two parameters, p_m and *rhoe* were fixed at 0.1 and 0.7, respectively. Table 5.2 shows the ten runs of BRKGA for instances i1.80 and i2.80. Table 5.3 shows a summary of the results for the BRKGA heuristic for these three values of parameter p_e . From Table 5.3 it is possible to see that the best values for parameter p_e are 0.5 and 0.7. Since the value 0.5 allows for more diversity in the populations, it is preferred in detriment to the value 0.7.

The best values for the other two parameters are obtained analogously.

| | | $p_e = 0.2$ | 5 | | $p_e = 0.5$ | S | | $p_e = 0.7$ | L |
|-------------------|----------|-------------|------------------|----------|-------------|------------------|----------|-------------|------------------|
| i1.80 | Best SOD | Time (s) | Time to Best (s) | Best SOD | Time (s) | Time to Best (s) | Best SOD | Time (s) | Time to Best (s) |
| ex.1 | 57 | 76.5 | 13.3 | 59 | 61.5 | 56.0 | 57 | 50.9 | 24.6 |
| ex.2 | 57 | 76.4 | 7.2 | 57 | 54.7 | 23.4 | 57 | 44.4 | 23.1 |
| ex.3 | 57 | 77.0 | 19.0 | 57 | 58.7 | 6.9 | 57 | 46.5 | 20.6 |
| ex.4 | 57 | 77.0 | 48.9 | 58 | 60.7 | 17.6 | 57 | 44.0 | 38.4 |
| ex.5 | 57 | 81.7 | 81.4 | 57 | 56.1 | 9.2 | 57 | 45.3 | 18.1 |
| ex.6 | 57 | 75.3 | 9.0 | 57 | 58.4 | 8.8 | 57 | 47.3 | 19.3 |
| ex.7 | 57 | 76.7 | 11.6 | 57 | 54.3 | 28.7 | 57 | 42.6 | 40.0 |
| ex.8 | 57 | 78.2 | 7.6 | 56 | 61.6 | 60.9 | 57 | 45.9 | 19.0 |
| ex.9 | 57 | 77.0 | 8.3 | 57 | 59.0 | 3.8 | 57 | 42.9 | 10.5 |
| ex.10 | 57 | 78.0 | 8.2 | 57 | 54.8 | 9.3 | 57 | 44.0 | 25.3 |
| Average SOD | 57.0 | 77.38 | 21.45 | 57.2 | 57.98 | 22.46 | 57.0 | 45.38 | 23.89 |
| Average deviation | 1.8 | | | 2.1 | | | 1.8 | | |
| i2.80 | Best SOD | Time (s) | Time to Best (s) | Best SOD | Time (s) | Time to Best (s) | Best SOD | Time (s) | Time to Best (s) |
| ex.1 | 58 | 67.0 | 6.4 | 58 | 46.8 | 5.0 | 58 | 33.1 | 15.4 |
| ex.2 | 58 | 67.8 | | 58 | 46.8 | 8.8 | 58 | 33.5 | 10.9 |
| ex.3 | 58 | 65.0 | 6.8 | 58 | 45.5 | 10.7 | 58 | 33.3 | 9.9 |
| ex.4 | 58 | 65.5 | | 58 | 45.8 | 9.5 | 58 | 33.2 | 15.2 |
| ex.5 | 58 | 68.4 | 4.8 | 58 | 46.4 | 3.7 | 58 | 32.3 | 15.1 |
| ex.6 | 58 | 65.1 | 5.3 | 58 | 45.4 | 7.2 | 58 | 32.6 | 14.6 |
| ex.7 | 58 | 66.0 | 6.0 | 58 | 46.2 | 8.9 | 58 | 31.9 | 10.7 |
| ex.8 | 58 | 65.5 | 4.5 | 58 | 45.7 | 6.0 | 58 | 34.3 | 10.1 |
| ex.9 | 58 | 68.2 | 3.5 | 58 | 45.2 | 9.0 | 58 | 32.6 | 12.5 |
| ex.10 | 58 | 62.9 | 4.8 | 58 | 44.6 | 8.4 | 58 | 33.6 | 6.0 |
| Average SOD | 58.0 | 66.44 | 5.38 | 58.0 | 45.84 | 7.72 | 58.0 | 33.04 | 12.04 |
| Average deviation | 0.0 | | | 0.0 | | | 0.0 | | |
| I | _ | | | _ | | | _ | | |

Table 5.2: Tuning of parameter: $p_e = 0.2, 0.5$ and 0.7. Parameters p_m and *rhoe* were fixed at 0.1 and 0.7, respectively.

| | $p_e = 0.2$ | $p_e = 0.5$ | $p_e = 0.7$ |
|----------------------------|-------------|-------------|-------------|
| #Best | 126 | 134 | 139 |
| Total Average time to best | 12.9 | 12.7 | 14.1 |
| Total average deviation | 16.3 | 15.1 | 15.6 |
| Score | 17 | 14 | 13 |

Table 5.3: Summary of the criteria for tuning parameter p_e .

5.5.1.2 Fraction of the population to be replaced by mutants - p_m

Three values were considered for the analysis of this parameter: 0.1, 0.2 and 0.3. Since the results in the previous section indicated that the value $p_e = 0.5$ found the best results, it was fixed in the tuning of the other parameters. Parameter *rhoe* remained at its previous value of 0.7.

Table 5.4 shows the ten runs of BRKGA for instances i1.80 and i2.80. Table 5.5 shows a summary of the results for the BRKGA heuristic for the three values of parameter p_m . From Table 5.5 it is possible to see that the best value for parameter p_m is 0.2.

| i1.80 Best SOD T ex.1 59 57 ex.2 57 57 ex.4 58 57 ex.5 57 57 ex.6 57 57 ex.7 57 57 ex.6 57 57 ex.9 57 57 ex.9 57 57 ex.9 57 57 ex.10 57 57 Average SOD 57/2 27 Average deviation 2.1 21 i2.80 Best SOD 58 | Time (s) | | | 1 | | | | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|------------------|----------|----------|------------------|----------|------------|------------------|
| 59 57 57 57 57 57 57 57 57 57 57 57 57 57 | | Time to Best (s) | Best SOD | Time (s) | Time to Best (s) | Best SOD | Time (s) T | Time to Best (s) |
| 57 57 58 57 57 57 57 57 57 57 57 57 57 57 57 57 | 59.5 | 54.4 | 57 | 74.8 | 29.2 | 57 | 93.7 | 68.0 |
| 57 57 57 57 57 56 57 57 57 57 57 2.1 2.1 Best SOD | 54.9 | 23.4 | 57 | 73.6 | 12.8 | 57 | 85.6 | 37.0 |
| 58 57 57 57 57 57 57 57 57 57 57 57 57 57 | 58.8 | 6.9 | 57 | 74.0 | 8.8 | 57 | 87.7 | 14.0 |
| 57 57 57 56 57 57 57 57 57 2.1 Best SOD | 60.8 | 17.7 | 57 | 78.7 | 15.9 | 57 | 89.7 | 40.8 |
| 57 57 56 57 57 57 57 2.1 2.1 Best SOD | 56.4 | 9.3 | 57 | 74.0 | 35.2 | 57 | 88.8 | 19.1 |
| 57 56 57 57 57 57 21 Best SOD 58 58 | 58.7 | 8.8 | 57 | 74.2 | 11.8 | 57 | 84.9 | 18.0 |
| 56 57 57 57.2 57.2 2.1 Best SOD 58 58 | 54.6 | 28.8 | 57 | 71.5 | 23.8 | 57 | 84.9 | 12.9 |
| 57 57 57.2 2.1 Best SOD 58 58 | 61.0 | 60.3 | 57 | 77.3 | 21.0 | 57 | 93.1 | 29.1 |
| 57 57.2 2.1 Best SOD 58 58 | 59.4 | 3.9 | 57 | 77.4 | 3.9 | 57 | 86.5 | 3.9 |
| 57.2 2.1 Best SOD 58 58 | 55.0 | 9.4 | 57 | 73.6 | 6.2 | 57 | 88.7 | 30.8 |
| 2.1 Best SOD 58 58 | 57.91 | 22.29 | 57.0 | 74.91 | 16.86 | 57.0 | 88.36 | 27.36 |
| Best SOD 58 58 | | | 1.8 | | | 1.8 | | |
| | Time (s) | Time to Best (s) | Best SOD | Time (s) | Time to Best (s) | Best SOD | Time (s) | Time to Best (s) |
| | 47.0 | 5.0 | 58 | 54.6 | 5.5 | 58 | 61.5 | 6.6 |
| | 49.6 | 8.5 | 58 | 54.8 | 8.9 | 58 | 62.6 | 13.4 |
| ex.3 58 | 49.5 | 12.7 | 58 | 53.0 | 7.5 | 58 | 61.8 | 11.5 |
| ex.4 58 | 46.2 | 9.7 | 58 | 54.7 | 5.6 | 58 | 61.5 | 9.0 |
| ex.5 58 | 47.1 | 3.7 | 58 | 56.0 | 9.7 | 58 | 62.4 | 15.0 |
| ex.6 58 | 46.4 | 7.4 | 58 | 55.5 | 15.1 | 58 | 61.1 | 13.0 |
| ex.7 58 | 49.5 | 9.8 | 58 | 53.4 | 6.2 | 58 | 61.9 | 13.5 |
| ex.8 58 | 48.8 | 6.1 | 58 | 55.1 | 7.5 | 58 | 61.9 | 9.9 |
| ex.9 58 | 49.2 | 10.4 | 58 | 57.1 | 11.4 | 58 | 61.5 | 5.9 |
| ex.10 58 | 45.0 | 8.5 | 58 | 55.3 | 8.0 | 58 | 61.4 | 7.1 |
| Average SOD 58.0 | 47.83 | 8.18 | 58.0 | 54.95 | 8.54 | 58.0 | 61.76 | 10.16 |
| Average deviation 0.0 | | | 0.0 | | | 0.0 | | |
| - | | | - | | | - | | |

Table 5.4: Tuning of parameter: $p_m = 0.1, 0.2$ and 0.3. Parameters p_e and *rhoe* were fixed at 0.5 and 0.7, respectively.

| | $p_m = 0.1$ | $p_m = 0.2$ | $p_m = 0.3$ |
|----------------------------|-------------|-------------|-------------|
| #Best | 134 | 142 | 140 |
| Total average time to best | 12.8 | 17.1 | 20.9 |
| Total average deviation | 15.1 | 12.3 | 13.7 |
| Score | 15 | 9 | 9 |

Table 5.5: Summary of the criteria for the mutation parameter.

5.5.1.3 Probability of inheriting an allele from a parent - rhoe

For the analysis of parameter *rhoe*, the values of the other two parameters have already been determined to be $p_e = 0.5$ and $p_m = 0.2$. To evaluate the best value for *rhoe*, three values will be considered for this parameter: 0.5, 0.7 and 0.9. Table 5.6 shows the ten runs of BRKGA for instances i1.80 and i2.80. Table 5.7 shows a summary of the results for the BRKGA heuristic for these three values of parameter *rhoe*. From Table 5.7 it is possible to see that the best value for parameter *rhoe* is 0.5.

Therefore, according with these tuning experiments, the best configuration of these parameters is: $p_e = 0.5$, $p_m = 0.2$ and rhoe = 0.5. also executed and compared to the previously found combination.

| | | rhoe = 0.5 | 0.5 | | rhoe = 0.7 | 7.0 | | rhoe = 0.9 | 6.0 |
|-----------------------------------------|-----------------------------------------------|---------------|------------------|----------------|--------------------|-------------------------------------------------------------------------------------------------|---------------|------------------|------------------|
| i1.80 | Best SOD | Time (s) | Time to Best (s) | Best SOD | Time (s) | Time to Best (s) | Best SOD | Time (s) | Time to Best (s) |
| ex.1 | 57 | 84.6 | 51.8 | 57 | 74.5 | 28.9 | 59 | 74.7 | 28.7 |
| ex.2 | 57 | 82.9 | 36.5 | 57 | 73.5 | 12.8 | 57 | 77.6 | 22.8 |
| ex.3 | 57 | 80.1 | 9.2 | 57 | 73.9 | 8.8 | 57 | 74.6 | 8.8 |
| ex.4 | 57 | 86.3 | 28.5 | 57 | 78.4 | 15.9 | 56 | 84.0 | 32.1 |
| ex.5 | 57 | 82.0 | 5.6 | 57 | 73.6 | 34.9 | 57 | 80.3 | 15.5 |
| ex.6 | 57 | 79.4 | 46.2 | 57 | 73.7 | 11.7 | 57 | 77.5 | 27.0 |
| ex.7 | 57 | 78.7 | 22.1 | 57 | 71.4 | 23.8 | 57 | 77.1 | 25.7 |
| ex.8 | 57 | 85.8 | 36.5 | 57 | 77.0 | 20.9 | 57 | 80.5 | 19.8 |
| ex.9 | 57 | 82.9 | 19.8 | 57 | 77.3 | 3.9 | 57 | 78.2 | 13.2 |
| ex.10 | 57 | 80.0 | 26.5 | 57 | 73.4 | 6.2 | 57 | 82.2 | 15.0 |
| Average SOD | 57.0 | 82.27 | 28.27 | 57.0 | 74.67 | 16.78 | 57.1 | 78.67 | 20.86 |
| Average deviation | 1.8 | | | 1.8 | | | 2.0 | | |
| i2.80 | Best SOD | Time (s) | Time to Best (s) | Best SOD | Time (s) | Time to Best (s) | Best SOD | Time (s) | Time to Best (s) |
| ex.1 | 58 | 57.4 | 14.6 | 58 | 54.4 | 5.4 | 58 | 71.3 | 8.5 |
| ex.2 | 58 | 59.2 | 5.6 | 58 | 54.6 | 8.9 | 58 | 66.1 | <i>T.T</i> |
| ex.3 | 58 | 56.8 | 8.3 | 58 | 52.8 | 7.5 | 58 | 60.5 | 6.8 |
| ex.4 | 58 | 57.8 | 18.2 | 58 | 54.4 | 5.5 | 58 | 67.8 | 11.3 |
| ex.5 | 58 | 58.7 | 4.6 | 58 | 55.7 | 9.7 | 58 | 66.5 | 15.8 |
| ex.6 | 58 | 57.7 | 14.1 | 58 | 55.4 | 14.9 | 58 | 64.8 | 20.0 |
| ex.7 | 58 | 56.4 | 9.0 | 58 | 53.2 | 6.1 | 58 | 65.7 | 9.9 |
| ex.8 | 58 | 58.8 | 15.9 | 58 | 54.6 | 7.4 | 58 | 60.3 | 9.4 |
| ex.9 | 58 | 60.4 | 24.6 | 58 | 56.9 | 11.4 | 58 | 65.3 | 10.9 |
| ex.10 | 58 | 58.0 | 12.1 | 58 | 54.9 | 8.0 | 58 | 59.6 | 8.7 |
| Average SOD | 58.0 | 58.12 | 12.7 | 58.0 | 54.69 | 8.48 | 58.0 | 64.79 | 10.9 |
| Average deviation | 0.0 | | | 0.0 | | | 0.0 | | |
| Table | Table 5.6: Tuning of parameter: $rhoe \equiv$ | of paramete | | and 0.9. Para | ameters <i>n</i> . | $0.5, 0.7$ and 0.9 . Parameters n_c and n_m were fixed at 0.5 and 0.2 , respectively. | at 0.5 and 0. | 2. resnecti | velv. |
|) · · · · · · · · · · · · · · · · · · · | - O.O. | ייאווושושע ונ | | 1111 0.1/1 I m | אל היאאוווא | ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ | an oro mine | ۰۰, ۱۷۵۲ ۱۷۰۷ | ۷ ۱۰ ۲. |

5.5 Computational experiments

| | rhoe = 0.5 | rhoe = 0.7 | rhoe = 0.9 |
|----------------------------|------------|------------|------------|
| Best | 150 | 142 | 110 |
| Total average time to best | 21.2 | 17.0 | 15.7 |
| Total average deviation | 11.1 | 12.3 | 21.3 |
| Score | 3 | 8 | 30 |

Table 5.7: Summary of the criteria for tuning parameter *rhoe*.

5.5.2 Experiments

The main idea in a BRKGA heuristic is to start with completely random chromossomes, and make these chromossomes improve as the generations pass. In our first experiment, we test if BRKGA is effectivelly learning along the execution of the generations. This is done by comparing a BRKGA against a purely random algorithm. Figure 5.16 shows the distributions of the objective function values of the 100-element population of a BRKGA and the repeated generation of sets of 100 random solutions for instance i3.200. The random solutions are generated with the same code using the BRKGA parameters p = 101, $p_e = 1$, and $p_m = 100$. This way, the mutants are the random solutions, the best solution is saved in the elite set, and no crossover is ever done. This figure shows not only that BRKGA executes faster than the random heuristic, but also finds an overall better solution. The frequency distributions of the fitness of the chromossomes and the descriptive statistics for both heuristics are shown, respectively, on Figures 5.17 and 5.18 and on Table 5.8. It is possible to see that the random heuristic has a much worse performance, both in terms of fitness and time.

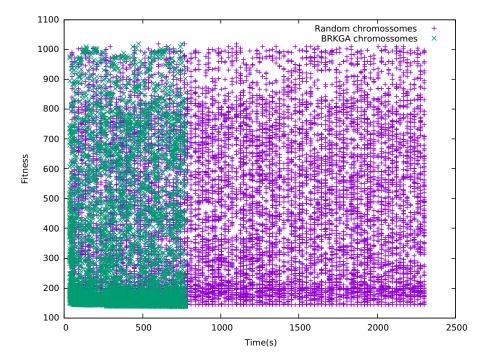


Figure 5.16: Comparing BRKGA with a random multistart heuristic on instance i3.200

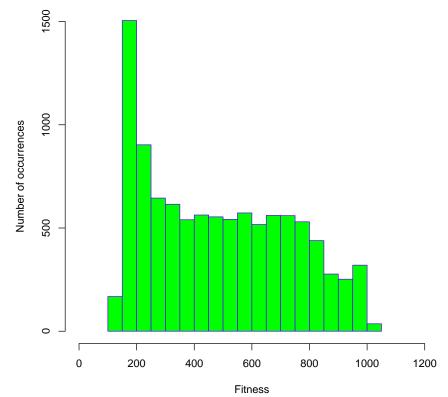


Figure 5.17: Frequency distribution of the fitness of the chromossomes for the random heuristic.

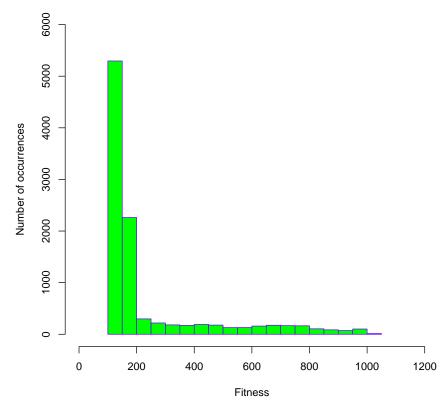


Figure 5.18: Frequency distribution of the fitness of the chromossomes for BRKGA.

| Statistic | Random heuristic | BRKGA |
|--------------|------------------|--------|
| Mean | 485.74 | 248.41 |
| Mode | 200 | 144 |
| Median | 461 | 146 |
| Best fitness | 144 | 140 |

Table 5.8: Descriptive statistics for random heuristic and BRKGA

| | BRI | KGA | Bounde | d BRKGA | Bounded I | BRKGA + LS |
|----------|----------|----------|---------|----------|-----------|------------|
| Instance | Time | Best SOD | Time | Best SOD | Time | Best SOD |
| i1.140 | 815.023 | 110 | 238.602 | 110 | 579.104 | 110 |
| i2.140 | 633.346 | 104 | 249.023 | 104 | 504.723 | 104 |
| i3.140 | 422.745 | 114 | 217.511 | 114 | 440.404 | 114 |
| i4.140 | 442.931 | 101 | 256.324 | 101 | 478.874 | 101 |
| i5.140 | 391.795 | 104 | 264.686 | 104 | 477.126 | 104 |
| i6.140 | 498.64 | 110 | 243.298 | 110 | 453.478 | 110 |
| i7.140 | 431.715 | 124 | 175.625 | 124 | 365.353 | 124 |
| i8.140 | 459.514 | 117 | 218.759 | 117 | 432.043 | 117 |
| i9.140 | 500.339 | 115 | 234.765 | 115 | 452.463 | 115 |
| i10.140 | 513.943 | 120 | 240.49 | 117 | 436.894 | 117 |
| i1.160 | 1587.787 | 117 | 286.603 | 117 | 657.4 | 116 |
| i2.160 | 850.373 | 128 | 262.938 | 128 | 531.384 | 128 |
| i3.160 | 1084.233 | 124 | 285.465 | 124 | 561.757 | 124 |
| i4.160 | 1214.509 | 123 | 283.078 | 123 | 605.858 | 123 |
| i5.160 | 1375.658 | 130 | 270.848 | 130 | 516.564 | 130 |
| i6.160 | 1540.472 | 129 | 266.994 | 129 | 531.399 | 129 |
| i7.160 | 895.036 | 120 | 293.218 | 120 | 570.135 | 119 |
| i8.160 | 784.619 | 124 | 268.086 | 125 | 560.321 | 124 |
| i9.160 | 510.932 | 114 | 297.633 | 114 | 559.479 | 114 |
| i10.160 | 2293.001 | 113 | 301.579 | 113 | 581.288 | 113 |

Table 5.9: Results for the instances with 140 and 160 vertices

In the second experiment we compare the three BRKGA heuristics: BRKGA, Bounded BRKGA and Bounded BRKGA with local search. The parameters for Bounded BRKGA and Bounded BRKGA + LS were set to the same values as in BRKGA, i.e, $p_e = 0.5$, $p_m = 0.2$, and rhoe = 0.5. All three heuristics were executed for 100 generations, with 200 individuals per generations and restarts after 50 generations without improvement. Bounded BRKGA and Bounded BRKGA + LS used the best value found by the adaptive greedy heuristic to limit the size of the subgraphs in the populations. For Bounded BRKGA + LS, the best two individuals were selected for local search in each generation. Tables 5.9 and 5.10 show the running times and the best values found by each heuristic for instances with sizes 140 to 200 vertices.

These tables show that BRKGA finds the best value in 94 of the 100 instances, Bounded BRKGA finds the best value in 90 and Bounded BRKGA + LS finds the best values in all 100

| | BRI | KGA | Bounde | d BRKGA | Bounded | BRKGA + LS |
|----------|----------|----------|---------|----------|---------|------------|
| Instance | Time | Best SOD | Time | Best SOD | Time | Best SOD |
| i1.180 | 971.257 | 149 | 293.951 | 149 | 589.665 | 149 |
| i2.180 | 959.043 | 123 | 329.971 | 123 | 629.82 | 123 |
| i3.180 | 1730.199 | 124 | 346.539 | 124 | 703.468 | 124 |
| i4.180 | 1387.326 | 139 | 343.715 | 139 | 680.239 | 138 |
| i5.180 | 1293.367 | 134 | 316.571 | 134 | 675.216 | 134 |
| i6.180 | 945.455 | 150 | 276.401 | 150 | 627.995 | 150 |
| i7.180 | 1202.06 | 142 | 286.37 | 142 | 746.009 | 138 |
| i8.180 | 1202.887 | 142 | 305.651 | 142 | 618.619 | 142 |
| i9.180 | 3566.01 | 124 | 353.029 | 124 | 700.161 | 124 |
| i10.180 | 1030.319 | 136 | 313.686 | 136 | 671.363 | 136 |
| i1.200 | 1806.514 | 144 | 396.162 | 145 | 891.682 | 144 |
| i2.200 | 1744.723 | 142 | 379.892 | 142 | 833.821 | 142 |
| i3.200 | 1492.782 | 140 | 393.292 | 140 | 882.603 | 140 |
| i4.200 | 1036.575 | 152 | 324.745 | 155 | 789.736 | 152 |
| i5.200 | 1219.813 | 142 | 358.317 | 143 | 863.696 | 142 |
| i6.200 | 1181.312 | 148 | 341.796 | 148 | 739.269 | 148 |
| i7.200 | 1088.227 | 141 | 355.805 | 141 | 807.956 | 141 |
| i8.200 | 1483.859 | 141 | 367.459 | 141 | 723.592 | 141 |
| i9.200 | 1186.226 | 147 | 357.599 | 147 | 778.488 | 147 |
| i10.200 | 1166.336 | 154 | 340.627 | 154 | 693.25 | 154 |

Table 5.10: Results for the instances with 180 and 200 vertices

instances. Figure 5.19 shows the average execution times for the three heuristics. The bounded versions have a much smaller average execution times, when compared to BRKGA, because of the reduction in the search space. The local phase in the BRKGA with local search heuristic makes its average execution time larger than the Bounded BRKGA.

In the next experiment, BRKGA, Bounded BRKGA and Bounded BRKGA + LS were executed for a fixed execution time equal to the time taken by BRKGA to perform 100 generations. Tables 5.11 and 5.12 show the results for the instances with sizes 140 to 200. It was observed in this experiment that BRKGA finds the best result in 94 out of the 100 instances, Bounded BRKGA finds the best value in 93 and Bounded BRKGA + LS finds the best values in all 100 instances.

Tables 5.13 to 5.16 compare the results of the Bounded BRKGA + LS with the GRASP heuristic, presented in Chapter 3. Both heuristics were executed for the same 100 instances previously mentioned. Each instance was executed three times, for a fixed execution time equal to the time taken by GRASP to perform 100 iterations. These tables show that there were 98 ties out of the 100 instances, GRASP found a better solution than Bounded BRKGA + LS in one instance and the Bounded BRKGA + LS found a better solution in one instance.

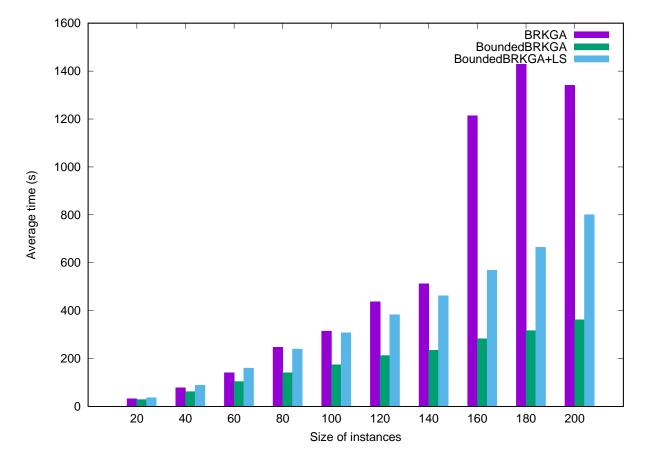


Figure 5.19: Average execution times for the BRKGA heuristics.

| | | BRKGA | Bounded BRKGA | Bounded BRKGA + LS |
|----------|----------------|----------|---------------|--------------------|
| Instance | Execution time | Best SOD | Best SOD | Best SOD |
| i1.140 | 815.023 | 110 | 110 | 110 |
| i2.140 | 633.346 | 104 | 104 | 104 |
| i3.140 | 422.745 | 114 | 114 | 114 |
| i4.140 | 442.931 | 101 | 101 | 101 |
| i5.140 | 391.795 | 104 | 104 | 104 |
| i6.140 | 498.64 | 110 | 110 | 110 |
| i7.140 | 431.715 | 124 | 124 | 124 |
| i8.140 | 459.514 | 117 | 117 | 117 |
| i9.140 | 500.339 | 115 | 115 | 115 |
| i10.140 | 513.943 | 120 | 117 | 117 |
| i1.160 | 1587.787 | 117 | 116 | 116 |
| i2.160 | 850.373 | 128 | 128 | 128 |
| i3.160 | 1084.233 | 124 | 124 | 124 |
| i4.160 | 1214.509 | 123 | 123 | 123 |
| i5.160 | 1375.658 | 130 | 130 | 130 |
| i6.160 | 1540.472 | 129 | 129 | 129 |
| i7.160 | 895.036 | 120 | 120 | 119 |
| i8.160 | 784.619 | 124 | 125 | 124 |
| i9.160 | 510.932 | 114 | 114 | 114 |
| i10.160 | 2293.001 | 113 | 113 | 113 |

Table 5.11: Results for the instances with 140 and 160 vertices.

| | | BRKGA | Bounded BRKGA | Bounded BRKGA + LS |
|----------|----------------|----------|---------------|--------------------|
| Instance | Execution time | Best SOD | Best SOD | Best SOD |
| i1.180 | 971.257 | 149 | 149 | 149 |
| i2.180 | 959.043 | 123 | 123 | 123 |
| i3.180 | 1730.199 | 124 | 124 | 124 |
| i4.180 | 1387.326 | 139 | 139 | 138 |
| i5.180 | 1293.367 | 134 | 134 | 134 |
| i6.180 | 945.455 | 150 | 150 | 150 |
| i7.180 | 1202.06 | 142 | 142 | 138 |
| i8.180 | 1202.887 | 142 | 142 | 142 |
| i9.180 | 3566.01 | 124 | 124 | 124 |
| i10.180 | 1030.319 | 136 | 136 | 136 |
| i1.200 | 1806.514 | 144 | 145 | 144 |
| i2.200 | 1744.723 | 142 | 142 | 142 |
| i3.200 | 1492.782 | 140 | 140 | 140 |
| i4.200 | 1036.575 | 152 | 152 | 152 |
| i5.200 | 1219.813 | 142 | 142 | 142 |
| i6.200 | 1181.312 | 148 | 148 | 148 |
| i7.200 | 1088.227 | 141 | 141 | 141 |
| i8.200 | 1483.859 | 141 | 141 | 141 |
| i9.200 | 1186.226 | 147 | 147 | 147 |
| i10.200 | 1166.336 | 154 | 154 | 154 |

Table 5.12: Results for the instances with 180 and 200 vertices.

| Vertices | #(MinSup(S)) | SOD(MinSup(S), S) | $SOD(\hat{G},S)$ | Execution time (s) | Average SOD | Average SOD |
|----------|--------------|-------------------|------------------|--------------------|-------------|-------------|
| 20 | 14 | 22 | 15 | 27.00 | 12 | 12 |
| 20 | 15 | 40 | 22 | 21.02 | 20 | 20 |
| 20 | 18 | 52 | 22 | 20.24 | 20 | 20 |
| 20 | 13 | 32 | 14 | 18.79 | 14 | 14 |
| 20 | 11 | 24 | 10 | 20.21 | 10 | 10 |
| 20 | 14 | 36 | 18 | 20.51 | 18 | 18 |
| 20 | 11 | 24 | 16 | 13.63 | 16 | 16 |
| 20 | 16 | 09 | 23 | 7.25 | 20 | 20 |
| 20 | 16 | 09 | 24 | 7.59 | 20 | 20 |
| 20 | 14 | 50 | 22 | 9.67 | 19 | 19 |
| 40 | 22 | 92 | 38 | 73.57 | 34 | 34 |
| 40 | 23 | 98 | 38 | 73.10 | 30 | 30 |
| 40 | 23 | 98 | 40 | 74.88 | 38 | 38 |
| 40 | 22 | 92 | 36 | 63.52 | 30 | 30 |
| 40 | 22 | 92 | 36 | 59.84 | 30 | 30 |
| 40 | 21 | 107 | 39 | 53.83 | 33 | 33 |
| 40 | 19 | 93 | 38 | 44.42 | 34 | 34 |
| 40 | 18 | 86 | 34 | 62.90 | 31 | 31 |
| 40 | 24 | 152 | 50 | 50.38 | 40 | 40 |
| 40 | 22 | 136 | 38 | 52.41 | 36 | 36 |
| 09 | 30 | 150 | 64 | 210.33 | 48 | 48 |
| 60 | 26 | 122 | 50 | 166.98 | 39 | 39 |
| 09 | 28 | 164 | 48 | 186.79 | 44 | 44 |
| 09 | 29 | 172 | 54 | 132.08 | 46 | 46 |
| 09 | 20 | 200 | 46 | 102.91 | 45 | 45 |
| 09 | 27 | 183 | 58 | 99.38 | 51 | 51 |
| 09 | 24 | 180 | 52 | 117.31 | 48 | 48 |
| 09 | 23 | 193 | 52 | 109.85 | 45 | 45 |
| 09 | 22 | 182 | 50 | 101.33 | 47 | 47 |
| 60 | 37 | 224 | 76 | 58 68 | 60 | 60 |

| es #(MinSup(S)) SOD(MinSup(S), S) SOD(\hat{G} , S) Execu 33 217 65 | $\begin{array}{c cccc} \#(MinSup(S)) & SOD(MinSup(S),S) & SOD(\hat{G},S) \\ 33 & 217 & 65 \\ & & & & & & \\ & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & & \\ & & & & & & & & & \\ & & & & & & & & & \\ & & & & & & & & & \\ & & & & & & & & & \\ & & & & & & & & & \\ & & & & & & & & & \\ & & & & & & & & & \\ & & & & & & & & & \\ & & & & & & & & & \\ & & & & & & & & & \\ \end{array}$ | $\begin{array}{c c} & \text{SOD}(\hat{G},S) \\ & 65 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & 70 \\ & $ | ,S) | Execution time 339.51 | s (s) | Average SOD 56 | Average SOD 56 |
|-------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|--------------------------|----------|-------------------|-------------------|
| 32 240 32 240 | 240 70 | 70 | | 248.15 | | 58 | 58 |
| 80 33 283 71 291.28 80 32 272 63 234.25 | 272 63 63 | /1 63 | | 291. 234. | 25 25 | 02 55 | 55 55 |
| 32 272 75 | 272 75 | 75 | | 23] | 231.82 | 62 | 62 |
| 35 305 78 | 305 78 | 78 | | 4 | 442.27 | 63 | 63 |
| 33 283 74 | 283 74 | 74 | | ŝ | 327.90 | 99 | 99 |
| 33 283 74 | 283 74 | 74 | | (1 | 258.60 | 99 | 09 |
| 36 316 72 | 316 72 | 72 | | | 218.75 | 67 | 67 |
| 35 305 | 305 | | 72 | | 231.91 | 67 | 67 |
| 40 340 | 340 | | 89 | | 491.72 | 72 | 72 |
| 38 356 | 356 | | 86 | | 353.41 | 72 | 72 |
| 38 394 | 394 | | 101 | | 280.22 | 82 | 82 |
| 38 394 | 394 | | 96 | | 429.17 | 83 | 83 |
| 36 368 | 368 | | 86 | | 352.09 | 70 | 70 |
| 38 394 | 394 | | 80 | | 301.72 | 70 | 70 |
| 34 342 | . 342 | | 81 | | 310.02 | 73 | 73 |
| 37 418 | 418 | | 88 | | 318.86 | 74 | 74 |
| 37 418 | 418 | | 100 | | 290.95 | 82 | 82 |
| 38 432 | 432 | | 100 | | 347.50 | 86 | 86 |
| 42 426 | 426 | | 113 | | 792.77 | 92 | 92 |
| 41 495 | 495 | | 105 | | 606.06 | 93 | 93 |
| 45 | 555 | | 126 | | 458.67 | 100 | 100 |
| 41 | 495 | | 102 | | 518.70 | 94 | 94 |
| 40 | 480 | | 106 | | 437.78 | 94 | 94 |
| 41 536 | 536 | | 124 | | 377.31 | 98 | 96 |
| 40 520 | 520 | | 112 | | 382.51 | 102 | 102 |
| 37 472 | 472 | | 102 | | 403.83 | 86 | 86 |
| 42 | 552 | | 106 | | 442.24 | 98 | 98 |
| 35 440 | 440 | | 98 | | 397.72 | 84 | 84 |
| | | | | | | | |

| SOD Average SOD | | 104 104 | 114 114 | 101 101 | 104 104 | 110 110 | 124 124 | 117 117 | 115 115 | 117 117 | 116 116 | 128 128 | 124 124 | 123 123 | 130 130 | 129 129 | 119 119 | 124 124 | 114 114 | 113 113 | 149 149 | 123 123 | 124 124 | 138 138.3 | 134 134 | 150 150 | 138 138 | 142 142 | 124 124 | |
|---------------------------|--------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|-----------|---------|---------|---------|---------|---------|--|
| Average SOD | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Execution time (s) | 862.80 | 775.08 | 479.07 | 500.63 | 455.70 | 540.36 | 465.92 | 491.49 | 541.22 | 572.49 | 1886.52 | 1063.23 | 1043.84 | 1230.10 | 1186.72 | 1424.64 | 901.651 | 1101.49 | 733.728 | 1590.29 | 1159.99 | 1048.73 | 1465.40 | 1343.27 | 1331.76 | 995.87 | 1458.79 | 1272.06 | 3121.15 | |
| $\mathrm{SOD}(\hat{G},S)$ | 130 | 122 | 132 | 119 | 112 | 124 | 151 | 148 | 135 | 130 | 139 | 170 | 140 | 145 | 156 | 151 | 143 | 140 | 128 | 134 | 169 | 152 | 153 | 164 | 162 | 174 | 166 | 160 | 148 | |
| SOD(MinSup(S), S) | 710 | 652 | 677 | 696 | 582 | 760 | 889 | 868 | 847 | 742 | 741 | 812 | 740 | 771 | 771 | 885 | 733 | 733 | 581 | 733 | 966 | 807 | 870 | 807 | 1008 | 1162 | 1052 | 942 | 920 | |
| #(MinSup(S)) | 50 | 44 | 43 | 44 | 38 | 45 | 49 | 48 | 47 | 42 | 53 | 54 | 50 | 49 | 49 | 55 | 47 | 47 | 39 | 47 | 56 | 47 | 50 | 47 | 54 | 61 | 56 | 51 | 50 | |
| Vertices | 140 | 140 | 140 | 140 | 140 | 140 | 140 | 140 | 140 | 140 | 160 | 160 | 160 | 160 | 160 | 160 | 160 | 160 | 160 | 160 | 180 | 180 | 180 | 180 | 180 | 180 | 180 | 180 | 180 | |
| Instance | i01 | i02 | i03 | i04 | i05 | i06 | i07 | i08 | i09 | i10 | i01 | i02 | i03 | i04 | i05 | i06 | i07 | i08 | i09 | i10 | i01 | i02 | i03 | i04 | i05 | i06 | i07 | i08 | i09 | |

Table 5.15: Results for the instances with 140, 160, and 180 vertices.

| | _ |
|------|-----------------------------|
| n(c, | $p(\mathbf{x}), \mathbf{x}$ |
| 182 | 57 |
| 164 | 4 |
| 166 | х т |
| 96 | 57 1 |
| 172 | |
| 180 | 1065 1 |
| 176 | |
| 71 | - |
| 83 | - |
| 06 | 042 1 |

Table 5.16: Results for the instances with 200 vertices.

In the next experiment we assess the behavior of both the Bounded BRKGA + LS heuristic and the GRASP heuristic using time-to-target plots. Two hundred independent runs have been performed for each algorithm. Each run was terminated when a solution with value less than or equal to a given target was found. The perl program *tttplots-compare* [60], developed to compare time-to-target plots or general runtime distribution for measured CPU times of any two heuristics based on stochastic local search, was used to compare the results obtained by Bounded BRKGA + LS and GRASP. Given two algorithms A_1 and A_2 , *tttplots-compare* gives the probability that algorithm A_1 finds a solution at least as good as a given target value in a smaller computation time than A_2 , for the case where the runtimes of the two algorithms follow any general runtime distribution. The continuous random variable denoted by X_1 (resp. X_2) represents the time needed by algorithm A_1 (resp. A_2) to find a solution at least as good as a given target value. The probability that GRASP finds a solution in a smaller computational time than Bounded BRKGA + LS is shown in Tables 5.17 and 5.18, for 50 instances, with sizes of 100 to 180 vertices. These tables show that, out of the 50 instances, GRASP is more likely to find the target faster than Bounded BRKGA + LS in 40 instances (80% of the cases). Figures 5.20 to 5.24 show the superimposed runtime distributions of Bounded BRKGA + LS and GRASP for five of these instances.

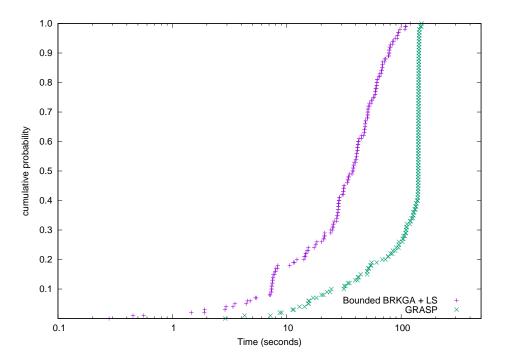


Figure 5.20: Runtime distribution from 200 runs of Bounded BRKGA + LS and GRASP for instance i6.120 with a total of 120 vertices and a target value set at 98 (best known value is 96). For this instance, $Pr(X_1 \le X_2) = 0.12$.

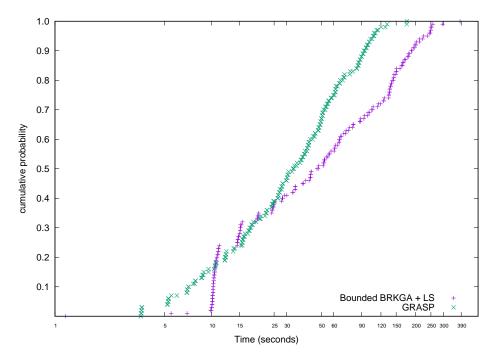


Figure 5.21: Runtime distribution from 200 runs of Bounded BRKGA + LS and GRASP for instance i5.140 with a total of 140 vertices and a target value set at 104 (best known value is 104). For this instance, $Pr(X_1 \le X_2) = 0.59$.

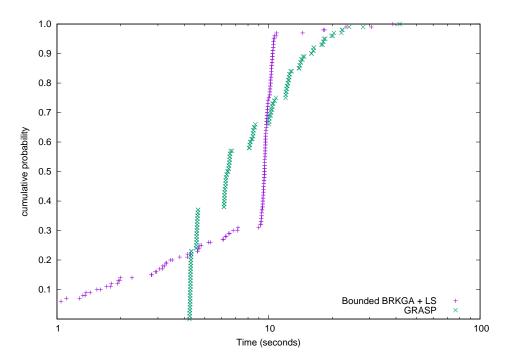


Figure 5.22: Runtime distribution from 200 runs of Bounded BRKGA + LS and GRASP for instance i9.140 with a total of 140 vertices and a target value set at 115 (best known value is 115). For this instance, $Pr(X_1 \le X_2) = 0.51$.

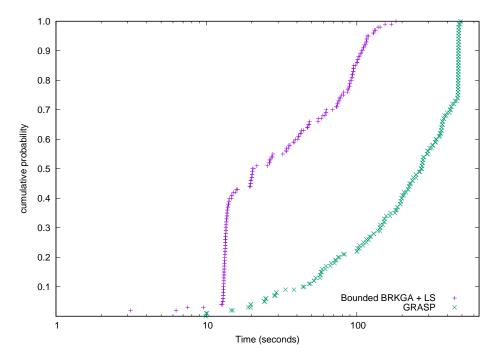


Figure 5.23: Runtime distribution from 200 runs of Bounded BRKGA + LS and GRASP for instance i5.180 with a total of 180 vertices and a target value set at 134 (best known value is 134). For this instance, $Pr(X_1 \le X_2) = 0.09$.

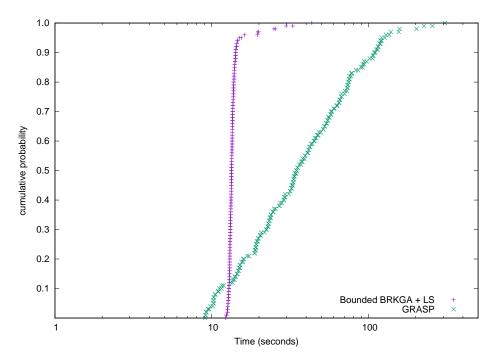


Figure 5.24: Runtime distribution from 200 runs of Bounded BRKGA + LS and GRASP for instance i8.180 with a total of 180 vertices and a target value set at 142 (best known value is 142). For this instance, $Pr(X_1 \le X_2) = 0.13$.

5.6 Conclusions

In this chapter three heuristics for the generalized median graph were proposed: a heuristic based on the BRKGA metaheuristic, a variant of the BRKGA heuristic, called Bounded BRKGA, that uses a theoretical result to reduce the search space of the problem, and Bounded BRKGA with a local search phase inserted between any two consecutive generations. These heuristics were compared among themselves, showing that Bounded BRKGA and Bounded BRKGA + LS provided the best results in terms of execution time, and Bounded BRKGA + LS provided the best solutions among the three heuristics. Bounded BRKGA + LS was then compared with GRASP, with both heuristics presenting equivalent results in terms of solution quality. In the comparison using time-to-target plots, GRASP showed to be more likely to find target values in less computational times than Bounded BRKGA + LS in 80% of the tested instances. The theoretical result that served as the basis for Bounded BRKGA was able to significantly reduce the search space of the algorithm, with a strong effect in reducing the execution times of the heuristic.

| Instance | Target value | $Pr(X_1 \leq X_2)$ |
|----------|--------------|--------------------|
| i1.100 | 72 | 0.55 |
| i2.100 | 72 | 0.98 |
| i3.100 | 82 | 0.98 |
| i4.100 | 83 | 0.93 |
| i5.100 | 70 | 0.79 |
| i6.100 | 70 | 0.98 |
| i7.100 | 73 | 0.14 |
| i8.100 | 74 | 0.83 |
| i9.100 | 82 | 0.85 |
| i10.100 | 86 | 0.64 |
| i1.120 | 92 | 0.98 |
| i2.120 | 93 | 0.94 |
| i3.120 | 100 | 0.42 |
| i4.120 | 94 | 0.74 |
| i5.120 | 94 | 0.75 |
| i6.120 | 96 | 0.12 |
| i7.120 | 102 | 0.13 |
| i8.120 | 86 | 0.82 |
| i9.120 | 98 | 0.94 |
| i10.120 | 84 | 0.67 |

Table 5.17: Probabilities that GRASP finds a solution at least as good as the target value in a smaller computational time than Bounded BRKGA + LS (instances with sizes 100 to 120).

| Instance | Target value | $Pr(X_1 \leq X_2)$ |
|----------|--------------|--------------------|
| i1.140 | 110 | 0.81 |
| i2.140 | 104 | 0.84 |
| i3.140 | 114 | 0.87 |
| i4.140 | 101 | 0.76 |
| i5.140 | 104 | 0.59 |
| i6.140 | 110 | 0.54 |
| i7.140 | 124 | 0.40 |
| i8.140 | 117 | 0.72 |
| i9.140 | 115 | 0.51 |
| i10.140 | 117 | 0.97 |
| i1.160 | 116 | 0.43 |
| i2.160 | 128 | 0.73 |
| i3.160 | 124 | 0.37 |
| i4.160 | 123 | 0.98 |
| i5.160 | 130 | 0.85 |
| i6.160 | 129 | 0.09 |
| i7.160 | 119 | 0.94 |
| i8.160 | 124 | 0.99 |
| i9.160 | 114 | 0.96 |
| i10.160 | 113 | 0.52 |
| i1.180 | 149 | 0.59 |
| i2.180 | 123 | 0.92 |
| i3.180 | 124 | 0.92 |
| i4.180 | 138 | 0.99 |
| i5.180 | 134 | 0.09 |
| i6.180 | 150 | 0.77 |
| i7.180 | 138 | 0.88 |
| i8.180 | 142 | 0.13 |
| i9.180 | 124 | 0.99 |
| i10.180 | 136 | 0.75 |

Table 5.18: Probabilities that GRASP finds a solution at least as good as the target value in a smaller computational time than Bounded BRKGA + LS (instances with sizes 140 to 180).

Chapter 6

Concluding remarks

In this work, five heuristics for the generalized median graph problem were presented. One of them was based on a greedy strategy, another on the GRASP metaheuristic, and the others on the BRKGA metaheuristic. The BRKGA heuristics consisted of a pure BRKGA, a variant called Bounded BRKGA, and the Bounded BRKGA with a local search. The instances used in the computational experiments consisted of molecules related to the AIDS virus. The heuristics were executed in larger instances than the ones used in exact algorithms, and the results showed that the approximate generalized median graphs computed by the heuristics were of good quality, being able to be used in an application involving a classification task. In the comparison with the set median graph, the graphs obtained by the heuristics presented a superior quality. In the comparison of the five heuristics, GRASP and the Bounded BRKGA with local search heuristics were both superior to the greedy heuristic, and both presented similar results in terms of solution quality.

Two theoretical results were also presented in this work. The first one gives a bound to the sum of distances of a graph, and a practical use of this result is also presented: in conjunction with a previously computed solution, it is used to eliminate candidate graphs of poor quality from the search space of Bounded BRKGA and Bounded BRKGA with local search. Usually, these graphs of poor quality have a large number of nodes. As a consequence of eliminating these large sized graphs, Bounded BRKGA and Bounded BRKGA with local search presented smaller computational times when compared with BRKGA.

The second theoretical result, presented in Appendix A, shows that the empty graph G_e is the generalized median graph of a set S, if the graphs in S satisfy a certain property.

As future work, BRKGA and its variants can be improved in terms of efficiency. As mentioned, the GRASP heuristic uses a technique to avoid recomputing the distances between two graphs whenever possible. The inclusion of this technique in BRKGA can possibly speed up the computation of the distances and consequently lessen the execution times. Data mining techniques may also be used to improve BRKGA and its variants. One possible idea is to extract patterns that appear frequently in good solutions and use them in post-optimization processes (for example, in a path-relinking procedure).

The results obtained by the first theoretical result can be further explored. More specifically, it can be used in other metaheuristic algorithms, such as tabu search and simulated annealing, to possibly reduce the search spaces and the computation times. The search space reduction can also be implemented in matheuristic algorithms, where the conditions on the number of nodes in the solutions are obtained by incorporating a constraint in the mathematical model.

Still as future work, approximative algorithms for the generalized median graph problem can be researched.

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APPENDIX A – Computing the exact generalized median graph - Special case

The computation of the generalized median graph of a set *S* of graphs is a difficult task. This appendix illustrates a special situation where it is possible to find the generalized median graph of a set of graphs. More specifically, it was shown in [44] that $min\{SOD(G_e, S), SOD(G_u, S)\}$ is an upper bound for the *SOD* of the generalized median graph of *S*, where G_e is the empty graph and G_u is the union graph of the graphs in *S*. It will be proved that, in some particular cases, G_e is the actual generalized median graph of the set.

Consider a set $S = \{G_1, G_2, G_3\}$, as shown in Figure A.1.

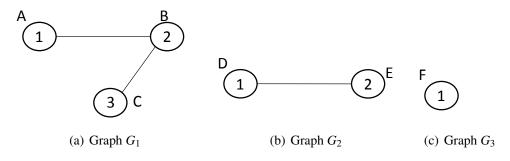


Figure A.1: Graphs in set S

In this case, $#(MaxSub(G_i, G_j)) = 0, \forall i, j, i \neq j, i, j = 1, 2, 3$. The goal of this section is to show that, in cases like this, where $#(MaxSub(G_i, G_j)) = 0$ for all pairs of graphs G_i and G_j in the set, the empty graph G_e is the graph that presents the smallest sum of distances (*SOD*) to the graphs in set *S*, i.e, G_e is the generalized median graph of set *S*.

We first compute $SOD(G_e, S) = d(G_e, G_1) + d(G_e, G_2) + d(G_e, G_3)$:

•
$$d(G_e, G_1) = \#(G_e) + \#(G_1) - 2 \times \#(MaxSub(G_e, G_1)) = 3 + 0 - 2 \times 0 = 3$$

•
$$d(G_e, G_2) = #(G_e) + #(G_2) - 2 \times #(MaxSub(G_e, G_2)) = 2 + 0 - 2 \times 0 = 2$$

•
$$d(G_e, G_3) = #(G_e) + #(G_3) - 2 \times #(MaxSub(G_e, G_3)) = 1 + 0 - 2 \times 0 = 1$$

Hence, $SOD(G_e, S) = #(G_1) + #(G_2) + #(G_3) = 3 + 2 + 1 = 6.$

In the attempt to find a candidate graph that presents a smaller *SOD*, one idea is to take combinations (union) of subgraphs of G_1, G_2 and G_3 . The expectation is that these subgraphs possibly result in smaller distances. Consider as our first candidate the graph $Cand_1$, shown in Figure A.2 (a). This graph consists of an induced subgraph $G'_1 \subset G_1$, with $\#(G'_1) = 2$, and an induced subgraph $G'_2 \subset G_2$, with $\#(G'_2) = 1$. The *SOD* of this candidate is:

•
$$d(Cand_1, G_1) = #(Cand_1) + #(G_1) - 2 \times #(MaxSub(Cand_1, G_1)) = 3 + 3 - 2 \times 2 = 2$$

•
$$d(Cand_1, G_2) = #(Cand_1) + #(G_2) - 2 \times #(MaxSub(Cand_1, G_2)) = 2 + 3 - 2 \times 1 = 3$$

•
$$d(Cand_1, G_3) = #(Cand_1) + #(G_3) - 2 \times #(MaxSub(Cand_1, G_3)) = 1 + 3 - 2 \times 0 = 4$$

Thus we have $SOD(Cand_1, S) = 9$. If, instead, we had taken as a candidate the graph $Cand_2$ shown in Figure A.2(b), the *SOD* would be even larger, since now the distance $d(Cand, G_1)$ increased, because the subgraph of G_1 is not and induced subgraph of G_1 , since the edge connecting the nodes with labels A and B is missing.

Connecting the subgraphs with edges makes no difference, as the graph $Cand_3$ in Figure A.2(c) shows. This graph in Figure A.2(c) has the same SOD as the graph shown in Figure A.2(a). The inserted edge (B,D) does not modify the distances, since it makes no change in the maximum common subgraphs. Inserting into the candidate a graph that is not a subgraph of any of the graphs G_i , i = 1, 2, 3, can only further increase the SOD. As an example, the graph $Cand_4$ in Figure A.2(d) presents nodes with labels X and Z, not present in any of the graphs in set S. Computing the SOD of $Cand_4$ we have:

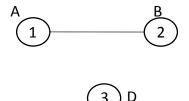
•
$$d(Cand_4, G_1) = #(Cand_4) + #(G_1) - 2 \times #(MaxSub(Cand_4, G_1)) = 3 + 5 - 2 \times 2 = 4$$

•
$$d(Cand_4, G_2) = #(Cand_4) + #(G_2) - 2 \times #(MaxSub(Cand_4, G_2)) = 2 + 5 - 2 \times 1 = 5$$

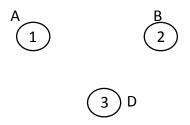
•
$$d(Cand_4, G_3) = #(Cand_4) + #(G_3) - 2 \times #(MaxSub(Cand_4, G_3)) = 1 + 5 - 2 \times 0 = 6$$

Hence, $SOD(Cand_4, S) = d(Cand_4, G_1) + d(Cand_4, G_2) + d(Cand_4, G_3) = 15$, and thus it is a worse representative to set *S* than the graph presented in Figure A.2(a).

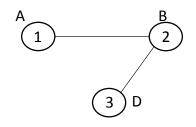
Therefore, in the search for a better representative for set *S*, the best approach is to construct a candidate by taking the union $\bigcup_{i=1}^{3} G'_{i}$, where each G'_{i} is an induced subgraph of G_{i} , i = 1, 2, 3.



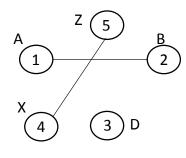
(a) Graph $Cand_1$ consists of induced subgraphs of G_1 and G_2 .



(b) Graph $Cand_2$: without edge (1,2), G_1 's subgraph is not induced.



(c) Graph $Cand_3$ consists of the same nodes and edges of $Cand_1$, with the inclusion of edge (2,3).



(d) Graph $Cand_4$: inserting nodes with labels not present in *S*.

Figure A.2: Possibilities in the construction of a candidate.

However, we will show that not even this approach can improve on the empty graph. Take as a candidate *Cand* the union $\bigcup_{i=1}^{3} G'_i$, where each G'_i is an induced subgraph of G_i , i = 1, 2, 3, with $\#(G'_1) = m_1, \#(G'_2) = m_2$ and $\#(G'_3) = m_3$. Computing the distances from this candidate to the

graphs in S we have:

$$d(G_1, Cand) = \#(G_1) + \#(Cand) - 2 \times \#(MaxSub(Cand, G_1)) =$$

= $\#(G_1) + (m_1 + m_2 + m_3) - 2 \times m_1 =$
= $\#(G_1) + (m_2 + m_3 - m_1)$

$$d(G_2, Cand) = \#(G_2) + \#(Cand) - 2 \times \#(MaxSub(Cand, G_2)) =$$

= $\#(G_2) + (m_1 + m_2 + m_3) - 2 \times m_2 =$
= $\#(G_2) + (m_1 + m_3 - m_2)$

$$d(G_3, Cand) = \#(G_3) + \#(Cand) - 2 \times \#(MaxSub(Cand, G_3)) =$$
$$= \#(G_3) + (m_1 + m_2 + m_3) - 2 \times m_3 =$$
$$= \#(G_3) + (m_1 + m_2 - m_3)$$

Hence, this candidate's SOD is equal to:

$$\begin{aligned} SOD(Cand,S) &= d(Cand,G_1) + d(Cand,G_2) + d(Cand,G_3) = \\ &= \#(G_1) + \#(G_2) + \#(G_3) + (m_2 + m_3 - m_1) + (m_1 + m_3 - m_2) + (m_1 + m_2 - m_3) = \\ &= \#(G_1) + \#(G_2) + \#(G_3) + (m_1 + m_2 + m_3) = \\ &= SOD(G_e) + (m_1 + m_2 + m_3) \end{aligned}$$

Thus, we have $SOD(Cand, S) \ge SOD(G_e, S)$, and the equality holds only if $m_1 = m_2 = m_3 = 0$, i.e., if the candidate is the empty graph itself. Therefore, the empty graph G_e is the generalized median graph of this set.

The next property formalizes this result, showing that, in sets like set *S* above, where $#(MaxSub(G_i, G_j)) = 0, i, j = 1, ..., n, i \neq j, G_e$ is the exact generalized median graph of the set.

Proposition 2 Let $S = \{G_1, ..., G_n\}$, with $n \ge 2$, be a set of labeled graphs, where $\#(MaxSub(G_i, G_j)) = 0$, $i, j = 1, ..., n, i \ne j$. Then, the empty graph is the generalized median graph of set S.

Proof: Let $S = \{G_1, \ldots, G_n\}$ with $n \ge 2$, be a set of labeled graphs such that $\#(MaxSub(G_i, G_j)) = 0, i, j = 1, \ldots, m, i \ne j$. Initially, let's compute the *SOD* of the empty graph G_e :

$$\begin{split} d(G_e,G_1) &= \#(G_e) + \#(G_1) - 2 \times \#(MaxSub(G_e,G_1)) = 0 + \#(G_1) - 2 \times 0 = \#(G_1) \\ d(G_e,G_2) &= \#(G_e) + \#(G_2) - 2 \times \#(MaxSub(G_e,G_2)) = 0 + \#(G_2) - 2 \times 0 = \#(G_2) \\ &\vdots \end{split}$$

$$d(G_e, G_n) = \#(G_e) + \#(G_n) - 2 \times \#(MaxSub(G_e, G_n)) = 0 + \#(G_n) - 2 \times 0 = \#(G_n)$$

Therefore, we have that $SOD(G_e, S) = \sum_{i=1}^{n} \#(G_i)$.

As explained previously, the best attempt to find a better representative than the empty graph is to take the union of induced subgraphs of the graphs from the set. Thus, let's consider as our candidate the graph $\bigcup_{i=1}^{n} G'_i$, where each G'_i is an induced subgraph of G_i and $\#(G'_i) = m_i$, $1 \le i \le n$. Computing the distances from this graph to the graphs of *S* we have:

$$\begin{split} d(G_1, \bigcup_{i=1}^n G'_i) &= \#(G_1) + \#(\bigcup_{i=1}^n G'_i) - 2 \times \#(MaxSub(\bigcup_{i=1}^n G'_i, G_1)) = \\ &= \#(G_1) + \sum_{i=1}^m m_i - 2 \times m_1 \end{split}$$

$$d(G_2, \bigcup_{i=1}^n G'_i) = \#(G_2) + \#(\bigcup_{i=1}^n G'_i) - 2 \times \#(MaxSub(\bigcup_{i=1}^n G'_i, G_2)) = \\ = \#(G_2) + \sum_{i=1}^m m_i - 2 \times m_2$$

$$\begin{split} d(G_n, \bigcup_{i=1}^n G'_i) &= \#(G_m) + \#(\bigcup_{i=1}^n G'_i) - 2 \times \#(MaxSub(\bigcup_{i=1}^n G'_i, G_n)) = \\ &= \#(G_n) + \sum_{i=1}^n m_i - 2 \times m_n \end{split}$$

÷

Therefore, the SOD of this candidate is:

$$SOD(\bigcup_{i=1}^{n} G'_{i}) = d(G_{1}, \bigcup_{i=1}^{n} G'_{i}) + d(G_{2}, \bigcup_{i=1}^{n} G'_{i}) + \dots + d(G_{n}, \bigcup_{i=1}^{n} G'_{i}) =$$

$$= \sum_{i=1}^{n} \#(G_{i}) + n \times \sum_{i=1}^{n} m_{i} - 2 \times (m_{1} + m_{2} + \dots + m_{n}) =$$

$$= \sum_{i=1}^{n} \#(G_{i}) + (n-2) \times (m_{1} + m_{2} + \dots + m_{n}) =$$

$$= SOD(G_{e}) + (n-2) \times (m_{1} + m_{2} + \dots + m_{n})$$

Since $n \ge 2$, we have $SOD(G_e, S) \le SOD(\bigcup_{i=1}^n G'_i, S)$. It follows that the empty graph is a generalized median graph of set *S*.

In the more general case where the set *S* is such that #(MaxSub(S)) > 0, it can be shown that $SOD(MaxSub(S), S) < SOD(G_e, S)$.

APPENDIX B – Instances

The instances used in this work were taken from the *AIDS* group of the *IAM Graph Database Repository*.

| Instance | Graphs |
|----------|------------------------------|
| i1.20 | 2128, 6497, 13072 |
| i2.20 | 153, 973, 4493, 8117 |
| i3.20 | 4901, 6075, 6614, 8117 |
| i4.20 | 813, 1541, 8117, 22446 |
| i5.20 | 153, 718, 4901, 14734 |
| i6.20 | 41, 262, 646, 5461 |
| i7.20 | 646, 718, 1540, 21840 |
| i8.20 | 153, 2325, 5727, 7910, 8117 |
| i9.20 | 41, 2325, 5727, 6073, 8117 |
| i10.20 | 914, 5727, 6075, 8117, 13072 |

Table B.1: Instances with number of nodes equal to 20

Table B.2: Instances with number of nodes equal to 40

| Instance | Graphs |
|----------|----------------------------------------------|
| i1.40 | 8117, 6075, 1540, 4446, 37148, 31114 |
| i2.40 | 8117, 1540, 165, 1079, 23533, 29886 |
| i3.40 | 153, 5727, 7910, 31574, 2205, 12741 |
| i4.40 | 153, 13072, 1540, 7796, 36308, 36531 |
| i5.40 | 6075, 1540, 973, 607, 6801, 1561 |
| i6.40 | 8117, 13072, 7910, 1540, 21840, 2803, 3181 |
| i7.40 | 41, 718, 5461, 14787, 607, 2199, 10567 |
| i8.40 | 5461, 718, 13072, 7910, 1540, 770, 25925 |
| i9.40 | 8117, 5727, 153, 1540, 7910, 348, 7411, 5497 |
| i10.40 | 8117, 153, 22446, 4901, 150, 41, 90, 2528 |

| Instance | Graphs |
|----------|----------------------------------------------------------------------------|
| i1.60 | 8117, 5727, 4142, 28329, 14689, 21760, 2454 |
| i2.60 | 5461, 31, 22, 6266, 5048, 9939, 41363 |
| i3.60 | 22446, 4901, 6073, 646, 486, 1322, 1812, 18026 |
| i4.60 | 13072, 7910, 11016, 4446, 10567, 5067, 1026, 12427 |
| i5.60 | 8117, 153, 4901, 22446, 646, 718, 150, 6073, 5461, 7910, 5268, 9901, 21825 |
| i6.60 | 153, 5727, 718, 1137, 1744, 2632, 231, 3442, 7513 |
| i7.60 | 8117, 41, 5461, 150, 1540, 426, 107, 4257, 2291, 11563 |
| i8.60 | 153, 4901, 22446, 718, 19440, 11016, 973, 31, 97, 6497, 2728 |
| i9.60 | 718, 150, 6073, 4901, 22446, 973, 31, 6497, 11216, 1079, 4464 |
| i10.60 | 8117, 5727, 153, 6073, 6075, 13072, 1540, 1541, 426, 348, 14912, 5067 |

| Table B.3: Instances with number of nodes equal to 60 | Table B.3: | Instances | with | number | of | nodes | equal | to 6 | 0 |
|-------------------------------------------------------|------------|-----------|------|--------|----|-------|-------|------|---|
|-------------------------------------------------------|------------|-----------|------|--------|----|-------|-------|------|---|

Table B.4: Instances with number of nodes equal to 80

| Instance | Graphs |
|----------|------------------------------------------------------------------------|
| i1.80 | 7910, 13072, 1540, 1089, 28915, 975, 11095, 23414, 16664 |
| i2.80 | 153, 11216, 56, 7411, 21840, 34256, 986, 38951, 23129, 6191 |
| i3.80 | 8117, 153, 7910, 1540, 13072, 4250, 1486, 25316, 6081, 32791, 11958 |
| i4.80 | 8117, 1540, 18105, 7834, 97, 7575, 6262, 16370, 10338, 14562, 38971 |
| i5.80 | 8117, 13072, 348, 21840, 261, 7899, 3344, 1259, 30821, 38, 2029 |
| i6.80 | 5727, 153, 5461, 22446, 18105, 19440, 6239, 14286, 10498, 16999, 1200 |
| i7.80 | 5727, 6073, 22446, 13072, 7910, 11216, 5581, 28437, 8478, 16013, 23125 |
| i8.80 | 153, 914, 426, 246, 7834, 3488, 31, 20691, 21829, 25951, 373 |
| i9.80 | 6073, 5461, 718, 150, 6075, 1540, 40760, 1154, 32167, 12203, 17167 |
| i10.80 | 6073, 22446, 7910, 1540, 14930, 9901, 486, 22331, 5057, 1481, 2648 |

Table B.5: Instances with number of nodes equal to 100

| Instance | Graphs |
|----------|-----------------------------------------------------------------------------------------|
| i1.100 | 6075, 1540, 11216, 1079, 2291, 36894, 28336, 3058, 1640, 11958, 2075 |
| i2.100 | 8117, 607, 3344, 31574, 1323, 2694, 35059, 20681, 1861, 5811, 3056, 1422 |
| i3.100 | 8117, 5727, 13072, 1540, 7910, 7796, 21825, 9834, 1974, 24418, 24420, 133, 22695 |
| i4.100 | 153, 5727, 646, 718, 1540, 97, 426, 23558, 25929, 16703, 14029, 2817, 1455 |
| i5.100 | 153, 5727, 22446, 1540, 214, 7899, 266, 18907, 6614, 2818, 27893, 10646, 34221 |
| i6.100 | 6075, 22446, 1540, 973, 19440, 983, 4257, 2051, 30003, 11064, 3010, 427, 3616 |
| i7.100 | 150, 7910, 13072, 1540, 214, 803, 7281, 6801, 1079, 1498, 1152, 1917, 22696 |
| i8.100 | 8117, 5727, 153, 1540, 13072, 11216, 1079, 214, 15698, 2029, 6643, 1422, 17570, 1014 |
| i9.100 | 8117, 153, 41, 2097, 14930, 7281, 8652, 13480, 1119, 403, 4446, 3182, 26336, 2445 |
| i10.100 | 5727, 153, 6075, 4901, 6073, 13072, 1540, 1885, 3182, 17256, 10936, 31998, 10858, 18928 |

| Instance | Graphs |
|----------|----------------------------------------------------------------------------------------|
| i1.120 | 8117, 261, 12326, 813, 8960, 11425, 2356, |
| | 2238, 25358, 37328, 700, 17958, 18682 |
| i2.120 | 8117, 6073, 646, 718, 11016, 13480, 8652, |
| | 2097, 5015, 10320, 21831, 1322, 23600, 10706, |
| | 14511 |
| i3.120 | 8117, 6073, 12431, 214, 90, 41423, 14974, |
| | 11041, 4257, 3762, 20702, 7870, 696, 24628, |
| | 16694 |
| i4.120 | 153, 41, 718, 646, 2097, 262, 3759, 21825, |
| | 34210, 9009, 28389, 10674, 16402, 11788, 2222 |
| i5.120 | 22446, 718, 6075, 41, 646, 15698, 22448, 252, |
| | 38639, 38974, 30112, 14313, 11369, 15841, |
| | 8331 |
| i6.120 | 8117, 153, 5727, 6073, 34878, 2803, 18931, |
| | 31569, 3442, 1089, 1622, 1694, 1259, 1391, |
| | 2488, 1085 |
| i7.120 | 8117, 4901, 6073, 150, 1540, 348, 261, 22448, |
| | 1573, 377, 1017, 9335, 1640, 20685, 14920, |
| | 1315 |
| i8.120 | 153, 13072, 7910, 1540, 5330, 1137, 6801, 347, |
| | 6757, 35332, 27861, 20691, 1732, 52, 36308, |
| | 537 |
| i9.120 | 22446, 646, 5461, 41, 18105, 2856, 6801, |
| | 262, 12116, 3182, 22767, 14899, 1854, 38982, |
| 10.100 | 10982, 1979 |
| i10.120 | 6073, 150, 5461, 1540, 11216, 13480, 1079, 5260, 7575, 1572, 2652, 1074, 7070, 2165 |
| | 5268, 7575, 1573, 8652, 1974, 7078, 8165, |
| | 25930, 11215 |

Table B.6: Instances with number of nodes equal to 120

| Instance | Graphs |
|----------|--------------------------------------------------------------------------------|
| i1.140 | 153, 5727, 22446, 973, 14787, 7834, 2694, |
| | 2688, 1316, 25935, 2263, 10320, 7870, 16381, |
| | 29598, 16392, 2099 |
| i2.140 | 5727, 150, 1540, 13072, 7910, 14787, 914, 90, |
| | 102, 34412, 2128, 2728, 1437, 2275, 20708, |
| | 13307, 27162, 18330 |
| i3.140 | 153, 5727, 6073, 646, 1540, 7910, 56, 12431, |
| | 347, 3940, 45, 20702, 36307, 10962, 42366, |
| | 13468, 15283, 23496, 34258 |
| i4.140 | 153, 1540, 7910, 973, 3488, 19440, 246, 97, |
| | 7834, 983, 214, 2418, 4464, 6036, 32867, 9642, |
| | 2967, 337, 18868 |
| i5.140 | 6073, 150, 22446, 1540, 6497, 56, 1541, 973, |
| | 348, 4250, 2291, 28389, 3761, 10151, 20702, |
| :(140 | 38312, 16370, 23527, 35328 |
| i6.140 | 153, 5727, 41, 6073, 646, 13072, 6497, 56, 914, |
| | 607, 11216, 12326, 377, 22636, 5307, 13195, |
| :7.140 | 10301, 11426, 14337, 1822 |
| i7.140 | 8117, 153, 5727, 6075, 646, 13072, 7910, 1540, |
| | 6153, 1573, 7411, 90, 635, 770, 1026, 22331, 6104, 243, 23612, 10979, 11215 |
| i8.140 | 8117, 5727, 6073, 718, 6075, 11216, 607, 56, |
| 10.140 | 97, 246, 12116, 948, 16598, 486, 27584, 12326, |
| | 6104, 39570, 14899, 118, 20682 |
| i9.140 | 8117, 5727, 6073, 13072, 7910, 14787, 97, 56, |
| 191110 | 1541, 19440, 6497, 11016, 3488, 90, 12116, |
| | 2028, 3940, 3578, 28597, 2742, 258 |
| i10.140 | 8117, 41, 6073, 6075, 4901, 150, 5461, 718, |
| | 7910, 13072, 1540, 7834, 10567, 39570, 6787, |
| | 27870, 25530, 3578, 27735, 22214, 10245 |

Table B.7: Instances with number of nodes equal to 140

| Instance | Graphs |
|----------|---------------------------------------------------------------------------------------------|
| i1.160 | 1540, 246, 5330, 813, 31574, 3759, 2025, 45, |
| | 6266, 34256, 2408, 1738, 2709, 16013, 11819, |
| | 1570, 26420 |
| i2.160 | 8117, 153, 5727, 9600, 4493, 2144, 1769, |
| | 28915, 27870, 6036, 5811, 34945, 2151, 18018, |
| | 13418, 865, 12459, 16999 |
| i3.160 | 41, 150, 11216, 97, 426, 14787, 3344, 14931, |
| | 8130, 4479, 10247, 2078, 23035, 11606, 1455, |
| | 10674, 16260, 23984 |
| i4.160 | 8117, 646, 5461, 13072, 7796, 335, 27584, |
| | 8960, 27321, 1437, 6002, 2013, 2728, 1981, |
| | 11036, 1722, 1561, 1315, 25360 |
| i5.160 | 8117, 6075, 7910, 6497, 914, 7411, 7575, 5330, |
| | 36116, 4257, 9834, 10094, 21875, 5934, 10498, |
| | 8104, 15286, 19619, 14338 |
| i6.160 | 8117, 7910, 1540, 13072, 107, 973, 11216, 261, |
| | 1970, 20478, 3940, 2630, 2244, 16616, 19619, |
| | 18037, 18040, 18637, 12761 |
| i7.160 | 5727, 153, 2856, 9901, 6104, 27584, 5067, |
| | 22636, 1744, 20680, 2487, 8394, 1585, 3762, |
| 10.1(0 | 28597, 14227, 1178, 12340, 11819 |
| i8.160 | 22446, 6073, 6075, 13072, 1540, 2856, 1431, |
| | 1137, 3759, 4493, 35405, 7767, 34224, 16872, |
| i9.160 | 12494, 12360, 26420, 25929, 14920 718, 5461, 22448, 2720, 5220, 7800, 4250, 486 |
| 19.100 | 718, 5461, 22448, 2739, 5330, 7899, 4250, 486, 1704, 1440, 52, 20478, 5595, 3363, 22, 7711, |
| | 1704, 1440, 52, 20478, 5595, 5565, 22, 7711, 15286, 12283, 16758 |
| i10.160 | 646, 7834, 11016, 348, 426, 14787, 1541, |
| 110.100 | 18105, 19265, 811, 6266, 6261, 463, 2968, |
| | 35329, 1246, 1776, 27970, 12360 |
| | 55527, 1270, 1770, 27770, 12500 |

Table B.8: Instances with number of nodes equal to 160

| Courte |
|------------------------------------------------|
| Graphs |
| 8117, 7910, 1540, 14787, 3488, 90, 6801, 214, |
| 15698, 30, 23612, 21734, 25256, 18018, 8582, |
| 23614, 37, 15590, 39692, 14944, 1765 |
| 8117, 7910, 1540, 2856, 7125, 14974, 10301, |
| 3363, 30, 6787, 3064, 18878, 1641, 5581, |
| 33085, 38312, 42366, 34218, 18364, 1210, |
| 12313 |
| 5727, 13072, 7411, 1079, 2739, 1885, 347, |
| 7796, 15698, 6104, 2694, 62, 2632, 991, 857, |
| 6204, 22695, 27162, 230, 21866, 10180 |
| 6073, 6075, 41, 4901, 7910, 973, 56, 33085, |
| 4151, 6614, 857, 34218, 2818, 1861, 1889, |
| 34416, 35264, 11390, 13888, 1994, 12744 |
| 8117, 5727, 5461, 13072, 1540, 7834, 1454, |
| 34878, 23493, 30809, 69, 13239, 12262, 3010, |
| 1481, 2263, 8894, 21332, 34846, 1051, 38, 7444 |
| 8117, 153, 7910, 13072, 246, 348, 18105, |
| 11016, 11216, 56, 2630, 9600, 30, 6964, 3895, |
| 32873, 19353, 133, 10670, 26693, 11841, 116 |
| 5727, 153, 6075, 4901, 5461, 41, 6073, 1885, |
| 2487, 20680, 6396, 28389, 2052, 24934, 9915, |
| 6119, 1496, 19622, 19595, 16716, 23802, 18954 |
| 5727, 153, 4901, 41, 1540, 7910, 13072, 973, |
| 3759, 37660, 21825, 4962, 4550, 21044, 3892, |
| 4897, 36531, 1854, 10963, 19353, 2175, 14338 |
| 22446, 6073, 5268, 2856, 137, 90, 7575, 15698, |
| 7411, 3759, 14973, 486, 2418, 1089, 28915, |
| 36307, 475, 8394, 2275, 10979, 10670, 10256 |
| 1540, 7910, 246, 56, 973, 3488, 14930, 7899, |
| 3344, 7796, 2097, 261, 1431, 7125, 335, 34217, |
| 10858, 19085, 11777, 14406, 21594, 28074 |
| |

Table B.9: Instances with number of nodes equal to 180

| Instance | Graphs |
|----------|------------------------------------------------|
| i1.200 | 5727, 7575, 403, 770, 12326, 859, 813, 24830 |
| | 2803, 12116, 32363, 8914, 36894, 15841 |
| | 17532, 15429, 25958, 11845, 13816, 1994 |
| | 21873 |
| i2.200 | 153, 646, 718, 6073, 11216, 2688, 16598 |
| | 12116, 20693, 3578, 25935, 36652, 23524 |
| | 29598, 2010, 16381, 13314, 19619, 16713 |
| | 10972, 18868, 28164 |
| i3.200 | 13072, 19440, 11216, 983, 1079, 5268, 137 |
| | 3344, 90, 1454, 1320, 36534, 5934, 19098, 749 |
| | 13418, 1404, 8582, 27252, 18868, 21760, 11788 |
| i4.200 | 8117, 153, 5461, 6075, 7796, 4250, 2097, 7281 |
| | 9367, 5321, 79, 8572, 35271, 4151, 16871 |
| | 37077, 19098, 342, 32167, 16610, 35192 |
| | 10982, 1205 |
| i5.200 | 8117, 4901, 41, 646, 6497, 97, 348, 107, 32363 |
| | 5651, 11423, 20681, 1889, 9103, 2715, 3056 |
| | 8201, 35328, 29783, 15429, 12360, 11563 |
| | 11575 |
| i6.200 | 8117, 5461, 13072, 7910, 14930, 10099, 771 |
| | 488, 5497, 27893, 10109, 39964, 11423, 42366 |
| | 2205, 2275, 20566, 10320, 18907, 29785, 5580 |
| | 13314, 15592 |
| i7.200 | 8117, 1540, 7910, 12431, 214, 10122, 62 |
| | 12116, 172, 2414, 2144, 13917, 14312, 907 |
| | 3719, 118, 4344, 10534, 2130, 12688, 21831 |
| | 26358, 215 |
| i8.200 | 153, 5727, 4901, 41, 214, 1431, 1573, 10946 |
| | 20706, 986, 9103, 30014, 643, 451, 36660 |
| | 33714, 2185, 41681, 13418, 7319, 11791 |
| | 35329, 10936 |
| i9.200 | 5727, 153, 13072, 7910, 19440, 165, 348, 8806 |
| | 2418, 30, 28389, 29586, 9915, 9346, 32791 |
| | 30113, 3616, 1918, 537, 8478, 35507, 6191 |
| | 13314 |
| i10.200 | 5727, 646, 7910, 2688, 6104, 15415, 6266 |
| | 34217, 35059, 30, 359, 10102, 4143, 11617 |
| | 7280, 1048, 941, 46, 4430, 12535, 20140 |
| | 28344, 1165 |

Table B.10: Instances with number of nodes equal to 200